

# **DOCTORAL THESIS**

Model-Based Reconstructions of Lake Environments in the Baltic States Using Subfossil Cladocera

Anna Lanka

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### **Declaration:**

Hereby I declare that this doctoral thesis, my original investigation and achievement, submitted for the doctoral degree at Tallinn University of Technology has not been submitted for doctoral or equivalent academic degree.

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# TALLINNA TEHNIKAÜLIKOOL DOKTORITÖÖ 51/2025

# Subfossiilsete vesikirbuliste põhised järvekeskkonna mudelrekonstruktsioonid Läänemeremaades

**ANNA LANKA** 



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# List of publications

The list of the author's publications, based on which the thesis has been prepared:

- I Lanka, A., Poska, A., Bakumenko, V., Dimante-Deimantovica, I., Liiv, M., Stivrins, N., Zagars, M. and Veski, S., (2024). Subfossil Cladocera as indicators of pH, trophic state and conductivity: Separate and combined effects in hemi boreal freshwater lakes. *Ecological Indicators*, 167, p.112592. https://doi.org/10.1016/j.ecolind.2024.112592
- II Lanka, A., Dimante-Deimantovica, I., Saarni, S., Stivrins, N., Tylmann, W., Zawiska, I. and Veski, S., (2024). Urbanization-driven Cladocera community shifts in the lake-a case study from Baltic region, Europe. Anthropocene, p.100439. https://doi.org/10.1016/j.ancene.2024.100439
- III Zawiska, I., Dimante-Deimantovica, I., Luoto, T.P., Rzodkiewicz, M., Saarni, S., Stivrins, N., Tylmann, W., Lanka, A., Robeznieks, M. and Jilbert, T., (2020). Long-term consequences of water pumping on the ecosystem functioning of Lake Sekšu, Latvia. Water, 12(5), p.1459. https://doi.org/10.3390/w12051459

### Manuscripts:

Bakumenko, V., Lanka, A., Belle, S., Poska, A., Vassiljev, J., Alliksaar, T., Heiri, O., Veski, S. A 14 500 - year multi-proxy reconstruction of climate and environment change in Eastern Baltics: case study from Southern Estonia.

### **Author's Contribution to the Publications**

Contribution to the papers in this thesis are:

- I The author is the lead author of the publication and participated in sediment sample collection, water parameter measurements in the field, total phosphorus and chlorophyll-a analysis in the laboratory, loss on ignition, and subfossil Cladocera analysis. The author is also responsible for the statistical analysis, data visualization, and interpretation, and is the primary creator of the publication draft.
- II The author is the lead author of the publication, participated in the sample collection, and is partially responsible for data analysis and visualization, the article's conceptualization, and the draft's writing.
- III The author participated in sediment collection and analysis, subfossil Cladocera analysis, and writing of the manuscript.

### Manuscripts:

I The author was responsible for subfossil Cladocera analysis, participated in data analysis and visualization, preparation of the original draft, and editing.

### Introduction

Humans have reshaped landscapes, habitats, and the climate for millennia, and this process has only accelerated since the Industrial Revolution (Ellis & Ramankutty, 2008; Ellis et al., 2013; Ruddiman et al., 2020; Steffen et al., 2015). The result is an ever-changing mosaic of agricultural, urban, forested, and aquatic environments, each exposed to varying intensities of anthropogenic pressure. Within this shifting planetary scene, lakes have been of notable importance for human societies throughout history. Early human settlements were closely connected to water bodies and were often established near the lake shores (Makohonienko et al., 2023; Meadows & Zunde, 2014; Tóth et al., 2019). In addition to the practical services lakes might have provided, they have also been influential as cultural and religious inspiration for pagan cultures in Europe (Hiiemäe, 2024; Mustonen & Huusari, 2020). Today, lakes continue to support human societies by supplying drinking water, food, and recreation opportunities (Reynaud & Lanzanova, 2017). At the same time, they remain indispensable ecological systems, providing habitat for diverse communities of fish, invertebrates, aquatic plants, and many other species groups (O'Sullivan & Reynolds, 2004). Despite the economic, ecological, and cultural importance of lake ecosystems, they are currently under threat from a multitude of stressors. These include eutrophication (Le Moal et al., 2019), salinization (Kaushal et al., 2021), acid deposition (Battarbee, 1990), and pollution with xenobiotics (such as heavy metals, microplastics, pesticides, etc.) (Amoatey & Baawain, 2019; Barone et al., 2024), as well as brownification (Williamson et al., 2016), climate warming (Woolway et al., 2020), and expansion of invasive species (Reynolds & Aldridge, 2021). Furthermore, many lakes are under several of these pressures, which can often interact with each other in complex ways (Moss et al., 2011; Radosavljevic et al., 2022; Smol, 2010).

Paleolimnology is a scientific discipline that utilizes physical, biological, and chemical information stored in the sediments of lakes, reservoirs, etc. to reconstruct past environmental conditions. Paleolimnological records provide valuable insights into past climatic conditions, landscape changes, and different types of stressors that have affected the lake ecosystems (Elias, 2025). This is information that most monitoring programs cannot provide due to their comparatively brief (a few decades maximum) observation time frames (Smol, 2019). The knowledge of past conditions is crucial for setting adequate lake restoration targets, evaluation of natural lake variability, as well as for predictions of the potential future development of lake ecosystems (Anderson et al., 2006; Bennion et al., 2011; Catalan et al., 2013). Furthermore, investigations of sedimentary records can enhance the understanding of contemporary species diversity within water bodies (Nevalainen, 2010; Tumurtogoo et al., 2022) and help to evaluate the success of restoration efforts and lake recovery (Smol et al., 1998).

Subfossil Cladocera, the chitinous remains left behind in the lake sediments by different Cladocerans, can be used as a proxy for assessing temperature, water level, trophic state, pH, electrical conductivity, as well as fish and macrophyte abundance of the past (Jeppesen et al., 2001; Rautio & Nevalainen, 2025). Furthermore, subfossil Cladocerans can be used to evaluate anthropogenic impacts and natural changes in the environment throughout the Holocene (Davidson et al., 2011a; Szeroczyńska, 2002). However, the methodology is not without its caveats, many of which overlap with other proxies used in paleolimnology. Environmental reconstructions using subfossil Cladocera could be classified as either descriptive (qualitative and semi-quantitative) or quantitative. For descriptive reconstructions, information on the ecology of each observed species is

needed. This information is often obtained from literature focusing on contemporary Cladocera ecology (for example, such books as Flössner, 2000). However, not all Cladocera species have received equal attention in the research of limnology (Smirnov, 2017), and paleolimnological samples often contain higher diversity of littoral taxa than contemporary ones (Çakıroğlu et al., 2014; García-Girón et al., 2018; Nevalainen, 2010; Tumurtogoo et al., 2022). An alternative approach that solves this issue is the development of surface sediment training sets. Such training sets can be used to evaluate the correlations between Cladocera species and the environment, and to develop transfer functions for quantitative environmental reconstructions. In an ideal scenario, a surface sediment training set should encompass the regional variability of all the relevant environmental parameters. Furthermore, these parameters should be independent of each other (Birks et al., 2012; Juggins, 2013). This is rarely achieved, as researchers often maximize the training set's coverage on a single parameter of interest, which can lead to result misinterpretation (Davidson & Jeppesen, 2013; Velle et al., 2012), especially if the changes have occurred in multiple environmental parameters. The commonly used transfer function methods, such as Weighted Averaging Partial Least Squares (WA-PLS), have certain data distribution assumptions (Birks et al., 2012; Turner et al., 2021). Therefore, it might be a difficult task to build a training set with sufficiently covered and independent gradients across multiple parameters. This issue might be tackled by choosing more advanced machine learning methods such as random forest (RF) or Boosted Regression Trees (BRT), which do not have a priori assumptions of the data distribution or species response (Birks et al., 2012). However, these methods might not be suitable for small datasets (Elith et al., 2008). Independent of the preferred method, additional uncertainties can arise based on the chosen taxonomical resolution and measurement errors (Birks et al., 2012; Turner et al., 2021).

During this research, a subfossil Cladocera surface sediment training set was built for the Baltic states (Estonia, Latvia, Lithuania) across multiple environmental parameters, and the validity of different reconstruction methods for each of the studied environmental parameters was assessed. This is the first such training set of the region. The Baltic states are a region rich in natural lakes (Estonia 1,562, Latvia 2,256, Lithuania 6,714) (Apsīte et al., 2014; Taminskas et al., 2012; Terasmaa, 2018). The lakes of the Baltic states are mostly of glacial origin (Nikodemus et al., 2018; Teresmaa, 2018). However, some other types, such as bog and coastal lakes, are present (Nikodemus et al., 2018; Suursaar et al., 2024; Teresmaa, 2018), and at least one lake (Kaali) has formed due to a meteorite impact (Veski et al., 2004). Lakes in the Baltic states vary in their size (the largest Lake Peipsi 3,543 km<sup>2</sup>), depth (deepest Lake Drīdzis 65.1 m) (Estonian Ministry of Climate, 2025; Latvijas Ezeri, 2010). Lakes in Baltic states also differ in catchment characteristics, such as bedrock (sand, silt, clay, limestone) and land cover (urban, agricultural, forested, or bog area) (Daubarien et al., 2011; Nikodemus et al., 2018; Teresmaa, 2018). As a result, lakes in this area vary in their trophic state, water hardness, pH, color, as well as in the organisms that inhabit them (Česonienė et al., 2020; Engele & Sniedze-Kretalova, 2013; LVGMC, 2022; Teresmaa, 2018).

### The aim of this study is to:

- 1. Identify the main abiotic drivers of subfossil Cladocera community species composition in lentic freshwater bodies of the Baltic states.
- 2. Find the optimal approach/model for reconstructing different environmental parameters based on subfossil Cladocera.
- Apply the developed methods to reconstruct the environmental history of lakes in the Baltic States.

To achieve these goals, a list of **tasks** was executed:

- Create a surface sediment training set that would include a variety of environmental conditions representative of lakes in the Baltic states. (2020–2021: Select lakes, collect sediment samples, find the available information from monitoring data, go to lakes for fieldwork to measure water parameters where data is not available, consult with recognized experts on subfossil Cladocera identification, analyze subfossil Cladocera, do preliminary data analysis, identify potential gaps in the training set. In 2022, do additional sampling to cover the gaps). (Article I)
- 2. Identify the major factors driving the subfossil Cladocera species composition in the surface sediment training set samples through correlation and ordination analysis. (Article I)
- 3. Analyze the relationship between subfossil Cladocera and main environmental parameters using the functional group approach.
- 4. Analyze the relationship between subfossil Cladocera and main driving environmental parameters using the indicator species approach (Article I).
- 5. Create a subfossil Cladocera training set based quantitative reconstruction models for the main environmental parameters using:
  - 1. WA-PLS
  - 2. BRT
- 6. Test how the model's performance changes with:
  - 1. The reduction of taxonomical resolution by merging *Bosmina* (*Eubosmina*) coregoni and *Bosmina* (*Eubosmina*) longispina into the *Eubosmina* spp. group.
  - 2. Targeted sampling site selection, by excluding the dystrophic lakes.
- 7. Analyze subfossil Cladocera species composition from sediment cores of 3 lakes with different time scales and levels of anthropogenic influence, two of which have available literature on the past conditions, for evaluation of various environmental reconstruction method performance (Article II, Article III, Manuscript I):
  - 1. With functional group approach
  - 2. With indicator species approach
  - 3. With quantitative reconstruction approach.
- 8. Compare the results between different subfossil Cladocera-based environmental reconstruction approaches and with the conclusions derived by other proxies (Article II, Article III, Manuscript I) and lake environmental observations recorded in literature sources.

While comparative evaluations between more traditional transfer function methods and machine learning algorithms such as BRT and RF, applied to pollen or diatom data, have recently been published (Wang et al., 2021; Sun et al., 2024), this study is the first to perform such an assessment for subfossil Cladocerans. Furthermore, this might be the first time the results of the quantitative reconstruction model outputs are compared to results obtained by indicator species, functional group approaches, environmental measurements from literature, and other proxies (such as Chironomidae, pollen, diatoms, sediment chemistry) in the same work.

This study has contributed to 3 research articles and one manuscript (see section "List of publications"), and results from work done as part of this PhD project have been presented:

- International conference Lakes&Reservoirs, Hot Spots and Topics in Limnology, Mikorzyn, Poland. September 17-22, 2019. Dimante-Deimantoviča I., Lanka A. (poster), Zawiska I., Strivins N., Rzodkiewicz M., Luoto T., Tylmann W. "Prelimnary results of multi-proxy paleolimnological survey performed in anthropogenic impact limited lake, a case study from Latvia, Nort-Eastern Europe".
- 12<sup>th</sup> Eastern European Young Water Professionals conference: Water research and innovations in a digital era – Virtual conference, September 31 – April 2, 2021. Lanka A. (oral presentation), Zawiska I., Stivrins N., Tylmann W., Dimante-Deimantovica I. "A Cladocera Based Paleolimnological Assessment of Recent Environmental Changes in Lake from Drinking Water Supply System in Riga Vicinity, Latvia".
- Preliminary results of the subfossil Cladocera training set was presented in CenTrain 500 workshop in Budapest, Hungary (October 2021), EPD open science meeting in Prague, Czech Republic (June 2022), 11<sup>th</sup> international shallow lakes conference in Tartu, Estonia (June 2023) and XVII subfossil Cladocera workshop in High Tatra mountains, Slovakia (October 2023).

# **Abbreviations**

ВР	Before Present (0 years BP = 1950 CE)
BRT	Boosted Regression Tree
CE	Common Era
Chl-a	Chlorophyll-a
CV	Cross-validation
EC	Electrical Conductivity
LIA	Little Ice Age
MA	Modern Analogue
MAPE	Mean Absolute Percentage Error
ML	Maximum Likelihood
N	Nitrogen
Р	Phosphorous
PCA	Principal Component Analysis
PLS	Partial Least Squares
Q	Quartile
RDA	Redundancy Analysis
RF	Random Forest
RMSEP	Root Mean Square Error of Prediction
SAL	Salinity
SD	Secchi Depth
T <sub>ann</sub>	Mean Annual Air Temperature
TN	Total Nitrogen
TP	Total Phosphorous
TS	Trophic State
TSI	Trophic State Index
WA	Weighted Averaging
WA-PLS	Weighted Average Partial Least Squares

## 1 Background

### 1.1 Cladocera

Cladocera, also known as water fleas, are a diverse group of microscopic zooplanktonic crustaceans that belong to the class Branchiopoda (subphylum Crustacea) (Smirnov, 2017). A characteristic trait of all brachiopods and therefore Cladocera is leaf-like limbs with epipodites whose function can be compared to branchiae (Fryer, 1987). Cladocera bodies are segmented and are covered by a bivalved chitinous carapace that does not cover the head (Smirnov, 2017; Van Damme & Kotov, 2016). Cladocerans are also characterized by parthenogenetic reproduction, swimming antennae adapted for locomotion, and a large, immobile eye formed by the fusion of a pair of eyes and asymmetrical mandibles. However, each of these features can also be characteristic of other crustacean groups, or there are exceptions within the superorder Cladocera itself where some of these features are not present (Fryer 1987; Van Damme & Kotov, 2016).

Cladocera are an evolutionarily old group with the earliest possible cladocera-like fossils dating back to the Devonian and Carboniferous periods (Anderson et al., 2003; Womack et al., 2012). Although these fossils are attributed to Cladocerans, many diagnostic features that would truly classify them as Cladocera are missing (Van Damme & Kotov, 2016). The first fossils fully recognizable as Cladocera can be found around the Jurassic period (Kotov, 2007; Sun et al., 2016), and it is considered that most specimens of the modern species have diverged during the Pleistocene (Van Damme & Kotov, 2016). However, while the group itself might be ancient, some of the species have diverged very recently during the Holocene (Faustová et al., 2011). Cladocera can be found in all of the zoogeographical regions of the World, and there are approximately 700 known currently living Cladocera species (Forró et.al., 2008; Smirnov, 2017). However, it is estimated that the actual number of species should be twice as high, due to the existence of cryptic species and the fact that Cladocera diversity in some regions is insufficiently described (Adamowicz & Purvis, 2005).

### 1.1.1 Habitat preferences

Cladocerans are predominantly water-associated crustaceans that inhabit brackish and marine habitats, freshwater (lakes, bog pools, rivers, temporary ponds), some species can live as stygobionts (Brancelj & Dumont, 2007; Forró et.al., 2008; Stenert et al., 2017), and there are even reports of semi-terrestrial Cladocera inhabiting different types of wet mosses of tropical rainforests several meters above the ground (Glime, 2017). Nevertheless, it is considered that Cladocerans have primarily evolved in lentic freshwater habitats (Van Damme & Kotov, 2016).

Cladocera species found in lakes can be categorized by their habitat preference into pelagic species, which live in the open water column, and littoral species. While many Cladocera researchers tend to classify species as pelagic or littoral, this division is somewhat artificial, as the littoral species category often includes species that are non-pelagic, rather than strictly tied to the littoral zone (Nevalainen et al., 2011; Rautio & Nevalainen, 2025). Littoral zone by definition is the area in the lake where light reaches the bottom of the waterbody allowing for macrophyte and benthic algae growth (Rose, 2024), however, there are Cladocera species, that while sometimes referred to as littoral, actually inhabit rock, sand and mud substrates (Rautio & Nevalainen, 2025) and therefore have no direct connection to light and macrophytes associated to littoral zone. The pelagic/littoral species division is even more complicated by the fact that many

pelagic species prefer to inhabit the water column among littoral vegetation (Kuczyńska-Kippen & Nagengast, 2006), existence of neustonic species (Rautio & Nevalainen, 2025), and littoral species such as *Chydorus sphaericus*, that can be found in pelagic zone due to their ability to attach themselves to floating filamentous algae (Fryer, 1968).

### 1.1.2 Cladocera in aquatic food webs

Cladocera have an essential role in aquatic food webs, as they feed on algae, bacteria, and detritus, linking primary producers to higher consumers that feed on Cladocerans. Cladocera are primarily filter feeders or scrapers, however there are also some predatory species (Smirnov, 2017). Pelagic Cladocera are primarily filter feeders, and their diet mainly consists of phytoplankton, and in some cases also bacterioplankton and fine detritus (Ebert, 2005). It is considered that, in general, small green algae, as well as diatoms, are a better-suited food source for Cladocera (Smirnov, 2017), however, there are some species that are well adapted for consuming blue-green algae and therefore have a competitive advantage under conditions with cyanobacteria blooms (Tõnno et al., 2016).

Littoral and bottom-dwelling Cladocerans are primarily scrapers or grazers and obtain food by scraping periphyton or by feeding on detritus from the substrate they inhabit (Smirnov, 2017). Sediment-associated species can feed on sediment-dwelling bacteria, protozoans, and algae or directly feed on detritus derived from various sources (Lemke et al., 2007; Smirnov, 2017). Detritus can come from both allochthonous (terrestrial) and autochthonous (in-lake) sources. It is known that the type of allochthonous matter present can significantly shape the zooplankton species composition (Yıldız et al., 2025). Allochthonous organic matter is considered a food source with low nutritional value, and with an increase in trophic state, the relative contribution of allochthonous matter to the zooplankton biomass decreases (Cole et al., 2006; Wilkinson et al., 2013), however, the optimal food sources for sediment-associated Cladocera are largely unknown (Smirnov, 2017). It is unclear whether autochthonous and allochthonous sources of detritus are equally suitable for the detritivorous Cladocera, or to what degree the diversity of detritus sources is connected to the diversity and abundance of substrate-dwelling and detritivorous Cladocera.

There are several carnivorous Cladocera species (such as *Pseudochydorus*, *Leptodora*, *Polyhemus*, *Bythotrephes*, and *Anchistropus* spp.), which prey primarily on other zooplankton organisms, such as ciliates, rotifers, hydras, and other Cladocerans (Packard, 2001; Smirnov, 2017; Young & Taylor, 1988). The mechanisms of prey detection differ, with some Cladocerans like *Polyphemus pediculus* being visual predators (Young & Taylor, 1988), while others, like *Leptodora kindtii*, are mainly tactile (Browman et al., 1989). The targets of prey can overlap between several predatory Cladocera species, nevertheless, several carnivorous species can coexist within the same lake due to different spatial niches, which reduces competition (Cavaletto et al., 2010).

Cladocera serves as a food source for other animals. One of the primary predators of Cladocera are planktivorous fish, which can significantly influence Cladocera communities and lake ecosystems by selectively feeding on larger Cladocerans (Jeppesen et al., 2001). Cladoceran communities can also be affected by invertebrate predation, however, invertebrates tend to affect small-sized species and individuals more severely (Gliwicz & Umana, 1994). Fish have been preying on Cladocerans for millions of years (Richter & Baszio, 2001). Cladocerans, in turn, have developed different anti-predation strategies. These strategies can be divided into behavioral and morphological adaptations.

Diel migrations are among the best-documented antipredator strategies in zooplankton. In the most common pattern of diel vertical migration, zooplankton sinks into deeper water levels during daytime and returns to upper water levels during nighttime. As fish are visual predators, Cladocerans are more vulnerable during the day, and diel vertical migration can be helpful to avoid predation due to worse light conditions in the deeper water layers (Dodson, 1990; Wojtal-Frankiewicz et al., 2010). It is also known that some Cladocera species are more hypoxia tolerant than fish, therefore temporary refuge in the anoxic water layer can provide additional protection from fish predation (Vanderploeg et al., 2009). However, many Cladocera species are hypoxia intolerant; therefore, in lakes with hypoxic hypolimnion, diel vertical migration might be disturbed and could potentially lead to higher planktivorous fish pressure (Doubek et al., 2018; Vanderploeg et al., 2009).

This diel migratory behavior of Cladocerans can switch its direction in some cases. For example, in shallow lakes, diel vertical migration can be replaced by diel horizontal migration, where some Cladocerans seek refuge in the macrophytes during the day, but return to the pelagic zone at night (Burks et al., 2002). If the primary predators of Cladocerans are nocturnal invertebrates, these migratory patterns can change to reverse diel vertical migration, where Cladocerans migrate to deeper water layers during night, but to upper water layers during daytime (Wojtal-Frankiewicz et al., 2010). Another behavioral pattern is swarming, which is exhibited by several Cladocera species in the presence of chemical cues released by fish, in this way reducing capturing risk, however this behavior is not universal even with the individuals of the same species (Pijanowska & Kowalczewski, 1997; Smirnov, 2017).

To avoid predation by fish and invertebrates, many Cladocera species have evolved to undergo rapid morphological changes triggered by the presence of predators. One of the best-known examples is the cyclomorphosis, or changes in morphology of the same species within a year. Changes can include elongation of carapace, tail spine, or growth of new appendages such as neck teeth (Johnsen & Raddun, 1987; Korosi et al., 2013; Pijanowska, 1990; Tollrian, 1995), and some Cladocerans can develop populations with less pigmented eyes or more transparent bodies in the presence of Fish (Tollrian & Heibl, 2004; Zaret, 1972). These adaptations, such as an increase in Cladoceran relative size due to the elongation of appendages, reduce the possibility of being ingested by predators (Kolar & Wahl, 1998) but come at a cost of energy expenditure (Black & Dodson, 1990). Therefore, in conditions with no predation pressure, the defensive morphological features of Cladocerans tend to disappear (Herzog et al., 2016).

Cladocerans can be hosts of different fungal, bacterial, single-celled parasites, as well as other invertebrate organisms (Decaestecker, 2005). The known effects on Cladocerans include decreased fecundity and a decrease in population (Decaestecker, 2005; Duffy et al., 2015). Nevertheless, the true diversity of parasites of Cladocera is most likely underdescribed (Goren & Ben-Ami, 2013), and its ecological impact on host communities is therefore incompletely described as well. Additional mortality of Cladocera can be a result of predation by *Utricularia* spp. (Kurbatova & Yersov, 2009), however thus far there are no reports of this macrophyte species having significant effect on overall Cladocera populations or species assemblages.

### 1.1.3 Reproduction

Cladocera reproduction is characterized by cyclical parthenogenesis, which means that Cladocerans are capable of reproducing both sexually and asexually. For most species, the parthenogenic and sexual reproduction is cyclical (one cycle includes parthenogenic and gametogenic reproduction). The cyclicity is species and environment specific, and different species under different conditions can undergo one or more cycles per year (Klemetsen et al., 2020; Smirnov, 2017).

In the parthenogenic (asexual) phase, females produce other genetically identical females from unfertilized eggs (Decaestecker et al., 2009). Under favorable conditions, such as optimal temperature and abundance of good quality food sources, Cladocerans can continue to reproduce parthenogenetically for several generations (Koch et al., 2009; Smirnov, 2017). This strategy allows Cladocerans to rapidly expand their populations and exploit resource-rich periods (Tessier, 1986).

Cladocerans tend to switch to gametogenic (sexual) reproduction mode in the presence of cues for unfavorable environmental conditions (Koch et al., 2009), as well as under changes in photoperiod (Kleiven et al., 1992). In the presence of unfavorable conditions, females can produce eggs that develop into males that can participate in sexual reproduction (Ebert, 2005; Smirnov, 2017). After sexual reproduction, resting eggs are formed. In some cases, resting eggs are encapsulated in thick-walled shell called ephippium. Resting eggs can be preserved within the water column, macrophytes, or lake sediments (Gyllström & Hansson, 2004). The resting eggs can survive in a variety of unfavorable conditions (such as cold temperatures and drought) (Smirnov, 2017) and can remain viable for hundreds of years (Burge et al., 2018). Ephippia can not only be useful for survival of the population under environmental stressors, but also for the dispersal into new water bodies (Pinel-Alloul et al., 2021; Reynolds & Cumming, 2015). When certain environmental cues that suggest improvement of the conditions are met, resting eggs hatch into new female Cladocerans (Gyllström & Hansson, 2004), and the cycle can be repeated.

### 1.1.4 Relation to abiotic factors

Cladocera communities are strongly influenced by abiotic factors such as pH, ionic content of the water body, xenobiotics, temperature, light, and water transparency, as well as water depth, phosphorus, nitrogen, and oxygen concentration. Many of these factors have indirect effects. For example, oxygen concentration and light conditions can impact the predatory pressure on Cladocerans (Vanderploeg et al., 2009; Zagars et al., 2025). Phosphorus and nitrogen concentrations can impact algae growth, and therefore food abundance and quality, as well as availability of macrophyte habitats (Naderian et al., 2025; Nürnberg & Shaw, 1998; Rattray et al., 1991). As these effects are discussed in the previous sections, the focus of this chapter will be the direct interactions between Cladocerans and abiotic factors.

Temperature can directly affect Cladocera either by controlling physiological processes or by changing different aspects of metabolism. Generally, rising temperatures are associated with increased activity (Smirnov, 2017). Most Cladocerans can't maintain sufficient activity in temperatures close to 0 °C and overwinter by producing resting eggs. However, there are some exceptions, for example, *Chydorus sphaericus* can maintain activity even under ice (Kalinowska & Karpowicz, 2020; Klemetsen et al., 2020; Smirnov, 2017). The optimal temperature for many temperate zone Cladocerans is somewhere between 10 °C and 20 °C, and becomes lethal around 30 °C (Smirnov, 2017). However,

that is not the case for all Cladocerans, as researchers have found that some species can inhabit hot springs with temperatures close to 40 °C (Padhye & Kotov, 2010).

The pH tolerance between Cladocera species might differ, however, most Cladocerans prefer neutral pH (Bērziņš & Bertilsson, 1990; Smirnov, 2017). Few species can survive under conditions with a pH < 3 (Deneke, 2000). Acidic stress and ion uptake inhibition can be some of the reasons why many Cladocerans cannot tolerate low pH conditions (Gao et al., 2022; Smirnov, 2017). Ecotoxicology studies have also shown that metal toxicity for some Cladocerans increases at low pH (De Schamphelaere et al., 2025). However, this effect might be less pronounced in dystrophic than in acidic clearwater lakes (Korosi & Smol, 2012). Nevertheless, other properties of acidic water bodies might influence Cladoceran distribution. For example, softwater acidic lakes often have low calcium (Ca) concentrations (Murphy, 2002). Ca is necessary for different bodily functions of Cladocerans (Smirnov, 2017). Additionally, a lack of Ca can increase the toxicity of various substances, such as heavy metals and road salt (NaCl) in Cladocerans (Buren & Arnott, 2025; Smirnov, 2017). Ca demand is species-specific, and as some species have lower Ca demand than others, it is sometimes used as an argument for why some Cladocerans can thrive in acidic or softwater lakes, while others disappear (Hessen et al., 1995; Jeziorski et al., 2015; Shapiera et al., 2011). The opposite end of the lake condition spectrum could be considered alkaline, hardwater, or even saline water bodies. Most Cladocerans inhabit freshwaters, with few species living in saline waters (Boronat et al., 2001; Smirnov, 2017). It is considered that the species' ability or inability to occupy waters with certain salinity or hardness depends on its osmotic regulation mechanisms (Smirnov, 2017). Electrical conductivity is a commonly used parameter to describe the concentration of ions in the water (Jones & Smol, 2024). It is considered that for many Cladocerans the optimal electrical conductivity range is between 50 and 500 μS/cm (Boronat et al., 2001; Smirnov, 2017).

Many researchers have found that lake depth can significantly influence Cladocera assemblages. Some Cladocerans can live in water depths greater than 100 m (Smirnov, 2017), but as most lakes do not reach such depths, the effects of depth might rather be connected to other associated parameters. Lake depth can influence the distribution of macrophytes (Jones & Smol, 2024), which can be important for many Cladocerans, as well as provide refuge from fish through diel vertical migration (Dodson, 1990). Inhabiting deeper water layers can also protect Cladocerans from harmful ultraviolet (UV) radiation. In conditions when sufficient vertical migration is not possible or is costly, some Cladocerans develop melanized shells to minimize UV radiation's impact (Rhode et al., 2001). This adaptation is absent or reduced in waters with fish presence, as this makes Cladocerans more vulnerable to visual predation (Tollrian & Heibl, 2004). Light can also be an important cue to terminate the diapause of the resting eggs (Gyllström & Hansson, 2004); therefore, in cases where resting eggs have settled deep below the photic zone, their hatching might be limited (Rother et al., 2010).

### 1.1.5 Subfossil Cladoceran use in paleoenvironmental reconstructions

Cladoceran body is covered by chitinous exoskeleton and after the individuals death or molting different body parts are settled into the sediment layer. The preserved exoskeleton elements can be retrieved from the sediments, providing information on the Cladocerans and their related environments of the past (Korhola & Rautio, 2001). The first publications using Cladocera subfossils date back to the first half of the 20<sup>th</sup> century (Deevey, 1942).

Subfossil Cladocera species assemblages can be used to assess the changes in water depth, trophic state (TS), pH, electrical conductivity (EC), calcium concentrations, climate, macrophyte abundance, and planktivorous fish density in lake ecosystems (Jeppesen et al., 2001; Jeziorski & Smol, 2017; Rautio & Nevalainen, 2025). Other information, such as Cladocera community size structure, can be informative of changes in climate, as well as fish and invertebrate predation (Sysoev et al., 2025). Information on the melanin content and size of ephippia can be informative of the UV radiation in the past (Kultti et al., 2011; Nevalainen & Rautio, 2014), while isotopic composition can provide insights into trophic web structure (Rautio & Nevalainen, 2025). Reconstructions using subfossil Cladocera mainly focus on human impact in the Holocene time scale (Korhola et al., 2005; Jensen et al., 2020; Szeroczyńska, 2002), but they are sometimes used for earlier geological periods as well (Suchora et al., 2025).

When using subfossil Cladocera, the changes in lake environment are most often presented in a descriptive (qualitative or semiquantitative) manner, and quantitative models are rarely used. This does not mean there are no models for the environmental quantitative reconstructions using subfossil Cladocera. Early attempts at quantitative pH reconstruction involved a combination of indicator species and linear regression approaches (Krause-Dellin & Steinberg, 1986), while most researchers after that use such well-known palaeoecological statistical methods as Weighted Averaging (WA), Partial Least Squares (PLS), Weighted Averaging Partial Least Squares (WA-PLS), Modern Analogues (MA), Boosted Regression Trees (BRT), and Random Forest (RF) (Table 1).

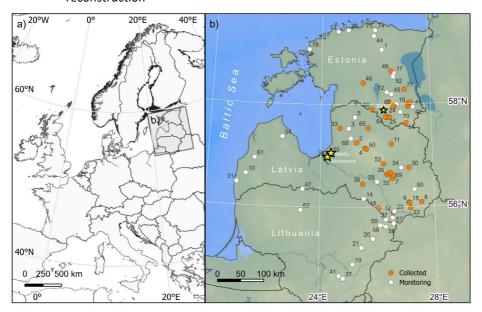
 Table 1. Summary of published subfossil Cladocera based quantitative reconstruction models.

Parameter	Method	Country	Training set size	Reference
Planktivorous fish	WA	Denmark	30	Jeppesen et al., 1996
Mean summer temperature	WA-PLS	Switzerland	68	Lotter et al., 1997
TP	WA-PLS	Switzerland	68	Lotter et al., 1998
TP	WA	Denmark	32	Brodersen et al., 1998
SAL, Depth	WA	Canada	33	Bos et al., 1999
Depth	WA-PLS, WA, PLS	Finland	53	Korhola et al., 2000
Planktivorous fish, SAL, TP	WA	Denmark	36	Amsinck et al., 2005
Lake surface water temperature	PLS	United Kingdom, Norway	68	Kattel et al., 2008
TP	WA-PLS, WA, PLS	Ireland	33	Chen et al., 2010
Macrophytes, Planktivorous fish	WA, ML	United Kingdom, Denmark	39	Davidson et al., 2010
Depth	WA	Greenland	53	Davidson et al., 2011b
Depth	MA, WA, WA- PLS, PLS, ML	Finland	55	Nevalainen et al. 2011
Maximum depth	PLS	Sri Lanka	51	Yatigammana et al., 2017
Macrophytes	BRT	China	64	Dong et al., 2020
Depth	WA, WA-PLS, MA, RF	China, Mongolia	136	Wang et al., 2024

### 2 Methods

The work of this thesis consists of four major blocks:

- Training set development
- 2. Assessment of the relationship between environmental factors and subfossil Cladocera assemblages
- 3. Development of methods for subfossil Cladocera based environmental reconstructions
- 4. Analysis of subfossil Cladocera in sediment records and lake environmental reconstruction



**Figure 1** Location of the sampling sites. a – location of the Baltic states in Europe. b – map of the lakes included in the surface sediment training set. White circles - sites for which environmental data was obtained from monitoring programs; Orange circles – environmental data collected by the author of the thesis or co-authors of Article I. Yellow stars – the sites for which sediment cores were investigated.

### 2.1 Training set development

78 lakes, bog pools, and artificial water bodies across Estonia, Latvia, and Lithuania were selected to create a surface sediment training set with an aim to encompass the diversity of freshwater environmental conditions found throughout the Baltic states. In particular, sites that captured variations in pH, electrical conductivity (EC), trophic state (TS), lake maximum depth (Depth), and lake surface area (Area) were targeted. Furthermore, sites with different levels of anthropogenic disturbance were selected, ensuring that the resulting training set accurately represents the variety of conditions of the Baltic region. The artificial water bodies (3 sites) were included in the training set to improve the coverage of hypereutrophic lake conditions, as, after the preliminary data analysis, it was found that there was a shortage of such sites among natural water bodies. These artificial water bodies with considerable sediment layers are at least 100 years old. Therefore, their functioning should be comparable to that of natural lakes.

### 2.1.1 Sediment collection

Lake surface sediments were collected during the fieldwork campaigns of 2021 and 2022. Where possible, surface sediments were taken in the deepest part of the lake using a Willner-type gravity corer. The upper two cm of sediments were preserved for further analysis. This amount of sediments could accumulate in approximately 2–10 years of lake history (Heinsalu & Alliksaar, 2009; Liiv et al., 2018; Mikalauskienė et al., 2015; Punning et al., 2007; Stivrins et al., 2018; Stivrins, 2023; Veski et al., 2005). Therefore, it was assumed that the upper two cm of surface sediment should represent the modern situation (up to 10 years) while avoiding the one-year extreme event bias. However, the represented period might also be shorter for highly productive lake ecosystems (Article II).

### 2.1.2 Lake water descriptive parameters

The information on the lake water descriptive parameters was collected by the author of this thesis (28 sites), obtained from the monitoring data of Lithuania (11 sites) (Aplinkos Apsaugos Agentūra , 2024), Latvia (16 sites) (LVĢMC, shared upon request), and Estonia (15 sites) (Keskkonnaseire infosüsteem, 2024), from published literature (3 sites) (Zagars et al., 2025) or collected by other co-authors of Article I (5 sites). As many parameters were only measured by a few of the mentioned sources, this thesis will focus on the ones with no missing values that could be potentially used for subfossil Cladocera based environmental reconstructions. These parameters are surface water pH, EC, dissolved oxygen (DO), Secchi depth (SD), total phosphorus (TP), and Chlorophyll-a (Chl-a). Additional parameters analyzed include lake Depth, Area, and mean annual air temperature (Tann).

The author collected water samples and measurements during the fieldwork campaigns of 2021 and 2022 to obtain data from smaller lakes outside the scope of the monitoring programs, which primarily focus on lakes with an Area of > 50 ha. Measurements were taken one to three times per vegetation season (April to October). The author measured pH, EC, and DO on site using a ProDSS probe and SD using a white Secchi disk. The author measured TP and Chl-a concentrations in laboratory conditions. More information on the methodology of these measurements can be found in Article I.

The monitoring program's information was extracted for up to five years before and one year after the sediment collection on lake water pH, EC, TP, total nitrogen (TN), DO, and SD. The five years were chosen as this period should approximately correspond to the period in which the collected surface sediments could have accumulated (see section 2.1.1). Only measurements from the growing season (April to October) were included in the data analysis, as this period is the most important for the development of Cladocera (Smirnov, 2017; Walther & Linderholm, 2006). There were differences in the number and frequency of measurements between data providers, such as measurements done every year vs. every few years, every month vs. one or few times per vegetation season, therefore the existing measurements of each parameter for each lake were combined as the average value of all the measurements of Table 2. While the relationship between TN and subfossil Cladocera was not analyzed directly, this information was included in the trophic state index (TSI) calculations where available (see section 2.4).

Auxiliary parameters such as Depth and Area were extracted from literature (Estonia: kalapeedia.ee; Latvia: ezeri.lv; Lithuania: zvejogidas.lt). Information on the T<sub>ann</sub> for each lake was compiled from the ERA5 dataset (Copernicus Climate Data Store) (Hersbach, 2020).

**Table 2.** Time of measurements for each lake. Lake names with a white background are missing total nitrogen measurements. Under each year, the months in which the data was collected are written. When a hyphen is used between numbers, it relates to time, which includes every month in the range. Months of data collection are color-coded according to the data provider: Estonian (EST), Latvian (LV), and Lithuanian (LT) monitoring programs, Anna Lanka (AL), Matīss Žagars (MZ), and Inta Dimante-Deimantoviča (IDD).

Lake	2015	2016	2017	2018	2019	2020	2021	2022	Lake	2015	2016	2017	2018	2019	2020	2021	2022
1. Äntu sinijärv		5; 7-9			5; 7-9				40. Neitsi							5;8;9	
									41.								
2. Āraiši							7;9		Nestrėvantys			5;7-9			5;7;8;10		
									42. Nohipalo								
3. Augstroze					5;8		4-10	5-8	Mustjärv	5;7-9	5;7-9	5;7-9	5;7-9	5;7-9	5;7-9		
									43. Nohipalo								
4. Bānūži							6;7;9		Valgõjärv	5;7-9	5;7-9	5;7-9	5;7-9	5;7-9			
5. Burtnieks		6;8	5;8	4-10	5;8	6;8			44. Ohepalu	5;7-9				6;8;10	5;7-9		
6. Čertoks							7;9		45. Pangodi						5;7-9		
7. Ciematnieku							5-8;10		46. Pärsti							5;8;9	
									47. Petraičių								
8. Dagdas							7;9		tvenkinys			5;7-9			4;7-9		
9. Dervanišķu				5;8;10					48. Pikämäe								9
10. Durbes					5;8				49. Prossa							6;8;9	
11. Galgauskas							6;7;9		50. Rijas							6;7;9	
12. Gubišče							6;7;9		51. Ruusmäe							5;8;9	
13. Harku							4-6;8;9		52. Saad		5;7-9						
14. Ilze/Garais							4-10		53. Salas							6;7;9	
15. Jazinks							7;9		54. Sasmakas					5;8			
16. Jõksi		5;7-9							55. Sekšu					4;6;10			
17. Kaiavere		5;7-9							56. Šiurpys			5-10	4;6-10	4;6-10	4;6-10	4;6-10	
18. Kaminča							6;7;9		57. Skirna				5;8;10			4-10	
19. Karvys							4;7-9		58. Šventas			5-10	4;6-10	4;6-10	4;6-10	4;6-10	
20. Kemešys						5;7-9			59. Zalvas						5;7;8;10		
21. Kirneilis						5;7-9			60. Zosnas		4-10					5;8;10	
22. Kombuļu							6;7;9		61. Zvirgzdu			5;8;10					
23. Kuulma								9	62. Talkša			4;7-9			4;7-9		
24. Kooraste																	
Linajärv							5;8;9		63. Tolkjāja							5-7;10	
25. Köstrijärv				5;7-9			5;8;9		64. Tollari							5;8;9	
26. Kurtava							5-8;10		65. Trikāta							6;7;9	
27. Laucesa			5;8;10			5;7;8;10	4-10		66. Udsu							5;8;9	
28. Laukezers		4-9				5;8;10			67. Uiakatsi							5;8;9	
29. Lielais Stropu																	
ezers		8	8	8	5;7;10				68. Ungurs		4-10					5;8;10	
30. Lielais																	
Svētiņu							6;7;9		69. Vaboles							5-8;10	
31. Liepājas							4-10		70. Vagula		5;7-9						
32. Liepsalas							5;6;8;10		71. Velnezers					4;6;10			
33. Limbažu																	
dūņezers		6;10		4-10			<b>5</b> ;7; <b>8</b> ;9	5-8	72. Verevi			5;7-9					
34. Lubāns					5;8;10	4-10			73. Vērtezis							5;6;8;10	
									74. Viitna								
35. Maardu	5;7-9						5;7-9		Linajärv							5;8;9	
									75. Viitna								
36. Mikila							5;8;9		Pikkjärv	5;7-9	5;7-9	5;7-9	5;7-9	5;7-9		5;9	
37. Margis						5;7;8;10			76. Viroste								9
38. Mazsunākste							6;7;9		77. Võnnu							6;8;9	
39. Nakri							5;8;9		78. Vööla meri						5;7-9	5	

### 2.2 Study sites for analysis of sediment cores

We selected three lakes from Latvia and Estonia with different levels of human impact and temporal coverage for environmental reconstruction. All these lakes are small-sized (Velnezers 3.5 ha, Sekšu 7.9 ha, Nakri 0.9 ha) brown water lakes (Līcīte, 2017a, 2017b; authors' observations). Lake Sekšu and Velnezers nowadays do not have any inlet or outlet, while Lake Nakri receives water from a small spring. Currently, Lake Sekšu, Lake Velnezers, and Lake Nakri can be described as eutrophic lakes with neutral to alkaline pH (Article I).

### 2.2.1 Lake Velnezers

Lake Velnezers (56.97' °N, 24.24' °E) (average depth 3.5 m, maximum depth 6 m, elevation 4.6 m) is located within Riga, Latvia (Dručka, 2014). The catchment area of Lake Velnezers has undergone significant changes in the last 200 years, transitioning from a forested to an agricultural to an urban landscape. These changes have resulted in increased eutrophication as well as water pollution with heavy metals (Article II).

Samples for Lake Velnezers were collected in February 2019 using 8 cm diameter Kayak-type gravity corer. The sediment profile was 33 cm long and was sliced into 1 cm thick sub-samples in the field conditions. The core chronology was obtained using <sup>210</sup>Pb dating (Blaauw & Christen, 2011; Tylmann et al., 2016). In Article II, sediment chemistry was also analyzed to better evaluate changes in Lake Velnezers.

### 2.2.2 Lake Sekšu

Lake Sekšu (57°03′N, 24°35′) is a shallow lake (average depth between 2.3 and 3.3 m, maximum depth 6 m, elevation 2.5 m a.s.l) located in the vicinity of Riga (Bauze-Krastiņš, 2009; Jansons, 1997; Latvijas Ezeri, 2010). Lake Sekšu has been part of the "Baltezers" water supply system for Riga since 1904. Currently, the lake is part of a restricted area, limiting more direct influences from pollution of agriculture and recreation (LVĢMC, 2015). The utilization of Lake Sekšu in the water supply system has led to considerable water level fluctuations (Bauze-Krastiņš, 2009; Jansons, 1997). Between 1953 and 1965, attempts were made to increase the water level in Lake Sekšu by pumping water from nearby Lake Baltezers via pipeline (Dziļuma, 2003). The influence of this pipeline led to increased erosion and eutrophication of Lake Sekšu (Article III).

Samples for Lake Sekšu were collected in February 2019 using 8 cm diameter Kayak-type gravity corer. The sediment profile was 46 cm long and was sliced into 1 cm thick sub-samples in the field. The core chronology was obtained using spheroidal carbonaceous particles, <sup>137</sup>Cs, and <sup>210</sup>Pb dating (Rose, 1990; Tylmann et al., 2016). In addition to subfossil Cladocera analysis, for this core, we also have information on pollen, non-pollen palynomorph, diatom, Chironomidae, and sediment chemical composition (Article III).

### 2.2.3 Lake Nakri

Lake Nakri (57°53′ N, 26°16′ E) (maximum depth 3 m, 48 m a.s.l.) is a small, shallow lake in Southern Estonia. The low swampy shores of Lake Nakri are characterized by a floating mat, with vegetation composed of *Phragmites australis* and different *Sphagnum* and *Carex* species. Macrophytes of Lake Nakri mainly consist of submerged species, with a few floating-leaved plants (authors' field observations). The catchment (0.25 km²) (Amon et al., 2012) of Lake Nakri is dominated by coniferous and birch forests. Nowadays, some

potential influences for Lake Nakri include drainage ditches from the nearby forest and a gravel road passing by the northern end of the lake (Keskkonnainvesteeringute keskus, 2024). However, this might not have always been the case as historical maps show that in the 19th and the beginning of the 20<sup>th</sup> century, some parts of the northern shore of Lake Nakri were covered by pasture instead of forest (Üheverstane kaart, 1911).

Several publications have used sediment archives from Lake Nakri to investigate the climatic and vegetation patterns of late glacial (14,700 to 11,700 years BP) and early Holocene (11,700 to 8,000 years BP) periods (Amon et al., 2012, 2014; Väliranta et al., 2015; Veski et al., 2015). The area of Lake Nakri deglaciated approximately 14,000 years ago. The pollen and macrofossil data show that during the late glacial period, the area underwent different stages of tundra vegetation, with a transition to forest vegetation at the beginning of the Holocene, approximately 11,650 years BP (Amon et al., 2012).

Sediment sampling of Lake Nakri was done on three separate occasions. In the year 2007 the glacial and early Holocene sediments were cored (sediment depths used in this thesis 10.5 to 7.4 m), in 2009 (sediment depth selected from these cores 7.3 to 6 m), and in 2018 (sediment depth 6 m to 0.25 m) using a Russian corer. In 2018 Willner corer was used for the surface sediments (sediment depth 0.25 to 0 m).

Sediments were sampled for Cladocera analysis (for more details on Cladocera analysis, see section 2.3) with an interval of every 10 cm for the upper 3 m to capture the potential anthropogenic influence on the lake ecosystem. In the rest of the sediment profile, the author sampled sediments with an interval of every 20 cm. There were some deviations in this sampling strategy in cases when some parts of the core were missing due to the material being used to analyze some other proxies, but also when transitioning to cores taken at different times (for example, switching from cores taken in 2018 to the ones taken in 2009). The author also sampled sediments with a smaller interval in the transition zone between late glacial and Holocene sediments. For more information on the selected samples and sediment dating, see Manuscript I.

### 2.3 Cladocera analysis

For subfossil Cladocera analysis, 1–2 cm³ of sediment samples were taken and prepared according to the standard procedure described in Szeroczyńska & Sarmaja-Korjonen (2007). Sediment samples were placed in 100 ml of 10% KOH, then heated in an 85 °C water bath for 30 minutes. Afterward, samples were sieved (mesh size 40  $\mu$ m). In this step, 10% HCl was applied if the sediments were carbonate-rich. After the treatment, sediment samples were diluted with water. The volume for the sample dilution was 10 ml for the samples of the surface sediment training set, Lake Sekšu, Lake Velnezers, and most of Lake Nakri. However, for some of the samples, it was visible already during the sample treatment process that the concentration of the remains was low, so the dilution volume was adjusted accordingly. The diluted sediments were stained with Safranine O. A few drops of ethanol were added to the diluted sample, and it was stored in a fridge until further investigation.

To prepare the microscopy slides,  $100~\mu l$  of the homogenized subsample was taken with an automatic pipette. The prepared slides were investigated under a light microscope at 100x, 200x, and 400x magnification. All the recognizable remains (heads, shells, postabdomens, postabdominal claws, etc.) within each slide were counted. After counting each slide, the maximum number of individuals present for each species and how many individuals could be present in total were calculated, and this procedure was

repeated until the number of individuals was at least 70. This number is considered to be sufficient to characterize the species assemblages (Kurek et al., 2010). After this, one additional slide was investigated, marking the presence of the species not previously found in the sample (Figure 2).

Species identification was primarily based on the identification key by Szeroczyńska and Sarmaja-Korjonen (2007). For the determination of rare species, or species and skeletal elements not described in this book, additional literature was used (Bjerring et al., 2008; Błędzki & Rybak, 2016; Sinev & Gavrilko, 2021; Van Damme & Nevalainen, 2019).

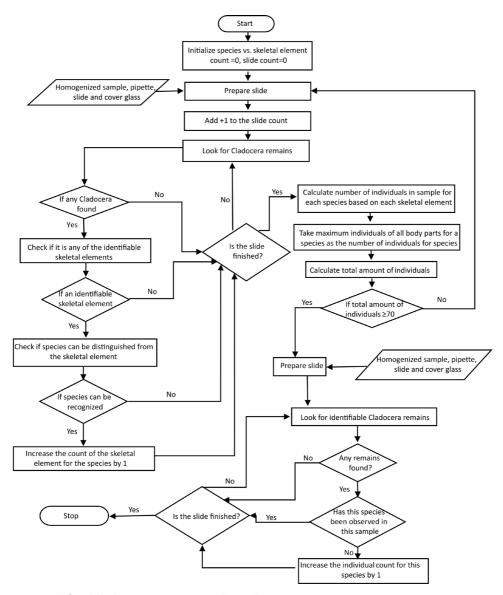


Figure 2 Subfossil Cladocera microscopy analysis scheme.

### 2.4 Data analysis

### 2.4.1 Dataset manipulations and data subset creation

To give a general description of Cladocera species assemblage samples of the surface sediment training set and the cores of Lake Velnezers, Lake Sekšu, and Lake Nakri, species counts were converted to relative abundances. All of the species were included in the functional group analysis and species diversity analysis (see section 2.4.3), but for ordination analysis (section 2.4.2), indicator species analysis (section 2.4.4), and model development (section 2.4.5) all the species that appeared in 5 samples or less were excluded from the dataset. Before it was done, it was graphically assessed whether the observations clustered around some areas of the tested environmental gradients (which they did not).

The pH, EC, Chl-a, TN, TP, and SD values for each lake were expressed as the average value of all the measurements for each parameter. This was done due to uneven data coverage among lakes (Table 2). Eutrophication and trophic state (TS) of lakes is one of the focus points in paleolimnological research (Davidson & Jeppesen, 2013). However, TS is a complex variable that can be assessed based on several parameters (such as TP, TN, SD, and Chl-a). To simplify the TS evaluation of the lakes, a combined parameter-trophic state index (TSI) (Brezonik, 1984; Carlson, 1977; Carlson, 2007) was calculated and added to the data analysis. First, TSI was calculated based on each of the measured TS-related parameters (SD, Chl-a, TP, and TN).

$$TSI(SD) = 60 - 14.41 \ln{(SD)}$$
  
 $TSI(Chl - a) = 9.81 \ln{(Chl - a)} + 30.6$   
 $TSI(TP) = 14.42 \ln{(TP)} + 4.15$   
 $TSI(TN) = 54.45 + 14.43 \ln{(TN)}$ 

Then the average TSI index was calculated depending on the limiting nutrient, if information on TN was available. If TN data was missing and it was not possible to determine the limiting nutrient, an assumption of TP limitation was made. In dystrophic lakes, SD is water color limited due to the humic substances (Gray et al., 2022); therefore, in these lakes, SD was excluded from the TSI calculation, and the average value calculation was adjusted accordingly (division by two instead of three).

Nutrient balanced lakes (10≤TN/TP≥30)

$$TSI = \frac{TSI(Chl - a) + TSI(SD) + 0.5(TSI(TP) + TSI(TN))}{3}$$

P-limited lakes (TN/TP>30)

$$TSI = \frac{TSI(Chl - a) + TSI(SD) + TSI(TP)}{3}$$

N-limited lakes (TN/TP<10)

$$TSI = \frac{TSI(Chl - a) + TSI(SD) + TSI(TN)}{3}$$

The taxonomical level to which species are determined, and training set data coverage, can influence the model performance and statistical analysis results (Heiri & Lotter, 2010; Turner et al., 2021). Therefore, smaller data subsets were created and used in ordination analysis and model building. Subsets were created by excluding lakes from the training set with pH < 6. This lake group mainly consisted of dystrophic lakes and is

mostly addressed separately from clear water lakes in limnological research. Another subset type was created by merging *Bosmina* (*Eubosmina*) *longispina* and *Bosmina* (*Eubosmina*) *coregoni* under the group *Eubosmina* spp. The taxonomy and determination of Eubosmina species is complicated due to its cyclomorphic forms and high morphological variability (Błędzki & Rybak, 2016; Johnsen & Raddum, 1987). However, while merging these two groups lessens the probability of subfossil remain misidentification, some information may be lost this way.

The resulting datasets are:

- "all" dataset that includes all the species that have been observed in more than five sites and consists of all 78 sites of the training set;
- "Eubosmina" dataset similar to "all", with the only difference of B. (E.) longispina and B. (E.) coregoni being merged into Eubosmina spp.;
- "pH > 6" dataset with excluded lakes with average pH < 6;</li>
- "Eubosmina (pH < 6)" excluded lakes with average pH < 6 and B. (E.) longispina and B. (E.) coregoni being merged into Eubosmina spp.</li>

### 2.4.2 Cladocera species relation to environmental parameters

Cladocera species relation to environmental parameters are primarily investigated in Article I. For data preparation in this step, subfossil Cladocera data were square root transformed, and species that had fewer than five observations were excluded from the analysis. Environmental data was natural logarithm transformed. The main environmental factors affecting subfossil Cladocera species composition were determined by redundancy analysis (RDA) (Birks et al., 2012) with a forward selection algorithm to reduce the number of explanatory variables. The analysis was performed for all of the created data subsets to determine whether the driving factors of the species composition differed. The analysis was done in R (v4.3.3, R core team 2024), using library "vegan" (Oksanen et al., 2022). Only parameters identified as significant in the RDA analysis were further investigated for environmental reconstructions.

### 2.4.3 Cladocera composition description

Cladocera species diversity was described in the samples based on Shannon's species diversity index, species evenness, and rarefied richness (Birks, 2012). Rarefied species richness was calculated in program R (v4.3.3, R core team 2024), using library "vegan" (Oksanen et al., 2022). Species diversity and evenness were calculated in program "Past 5.2.1" (Hammer et al., 2001).

Functional characteristics of a community can reveal aspects of ecosystem functioning that may be overlooked when focusing on individual species, mainly due to factors like competition for resources and functional redundancy (Laureto et al., 2015). Therefore, in addition to analyzing Cladocera community on a species level, the observed species were also divided into groups based on the average female size of the species (Small < 0.5 mm, Medium 0.5–1 mm, Large > 1 mm), habitat preference (Pelagic, Littoral unspecified (Littoral generalists), littoral Macrophyte-associated, littoral Sediment-associated). Species were divided into groups based on the species description in Błędzki & Rybak (2016). The relationship between the environmental parameters identified by RDA analysis and the functional groups of the training set samples was assessed via Pearson's correlation coefficient (Berman, 2016). Species composition was further evaluated by PCA analysis (Birks et al., 2012), which was carried out for each of the data subsets.

### 2.4.4 Indicator species analysis

Cladocera are commonly used in paleolimnology as indicators to assess the changes in lake environments (Chen et al., 2010; Davidson et al, 2010; Zawiska et al., 2025). To evaluate which species could be used as indicators for past environmental reconstructions, IndVal.g analysis was used (Cáceres & Legendre, 2009). This method evaluates species fidelity and observation frequency within the group (Dufrêne & Legendre, 1997). IndVal.g differs from IndVal by taking into account the number of samples within the analysed group (Cáceres & Legendre, 2009). The indicator species analysis was performed in program R, using "indicspecies" package (Cáceres et al., 2010). Within the analysis, it is possible to define whether each species can be indicative of only one group or several groups. Researchers more commonly use one species -one group indicator species approach, however, allowing a species to be indicative of several environmental groups can allow inclusion of species with wider environmental niches (Cáceres et al., 2010) and could be potentially more informative in cases when species environmental preference lays within a border of two environmental groups. Indicator species analysis was performed by applying both approaches. One species – one group approach is further referred to as "IndVal.g1", and multiple group indicator species analysis is referred to as "IndVal.gall".

Each of the important environmental variables identified by RDA analysis was analysed using the IndVal.g method. The environmental groups were pre-defined by the author of the thesis. Trophic state (TS) groups were defined based on the TSI values, as TS is a complex variable. The TS groups were oligotrophic, mesotrophic, eutrophic, hypereutrophic (Table 3), and dystrophic. Dystrophic lakes were identified based on the literature, as dystrophic lake definitions vary and are often based on catchment characteristics more than water chemistry (Engele & Sniedze-Kretalova, 2010; Gray et al, 2022; Ingmar Ott, personal communication).

**Table 3.** TS descriptive water parameters and the threshold values between different trophic states according to Nürnberg (1996) and Carlson (2007).

Trophic state	Trophic State Index	Secchi depth (m)	Total Phosphorus (μg/l)	Total Nitrogen (µg/I)	Chlorophyll- <i>α</i> (μg/l)
Oligotrophic	< 40	> 4	< 10	< 350	< 3.5
Mesotrophic	40–50	2–4	10–30	350–650	3.5–9
Eutrophic	50–70	1–2	30–100	650–1200	9–25
Hypereutrophic	> 70	< 1	> 100	> 1200	> 25

Lakes were grouped based on their average pH value into groups "< 6", "6-8", and "> 6". The selected electrical conductivity (EC) groups were "0-100", "100-200, "200-300", "300-400" and "> 400". The selected Depth groups were defined with a 5 m step ("< 5", "5-10", "10-15", "15-20", "> 20"). Lake Area (ha) groups were defined as "< 10", "10-25", "25-50", "50-100", "100-400", "200-400", "200-400". The division of EC and Depth groups with a step was chosen due to a lack of clear definitions within limnological literature. Indicator

species analysis and its pH, EC, and TS results are described in depth in Article I. The only new result of pH, EC, and TS indicator species was regarding *Eubosmina* spp., whose indicator species potential was not assessed in Article I.

### 2.4.5 Model development

This work aimed to find suitable models for reconstructing past environmental changes in the Baltic states. We developed and tested weighted averaging partial least squares (WA-PLS) and boosted regression tree (BRT) models for the reconstruction of pH, EC, Depth, and TS descriptive parameters (such as TSI, TP, Chl-a). WA-PLS is one of the most commonly used methods for constructing transfer functions in paleolimnology (Heiri et al., 2011; Turner et al., 2021). This method combines two commonly used methods – weighted averaging (WA) and partial least squares (PLS) – each with its own advantages and limitations. WA is a popular method for environmental reconstructions that captures unimodal species-environment relationships well. However, it is rather sensitive to uneven data distribution along the gradient and tends to overestimate the values at the lower end of the gradient and underestimate the higher values. On the other hand, PLS is a method that can effectively use several components for environmental predictions but has a tendency for model overfitting (Birks et al., 2012). It is considered that combining these two methods into WA-PLS results in improved model performance (Ter Braak & Juggins, 1993).

Even though WA-PLS has been shown to be an effective method for environmental reconstruction, it has some limitations, such as the model assumption of unimodal species response distributions (Ter Braak et al., 1993). Furthermore, uneven environmental gradient distribution can significantly impair the model's predictive power (Turner et al., 2021). As most of the data in the developed training set for Baltic States has uneven coverage along the environmental gradients (Appendix 5), machine learning methods that do not have fundamental assumptions might be more appropriate. Despite the rapid development of machine learning techniques, subfossil Cladocera research and the field of paleolimnology have shown relatively few attempts to integrate this knowledge into past environmental reconstructions (Dong et al., 2020; Salonen et al., 2014; Sun et al., 2024; Wang et al., 2021). Therefore, the author tested whether BRT could be a suitable method for reconstructing past lake conditions of the Baltic states.

The BRT approach combines regression tree and boosting algorithms. Regression trees are non-parametric models that subset the data with similar response values. They are capable of capturing complex, nonlinear relationships and interactions between species and environmental variables. Boosting, on the other hand, is an ensemble learning method that incrementally builds a strong predictive model by combining many weaker models, reducing bias and improving performance. While boosting enhances accuracy and robustness, it can be computationally intensive and prone to overfitting if not properly tuned (Elith et al., 2008). The integration of these two approaches in BRT allows to account for non-linear, non-monotonic relationships and interactions, which are common in species-environment datasets (Birks et al., 2012).

Data analysis was done using program R (v4.3.3, R core team 2024), the WA-PLS model was developed using package "rioja" (Juggins, 2022), while the BRT model was developed using package "gbm" (v 2.2.2, Ridgeway & Developers, 2024). To make the model results comparable for both models, k-fold (k = 10) cross-validation (López et al., 2022) with fixed folds predefined by the author (Appendix 6) was used. The WA-PLS model was tested for

five components, and for the final model, the component with the lowest average RMSEP value was chosen.

The BRT workflow development was based on the article by Elith et al. (2008). The author did grided automated hyperparameter tuning to find the inner model parameters that would give the best results for the BRT model (López et al., 2022). The model performance was tested for distributions ("gaussian", "laplace", "tdist"), shrinkage (0.1, 0.05, and 0.01), bag fraction (0.6, 0.65, 0.7 and 0.75), interaction depth (1, 2 and 3), a minimum number of observations in the terminal nodes (2, 3, 5, 10) with a maximum number of 2000 trees. The best set of hyperparameters was chosen by selecting the hyperparameter combination that would give the smallest mean square error. The seed was set before the hyperparameter tuning, and a matching seed was used before the usage of the model to ensure the reproducibility of the model result. The best hyperparameters can be found in Appendix 7.

To make the data distribution more suitable for the WA-PLS model, Cladocera data was square root transformed, species present in less than five samples were excluded, and environmental data (except pH) was natural logarithm (In) transformed. It was done as most of the parameters had a right-skewed distribution. However, pH has a slightly left-skewed distribution (Appendix 5). Therefore, natural logarithm transformation would not improve the distribution of the pH data (Birks et al., 2012).

Separate BRT and WA-PLS models were built using data subsets "all" and "Eubosmina" for pH, EC, TSI, TP, Chl-a, and Depth. Models based on datasets "pH > 6" and "Eubosmina (pH > 6)" were built for TSI, TP, Chl-a, and Depth.

The cross-validation (CV) results for all the developed reconstruction models for each parameter (pH, EC, TSI, TP, Chl-a, Depth) were compared by RMSEP, R2, and slope values for model CV results. Residuals vs. Predicted values were tested for heteroskedasticity using the Breusch-Pagan test in the package "Imtest" (Zeileis & Hothorn, 2002), and for the normality of distribution using the Shapiro-Wilk test (Shapiro & Wilk, 1965). Residuals vs. Observed values were analysed using Pearson's correlation coefficient (Berman, 2016) to identify if models are displaying any trends in their predictions in relation to the observed values.

### 2.4.6 Environmental reconstructions

The stratigraphic diagrams for Lake Velnezers, Sekšu, and Nakri were created in the program Tilia (Grimm, 2011). For sediment core investigations, to recognize the important shifts in the Cladocera species community, temporally constrained hierarchical clustering (CONISS) was employed (Grimm, 1987). The number of significant clusters was chosen using the broken stick method (Jackson, 1993).

The author tested the developed pH, EC, TSI, TP, ChI-a, and Depth models on the core of Lake Velnezers (Article II), Lake Sekšu (Article III), and Lake Nakri (Manuscript I). All of the developed models were applied to these sites and evaluated how well the model output aligns with the conclusions drawn in Article II, Article III, and Manuscript I, as well as with information from literature sources. Additionally, the author evaluates pH, EC, TS, and Depth based on the indicator species and functional group approach findings of this work. LOESS 10-point smoothing was applied to the graphical representations of all the reconstructed parameters for each lake. It was hypothesized that sediment core samples that have a similar species composition to the ones in the training set in reconstruction will provide more realistic results. The similarity between each sample in the core of Lake Sekšu, Lake Velnezers, and Lake Nakri was determined

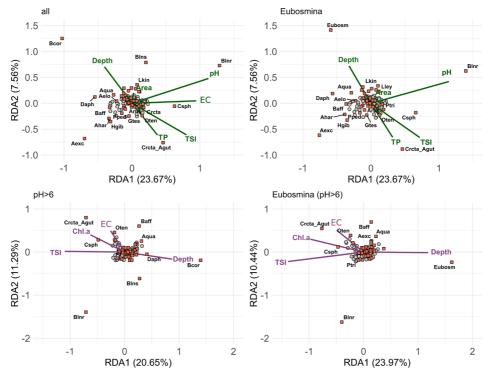
by first calculating the sample similarity as one minus Bray dissimilarity times 100 (Faith et al., 1987) between the sediment core sample and each of the training set samples. The 10 highest dissimilarity values were then selected to represent the dissimilarity results. This procedure was repeated for all four data subsets The past environment reconstructions, their representations as well as similarity calculations were done using program R (v4.3.3, R core team 2024) and libraries "rioja" (Juggins, 2022), "gbm" (v 2.2.2, Ridgeway & Developers, 2024) and "vegan" (Oksanen et al., 2022).

### 3 Results

# 3.1 Training set development

### 3.1.1 Cladocera relation to environmental parameters

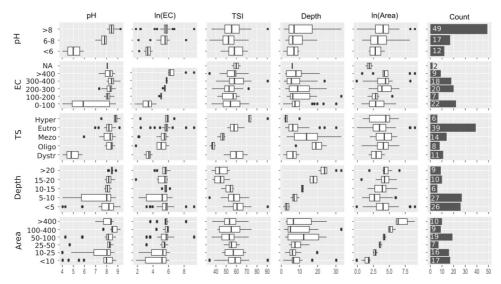
RDA triplot shows that pH, TSI, and Depth are the main environmental parameters influencing subfossil Cladocera species communities (Figure 3). These parameters have a significant explanatory power independently of the tested dataset. Parameters, that were selected as significant by the forward selection criteria in some of the tested datasets, but not others are TP and Area ("all", "Eubosmina"), Chl-a ("pH > 6", "Eubosmina (pH > 6)"), EC ("All", "pH > 6", "Eubosmina (pH > 6)"). The explanatory power of the RDA1 and RDA2 axes is constant, regardless of whether Bosmina (Eubosmina) longispina and B. (E.) coregoni are left as separate groups ("All") or merged into Eubosmina spp. ("Eubosmina"). However, it can be observed that changes in RDA1 and RDA2 axis explanatory power change from the dataset "pH > 6" to "Eubosmina (pH > 6)", with increasing explanatory power for the RDA1 (from 20.65% to 23.97%) and a slight reduction of RDA2 explanatory power. The parameters excluded from further analysis as insignificant based on the RDA were DO, SD, and  $T_{ann}$ .



**Figure 3** Redundancy analysis (RDA) for each of the sub-datasets ("all", "pH > 6", "Eubosmina", "Eubosmina (pH > 6)").

### 3.1.2 Environmental parameters

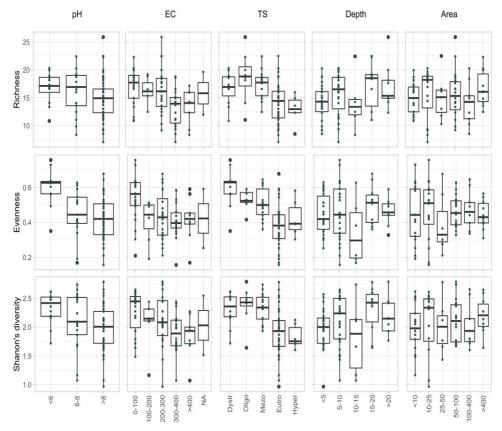
The median values of TSI and Depth do not noticeably differ between pH groups, however there is a difference in data range. The pH < 6 lakes do not exceed lake Depth of 10 m and have generally higher TSI values. While on average, pH < 6 lakes are smaller, the smallest and largest lakes belonged to pH groups 6–8 and > 8. (Figure 4). All of the pH < 6 lakes also belonged to the EC 0–100 group, but not all the lakes with EC 0–100 belonged to the pH < 6 group. There is no clear relationship between EC and TSI. There appears to be a relationship between lake Depth and lake TSI. Oligotrophic lakes were the deepest, and with increased TS the median lake Depth decreases, hypereutrophic lakes rarely exceeding 5 m. Shallow lakes (Depth < 10 m) tend to be smaller, but there seems to be generally little connection between lake Area and any other parameter.



**Figure 4** Distribution of pH, EC (Electrical Conductivity,  $\mu$ S/cm), TS (Trophic State), Depth (maximum depth, m), Area (lake surface area, ha) groups across pH, EC, TSI (Trophic State Index), Depth, and Area values. In the column "count" a number of lakes in each group are represented by histograms.

### 3.1.3 Cladocera relation to environmental parameters

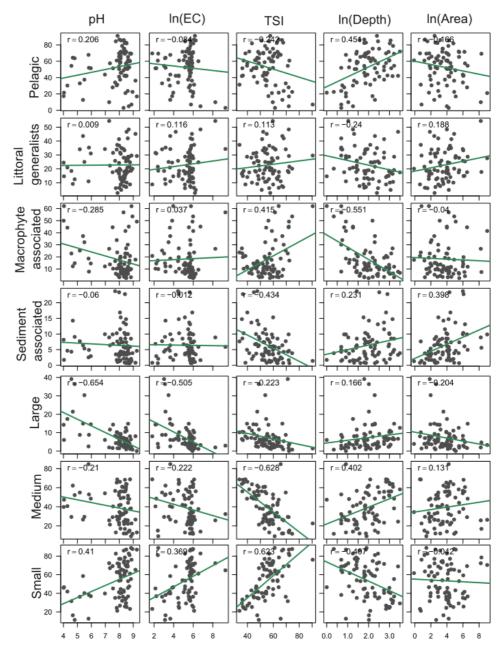
Lakes with pH < 6 seem to have noticeably higher species evenness and slightly higher species richness and diversity (Figure 5). Species richness, evenness, and diversity tend to reduce with increasing TS. Data points are scattered in all of these cases, especially for eutrophic lakes. Therefore, results cannot be interpreted unequivocally. Species diversity and richness reduce with the increase of EC. On the other hand, evenness is higher in lakes with EC < 100  $\mu$ S/cm, but does not differ much between lakes with EC > 100  $\mu$ S/cm. Lake Area and Depth seem to have little connection to diversity descriptive parameters.



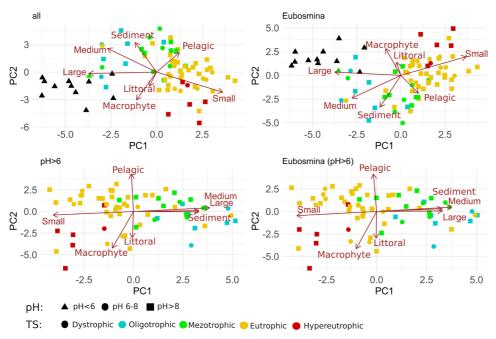
**Figure 5** Diversity descriptive parameters (Richness, Evenness, Shannon's diversity index) to pH, Electrical Conductivity (EC), Trophic state (TS), lake maximum depth (Depth, m), and surface area (Area, ha).

Functional groups that most correspond to pH and EC are Large-sized Cladocera (r = -65 and r = -51) (Figure 6). Small and Medium Cladocera strongly correlate to TSI (r = 0.62 and r = -0.63). However, this group also has a noticeable correlation to Depth (r = -0.41 and r = 0.40). Small Cladocera also has a positive relation to pH (r = 0.41). In addition to Small and Medium-sized Cladocera, lake Depth correlates with relative abundance of Pelagic Cladocera (r = 0.45) and Macrophyte-associated Cladocera (r = -0.55). Littoral generalists (littoral unspecified) show no significant relation to any of the analyzed parameters. There was no functional group related to the lake area.

PCA analysis shows that subfossil Cladocera species compositions cluster according to trophic groups and pH (Figure 7). The Small Cladocera group coincides with the direction of eutrophic lake distribution, while the Medium-sized Cladocera vector points towards oligotrophic conditions. Large species seem to be characteristic of dystrophic lakes; however, for the datasets where acidic and dystrophic lakes were excluded, the vector points towards oligotrophic lakes. Macrophyte-associated species show a connection to both hypereutrophic and dystrophic lakes. Sediment-associated species seem to be connected to oligotrophic and mesotrophic lakes, but Littoral generalist species and pelagic species do not display a clear connection to any of the pH or trophic groups.



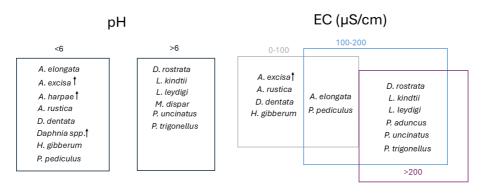
**Figure 6** Cladocera functional group relation to pH, Electrical Conductivity (EC), Trophic State Index (TSI), maximum depth (m; Depth), surface area (ha; Area). The top left corner of each plot Pearson's correlation coefficient (r) is displayed.



**Figure 7** Principal Component analysis (PCA) results for each of the data subsets ("all", "pH > 6", "Eubosmina", "Eubosmina (pH > 6)"). The relationship between lakes and functional groups is illustrated with arrows.

## 3.1.4 Indicator species analysis

Cladocera indicator species in relation to lake pH, EC, and TS were examined in Article I. Initial indicator species analysis showed, that there are two distinct species communities for lakes with pH < 6 and with pH > 6, while for EC similar result was observed with the dividing value being  $100 \,\mu\text{S/cm}$ , with an exception of *Alonopsis elongata* and *Polyphemus pediculus* which are indicative of EC <  $200 \,\mu\text{S/cm}$  (Figure 8).



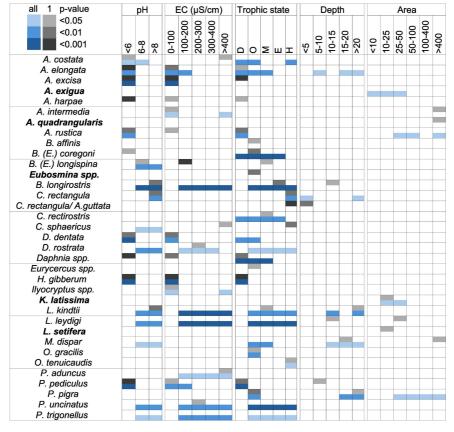
**Figure 8** Species identified as indicators of pH < 6, pH > 6, EC < 100  $\mu$ S/cm, EC < 200  $\mu$ S/cm, EC > 200  $\mu$ S/cm in Article I. Upwards arrow indicates that species is an indicator based on increase in relative abundance, not presence-absence.

The Cladocera identified as an indicator species by Article I displays a more complex pattern in relation to TS (Figure 9). Several species were found to be indicative of more than one trophic group. The presence of *A. elongata* can indicate dystrophic, oligotrophic, or mesotrophic conditions, while the presence of *Monospilus dispar* can indicate both oligotrophic and mesotrophic conditions. High relative abundances of *Daphnia spp.* can indicate dystrophic, oligotrophic, or mesotrophic conditions. Nevertheless, several species were found to be indicative of only one of the TS groups. Species whose presence indicates dystrophic conditions are *Alona rustica*, *Drepanothrix dentata*, *P. pediculus*, and *H. gibberum*. The presence of *Ophryoxus gracilis* and *Paralona pigra* can indicate oligotrophic conditions. The presence of *Oxyurella tenuicaudis* can indicate hypereutrophic conditions. An increase in relative abundance and dominance of *Alonella excisa* indicates dystrophic conditions, and an increase of *B. (E.) coregoni* suggests a reduction in the TS; the dominance of *B. (E.) longispina* is typical for mesotrophic conditions. The dominance of *B. longirostris* indicates eutrophic conditions, while the dominance of *Coronatella rectangula/ Alona guttata* and *Chydorus sphaericus* indicates hypereutrophic conditions.

#### **Trophic State** Oligotrophic **Eutrophic** M. dispar B. longirostris B. coregoni B. coregoni O. gracilis C. rectirostris P. pigra C. rectangula/ A. guttata A. elongata B. longispina 🕇 C. sphaericus 1 Daphnia spp.† Mesotrophic O. tenuicaudis A. excisa Î A. rustica Hypereutrophic H. gibberum D. dentata P. pediculus **Dystrophic**

**Figure 9** Species identified as indicative of oligotrophic, mesotrophic, eutrophic, hypereutrophic, dystrophic conditions, or some combination of these groups. As identified in Article I. The upward arrow indicates that species is an indicator based on the increase in relative abundance.

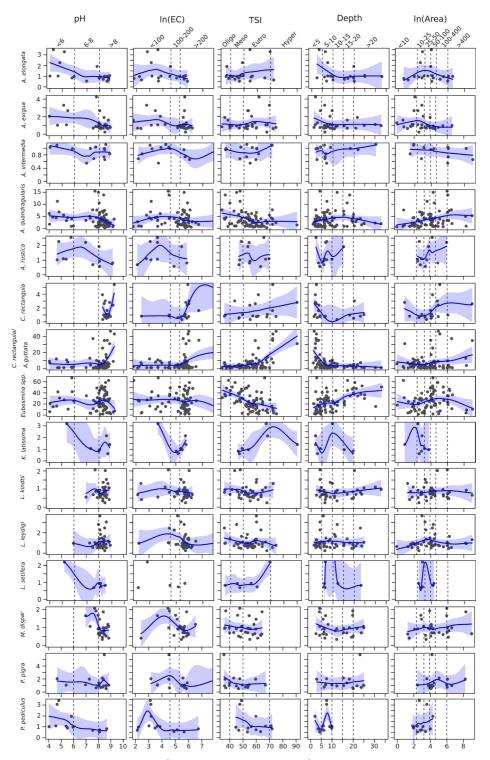
Indicator species analysis identified *Eubosmina* spp. as indicative of oligotrophic conditions. Testing the indicator species approach on lake Area resulted in four more potential indicator species (*Alonella exigua*, Area < 50 ha; *Alona quadrangularis*, Area > 400 ha; *Kurtzia latissima*, Area 10–50 ha; *Latona setifera*, Area 10–25 ha) (Figure 10). All of these results are statistically significant; however, they are of comparatively low significance (0.05 0.01). Results of Depth indicator species analysis classified as indicators species that were already mentioned as indicators of pH, EC, or TSI in Article I. *A. elongata* is a potential indicator of lakes with Depth of 5–10 and > 15 m. *B. longirostris* and *Leydigia leydigi* are potentially indicative of a lake Depth of 10–15 m. *P. pigra* might be indicative of lakes with a Depth of > 15 m.



**Figure 10** Indicator species results based on 1 group limited and group combined IndVal.g analysis. Species that are shown to be indicators by IndVal.g analysis and were not part of the indicator species list in Article I are displayed in bold.

Most of the species that were identified as new potential indicators can only be observed in small relative abundances (< 4%) (Figure 11). The exceptions are *A. quadrangularis* (maximum 15%), *C. rectangula/ A. guttata* (maximum > 40%), and *Eubosmina spp.* (maximum > 60%). *A. quadrangularis* has a larger relative abundance in lakes with a larger surface area (> 400 ha), but it can be found in lakes of all sizes. *Eubosmina spp.* relative abundance decreases with an increase in TSI and a decrease in Depth. However, this species was not identified as indicative of any particular Depths (Figure 10). *C. rectangula/ A. guttata* displays an opposite trend to *Eubosmina* spp. — it increases its relative abundance with an increase of TSI, and the highest relative abundance values for this species can be observed at lakes with Depth < 5m.

A. exigua can be observed in lakes of all sizes. However, this species is found in slightly higher relative abundances (> 2%) at lakes with Depths < 10 m. K. latissima observations are strictly limited to lakes with an Area < 50 ha. L. setifera was only observed in lakes with an Area between 10 and 400 ha. A similar result was found for P. pediculus (Figure 11), which was not identified as indicative of any particular Area size but instead is recognized as an indicator of depth between 5 and 10 m. In this Depth, P. pediculus reaches its highest relative abundance (3%). Results also show that P. pediculus was not found in any lakes that are deeper than 10 m.



**Figure 11** Relative abundance of potential indicator species of Depth and Area in relation to pH, EC, TS, Depth, and Area. Additionally, Eubosmina spp. results are shown, as this species group was not analyzed before in Article I.

## 3.2 Model development: WA-PLS and BRT cross-validation results

Model cross-validation (CV) results show that model performance descriptive parameters differ between the selected models (BRT vs. WA-PLS) and datasets ("all", "Eubosmina", "pH > 6", "Eubosmina (pH > 6)"). Removing dystrophic lakes (datasets "pH > 6" and "Eubosmina (pH > 6)") from the Depth, TSI, Chl-a, and TP models significantly improved the WA-PLS and BRT model performances (Figure 12). WA-PLS models' performance results for datasets "all" and "Eubosmina" are, in general, more similar than the results for the same datasets using BRT models, and the same similarity can be noted between "pH > 6" and "Eubosmina (pH > 6)" results. When comparing the CV results for the same datasets between WA-PLS and BRT models, WA-PLS tends to have lower RMSEP values and higher R2 values. Exceptions to these results were found for the Depth model CV, where BRT had somewhat lower RMSEP values and higher R2 values, and for the TP model, where R2 values were similar between WA-PLS and BRT. Quartiles 1 and 4 tend to have higher RMSEP values than quartiles 2 and 3. No significant correlations were found between residuals vs. predicted values, and Breusch-Pagan did not indicate any anomalies within the distribution of the residuals.

Models with an R2 value below 0.5 will be excluded from further environmental reconstructions of Lake Velnezers, Lake Sekšu, and Lake Nakri. This criterion classifies only datasets "pH > 6" and "Eubosmina (pH > 6)" as suitable for reconstructions of Depth, TP, and Chl-a. While for EC, the BRT model based on the "Eubosmina" dataset does not fit into the R2 > 0.5 criteria. It can be seen that the excluded models also tend to have a higher correlation of residuals vs. observed values, despite the fact that none of these correlations were statistically significant (Figure 12).

WA-PLS overall showed lower RMSEP values for the pH model. The situation slightly changes when looking at the RMSEP values per quartiles, with WA-PLS performing better at quartiles 1 and 2, while BRT models performed better at quartiles 3 and 4. WA-PLS and BRT models based on datasets "pH > 6" and "Eubosmina (pH>6)" had little difference in RMSEP values between quartiles 1 and 2, had the smallest RMSEP values at quartile 3, and the highest RMSEP values in quartile 4.

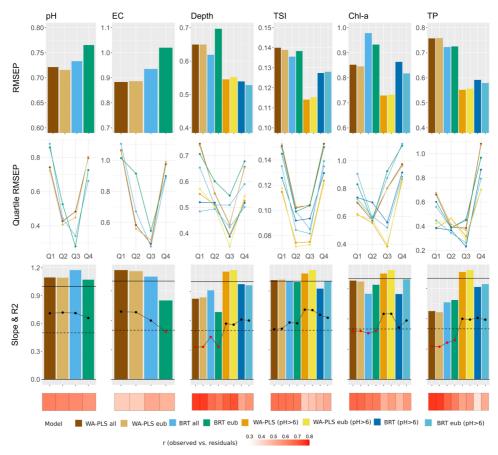


Figure 12 Model cross-validation (CV) results. The performance of each metric of each model is portrayed in a unique color as per the color scheme. It must be noted that EC, TSI, Chl-a, and TP results were natural logarithm transformed before CV. Therefore, RMSEP values are also on a logarithmic scale. RMSEP (root mean square error of prediction) is portrayed first, and smaller RMSEP values are considered as desirable. However, they are dependent on the value range of the measured parameter, therefore, they can be compared between different models for the same parameter, but not between different parameters. In Slope & R2 plots, a horizontal line at 1 is drawn, as 1 is the ideal value for the slope. R2 values above 0.5 are portrayed with black dots, and the red dots represent R2 values below 0.5. Theoretical R2 values can range between 0 and 1, and the higher the R2 value, the higher the model's predictive power. Pearson's correlation of residuals vs. observed values is portrayed in the red color gradient under the Slope & R2 plots. A high correlation might indicate prediction bias at the ends of the environmental gradient.

## 3.3 Environmental reconstructions

#### 3.3.1 Lake Velnezers

Clustering results identified two major clusters for Lake Velnezers, with the lower part of the core being significantly different from the rest of it (Figure 13).

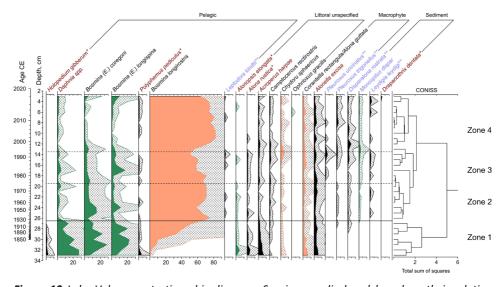
The first cluster spans 33 to 27 cm sediment depth (date unknown to the year 1920) (**Zone 1**). Other zones were selected based on historical environments and correspond to the same zoning used in Article II.

**Zone 2** (sediment depth 27 to 20 cm, year 1920 to 1970). The period before the apartment complex construction around Lake Velnezers.

**Zone 3** (sediment depth 20 to 14 cm, 1970 to 1990). The urbanized stage during the Soviet Union.

**Zone 4** (sediment depth 14 to 0 cm, 1990 to 2018). Urbanized stage after the collapse of the Soviet Union. The selected borders of Zones 2–4 approximately correspond to clusters of lower significance.

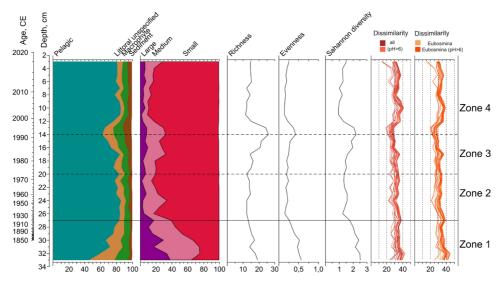
The dominant species in Zone 1 are such lower TS indicators as B. (E.) coregoni and B. (E.) longispina, and an indicator of both low TS and low pH, Daphnia spp.. This is the only zone where the acidity indicator species H. gibberum is present. In Zones 2, 3, and 4, the eutrophy indicator B. longirostris becomes the dominant species. At the beginning of Zone 2, almost simultaneously appear such  $EC > 100 \, \mu S/cm$  indicative species as  $Leptodora\ kindtii$ ,  $Pleuroxus\ trigonellus$ ,  $Disparalona\ rostrata$ , M. dispar, and L. leydigi.



**Figure 13** Lake Velnezers stratigraphic diagram. Species are displayed based on their relative abundances. Only species identified as indicators in Article I (Figures 8, 9) are included. The names of species indicative of pH < 6 are colored brown, and the names of species indicative of EC > 100 µS/cm are colored purple. The relative abundance curves of species indicative of low TS (oligotrophic, mesotrophic) are displayed in green, while species curves or species indicative of higher TS (eutrophic, hypereutrophic) are shown in orange. Names of species not indicative of pH or EC are displayed in black, and curves of species not indicative of oligotrophic/mesotrophic/eutrophic/hypereutrophic conditions are also shown in black. The only exception is C. rectirostris, which is indicative of non-hypereutrophic conditions. Species are grouped according to their habitat preference.

Functional groups and species diversity have similar patterns across the defined Zones. Zone 1 is characterized by a decrease in Littoral species, Large-sized and Medium-sized species proportion, and a small decrease in all species diversity descriptive parameters (richness, evenness, and Shannon's diversity index) (Figure 14). Zone 2 is relatively stable across all functional groups and species diversity descriptive parameters. In Zone 3, the proportion of Pelagic and Small-sized species gradually decreases in the sample, and an increase in all diversity descriptive parameters was observed. Zone 4 starts with a steep increase in Small-sized Cladocera and a sudden decrease in species richness, evenness, and diversity.

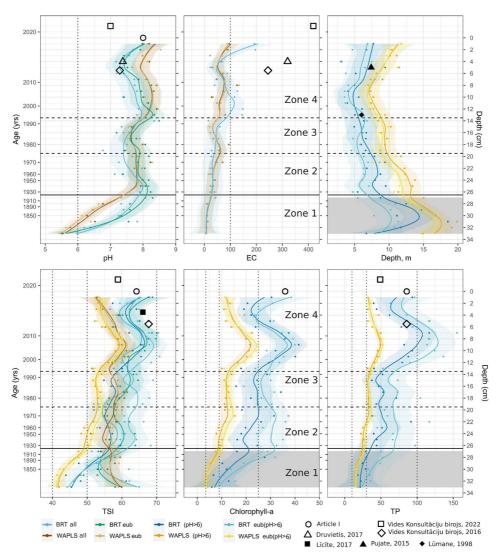
The dissimilarity analysis between Velnezers' samples and training set samples shows similar results across all of the tested datasets. The smallest dissimilarities were observed for the 4 topmost sediment layers, as the topmost sample was part of the surface sediment training set. Otherwise, the dissimilarity value between the closest training set sample and the sample of Lake Velnezers fluctuates between 0.2 and 0.3. In Zones 1 and 2, the lowest dissimilarity values are slightly lower between datasets "all" and "pH > 6" than those of datasets "Eubosmina" and "Eubosmina (pH > 6)" (Figure 14).



**Figure 14** Diagram of functional group relative abundances, diversity descriptive parameters, and 10 lowest Bray dissimilarity values between each sample of Lake Velnezers and datasets "all," "pH > 6", "Eubosmina", "Eubosmina (pH > 6)".

All of the pH models agree that there has been an increase in the pH from pH < 6 to approximately pH = 8, but the rate of change differs between the models. BRT models predict a faster increase in pH, while WA-PLS models predict a gradual increase (Figure 15). In Zones 2–4, all of the models predict pH values in the range between 7 and 8.5. However, the predictions of BRT models seem to be closer to the Velnezers' pH values known from the literature. WA-PLS predicts EC from the bottom of the core to the top of the core, increasing from close to 0  $\mu$ S/cm to 100  $\mu$ S/cm, while BRT predicts similar values at the bottom of the core, and values as high as 200  $\mu$ S/cm at the topmost samples. All of these EC model predictions are lower than values known from the literature. All of the developed models predict the lake Depth of Lake Velnezers to be higher than the present values during Zone 1. WA-PLS results show a gradual decrease from 13 to 7 m in Zones 2–4, with an increase of lake Depth to > 10 m from 2010 to 2018. The LOESS

smoothing curve shows more stable results for lake Depth in Zones 2–4, which is also closely aligned with the known lake Depth values from the literature. However, when observing the individual points of BRT, it can be seen that they are dispersed more widely around the smoothing line than those of the WA-PLS models. Model reconstructions evaluate the TS of Lake Velnezers between mesotrophic and hypereutrophic (Figure 15). Almost all of the models identify a peak of TSI, Chl-a, and TP in Zone 4. The only models that do not register any significant changes in TSI are WA-PLS models based on "all" and "Eubosmina" datasets. WA-PLS consistently evaluates all of the TS-related parameters as lower than those evaluated by BRT models. BRT model values are closer to the values reported in the literature.



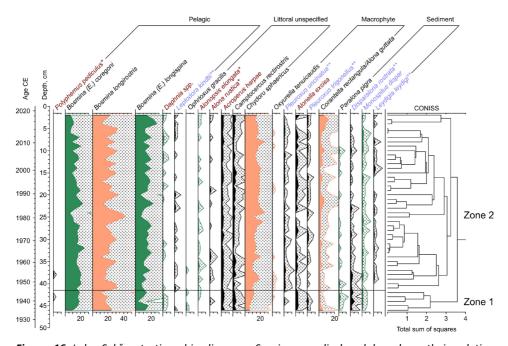
**Figure 15** Lake Velnezers pH, Electrical conductivity (EC), Depth, and trophic state descriptive parameters (Trophic State Index (TSI), Chlorophyll-a, Total Phosphorus (TP)) reconstructions. If parameter is reconstructions are only made with "pH > 6" and "Eubosmina(pH > 6)" datasets, then the Zone 1 area, which is suspected to have a pH < 6, is colored gray. Known values from literature are marked by differently shaped figures.

#### 3.3.2 Lake Sekšu

The results of the broken stick model based on subfossil Cladocera do not separate any of the clusters as significantly different from the others. For the purpose of result description, the zoning from Article III will be used, where different zones were distinguished based on Loss on Ignition (LOI) results.

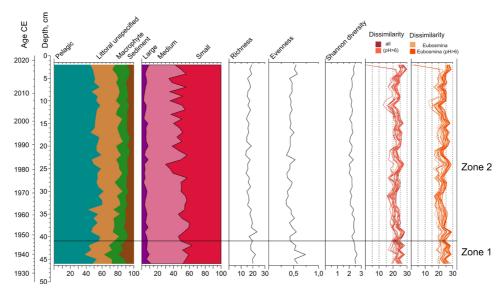
**Zone 1** describes the lower part of the core at the sediment depth 46 to 41 cm (year 1935–1947 CE).

In **Zone 2**, results from sediment depth 41 to 0 cm (year 1947–2018 CE) are described. The most abundant species throughout the core are *B*. (*E*.) coregoni, *B*. (*E*.) longispina, *B*. longirostris, and *C*. sphaericus (Figure 16). There is a decrease in *B*. (*E*.) coregoni from the beginning of Zone 1 towards the end of Zone 2. Throughout the core *B*. (*E*.) coregoni varies between 6% and 22% relative abundance. The relative abundance of *C*. sphaericus varies between 6% and 26%. In Zone 1, *C*. sphaericus relative abundance values are consistently lower than in Zone 2 (Zone 1 max = 11.8%, min = 5.8%, median = 8.9%; Zone 2 max = 25.9%, min = 9.0%, median = 16.1%).



**Figure 16** Lake Sekšu stratigraphic diagram. Species are displayed based on their relative abundances. Only species identified as indicators in Article I (Figures 8, 9) are included. The names of species indicative of pH < 6 are colored brown, and the names of species indicative of EC > 100 μS/cm are colored purple. The relative abundance curves of species indicative of low TS (oligotrophic, mesotrophic) are displayed in green, while species curves or species indicative of higher TS (eutrophic, hypereutrophic) are shown in orange. Names of species not indicative of pH or EC are displayed in black, and curves of species not indicative of oligotrophic/mesotrophic/eutrophic/hypereutrophic conditions are also shown in black. The only exception is C. rectirostris, which is indicative of non-hypereutrophic conditions. Species are grouped according to their habitat preference.

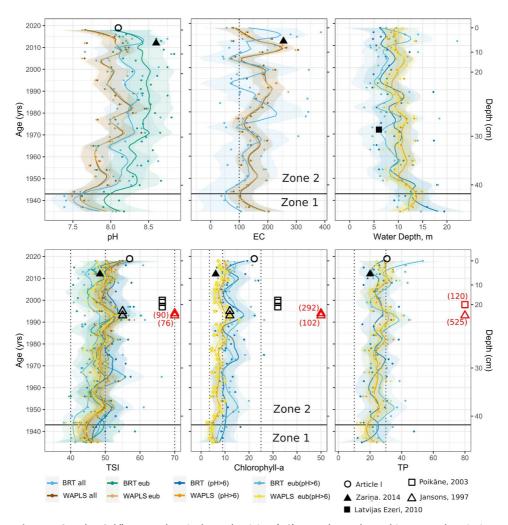
The species functional group composition for Lake Sekšu could be described as relatively stable. Sediment-associated species relative abundance is slightly higher in Zone 1 (Zone 1 max = 19.2%, median = 12.8%, Zone 2 max = 12.0%, median = 8.1%) (Figure 17). Small-sized species average relative abundance increases in the second half of Zone 2 (from 26th cm to 0 cm, year 1978–2018 CE), and can also be described as oscillating (before 26th cm max = 53.8%, min = 36.9%, median = 46.9%; after 26th cm max = 70.2%, min = 43%, median = 53%).



**Figure 17** Diagram of functional group relative abundances, diversity descriptive parameters, and 10 lowest Bray dissimilarity values between each sample of Lake Sekšu and datasets "all," "pH > 6", "Eubosmina", "Eubosmina (pH > 6)".

All of the Lake Sekšu pH reconstructions evaluate the lake as neutral to slightly alkaline. WA-PLS models gave almost identical reconstruction results for models built based on datasets "all" and "Eubosmina" (Figure 18). WA-PLS evaluated the pH values to be slightly lower than those predicted by BRT. The closest pH values to those of the known literature are for the "Eubosmina" dataset based BRT model. Based on the model reconstruction, EC for Lake Sekšu varies between 25 and 350  $\mu$ S/cm. WA-PLS again predicts almost identically based on both datasets, and its predictions are closer to the known EC value from the year 2013. The BRT model displays a large variation in the reconstructed values.

Lakes Sekšu Depth is evaluated to be between 2.5 and 24 m. All of the models show higher values in Zone 1. On average, BRT models produce lower values, which are closer to the Depth 7 m, as is known from the literature. However, the dispersal of the reconstructed values is also high. TS model reconstruction of Zone 1 returned values, which would classify Lake Sekšu as oligotrophic to eutrophic based on TSI, and as mesotrophic to eutrophic based on Chl-a and TP. The average values for all of the models in Zone 2 vary little.



**Figure 18** Lake Sekšu pH, Electrical conductivity (EC), Depth, and trophic state descriptive parameters (Trophic State Index (TSI), Chlorophyll-a, Total Phosphorus (TP)) reconstructions. Known values from literature are marked by differently shaped figures. Outliers that fall beyond the displayed x-axis limits are shown in red, with their exact values given in brackets beside them.

### 3.3.3 Lake Nakri

Four zones can be distinguished in the Lake Nakri sediment core, based on Subfossil Cladocera composition:

Zone 1 (sediment depth 1028–940 cm, calibrated year from 12,100 to 11,100 BP).

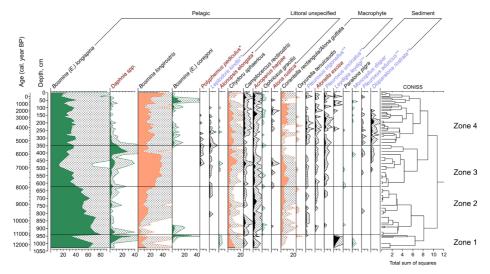
**Zone 2** (940–620 cm, 11,100–7900 cal. years BP).

**Zone 3** (620–349 cm, 7900–5330 cal. years BP).

**Zone 4** (349–0 cm, from 5330 to –68 cal. years BP) (Figure 19).

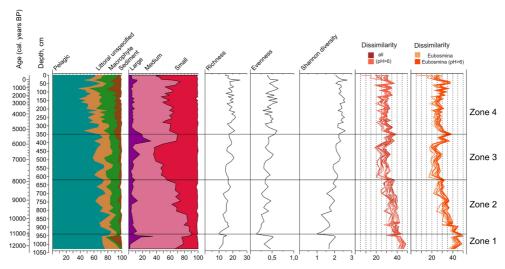
For Lake Nakri, "BP" instead of the "BCE/CE" age scale is used. The record covers the whole Holocene and geological time periods, such as late glacial, Early Holocene, Middle Holocene, and Late Holocene, are usually described in BP time scale, thus simplifying the comparison with the literature.

The main dominant species throughout the core is *B.* (*E.*) longispina. In Zones 1 and 2, *B.* longirostris can be observed in relative abundances up to 20%, but becomes the dominant species in Zone 3 and onwards. In the period where Zone 1 transitions to Zone 2, Daphnia spp. and *B.* (*E.*) coregoni peaks occur. A similar peak of Daphnia spp. can be observed in the transition between Zones 3 and 4. Indicator species that appear in Zone 2 for the first time are *L. kindtii*, *O. gracilis*, *O. tenuicaudis*, *A. excisa*, Pleuroxus uncinatus, *P. aduncus*, *P. trigonellus*, and *P. pigra*. The appearance of *P. pigra* after the first observation could be described as sporadic. There were no indicator species that would be descriptive of Zones 1 and 2, but could not be found in Zones 3 and 4. On the other hand, such species as *D. rostrata* and *P. pediculus* are only found in Zones 3 and 4. Species that appear rarely in Zones 1 and 2, but appear regularly in Zones 3 and 4, are *L. kindtii*, *A. rustica*, *P. uncinatus*, *M. dispar*, and *P. aduncus*. *B.* (*E.*) coregoni and *L. leydigi* can be primarily found in Zones 1 and 4, while missing in the middle part. *A. elongata* is a species that can be observed in almost every sample during Zone 1, but afterwards appears rarely.



**Figure 19** Lake Nakri stratigraphic diagram. Species are displayed based on their relative abundances. Only species identified as indicators in Article I (Figures 8, 9) are included. The names of species indicative of pH < 6 are colored brown, and the names of species indicative of EC > 100 µS/cm are colored purple. The relative abundance curves of species indicative of low TS (oligotrophic, mesotrophic) are displayed in green, while species curves or species indicative of higher TS (eutrophic, hypereutrophic) are shown in orange. Names of species not indicative of pH or EC are displayed in black, and curves of species not indicative of oligotrophic/mesotrophic/eutrophic/hypereutrophic conditions are also shown in black. The only exception is C. rectirostris, which is indicative of non-hypereutrophic conditions. Species are grouped according to their habitat preference.

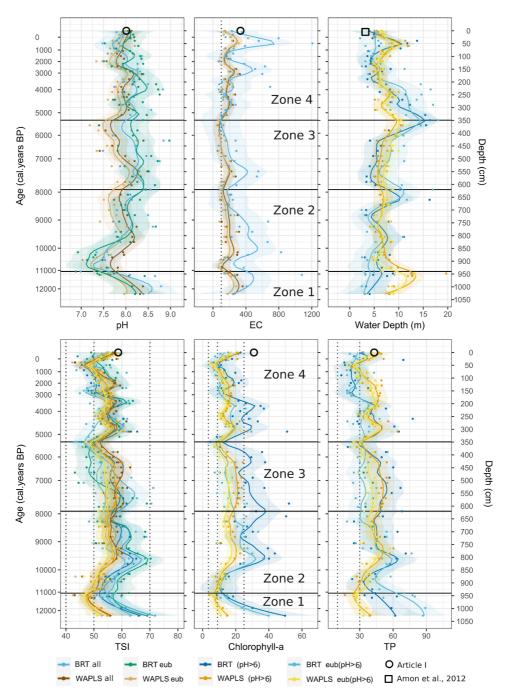
Pelagic species proportion reduces gradually throughout the sediment record of Lake Nakri (Figure 20), while species diversity increases. In Zone 1, there are almost no macrophyte-associated species, and the species richness is low. Macrophyte-associated species relative abundance remains rather constant throughout Zones 2 and 3 and increases in Zone 4. Sediment-associated species relative abundance is low in Zones 2 and 3. Samples' dissimilarity values reduce from the beginning of Zone 1 towards the end of Zone 2. In Zones 3 and 4, dissimilarity values are rather constant.



**Figure 20** Diagram of Functional group relative abundances, diversity descriptive parameters, and 10 lowest Bray dissimilarity values between each sample of Lake Nakri and datasets "all," "pH > 6", "Eubosmina", "Eubosmina (pH > 6)".

Lake Nakri pH is predicted to be between 7 and 9 pH units (Figure 21). WA-PLS models on average predict that pH has not changed much throughout the Holocene. In comparison, BRT models evaluate that there has been a decrease in pH values by approximately 1 point between the beginning of Zone 1 and the beginning of Zone 2. During Zone 2, BRT predicted pH values stabilize between 8 and 8.5 units. Predicted pH values at the topmost sediment sample are close to the measured pH value for all tested models. On average, both WA-PLS and BRT models evaluated EC values between 100 and 400  $\mu$ S/cm, occasionally decreasing below the 100  $\mu$ S/cm threshold. BRT model predictions for the topmost sediment sample are the closest to the measured EC value. However, the BRT model also displays a considerable variation in the EC values, sometimes predicting Lake Nakri EC as high as 1200  $\mu$ S/cm.

Lake Depth reconstructions predict values between 2.5 and 20 m. WA-PLS models evaluated Lake Depth as more or less constant in Zones 2–4. Based on the BRT model reconstructions, there was a noticeable increase in water Depth during the transition between Zones 3 and 4, with an average lake depth of 13 m. Nowadays, the approximate lake Depth is three meters. BRT model estimates are slightly closer to this value; however, on average, all the models seem to overestimate the water depth. The estimated values of TSI, Chl-a, and TP would correspond to those of a mesotrophic to hypereutrophic lake (Figure 21). In Zone 1, WA-PLS models evaluated TSI, Chl-a, and TP values as lower than those of the present day, while BRT model predictions would estimate these values to be higher than the contemporary ones. BRT "pH > 6" model consistently presents higher Chl-a values in comparison to other models.



**Figure 21** Lake Nakri pH, Electrical conductivity (EC), Depth, and trophic state descriptive parameters (Trophic State Index (TSI), Chlorophyll-a, Total Phosphorus (TP)) reconstructions. Known values from literature are marked by differently shaped figures.

## 4 Discussion

This study aimed to identify the main drivers of subfossil Cladocera species assemblages in lakes of the Baltic States, develop and apply various methods for reconstructing past lake environments, and assess each method's suitability for environmental reconstruction of each studied parameter. Based on the developed training set, several abiotic factors influence subfossil Cladocera assemblages, such as water pH, electrical conductivity (EC), trophic state (TS), maximum lake depth (Depth), and lake surface area (Area). However, it must be noted that other factors might have influenced cladocerans but were either not analysed due to incomplete observations and insufficient gradient (Article I), or were not assessed at all. Among such unexplored factors are some that have been reported to have a significant influence on subfossil Cladocera assemblages, such as planktivorous fish and macrophyte abundance (Davidson et al., 2010; Jeppesen et al., 2001). Assessing such parameters would have required labor-intensive extensive fieldwork and expertise beyond the scope and capacity of this thesis, as existing observations on fish and macrophytes for most of the studied lakes were not available.

The surface sediment training set methodology involves collecting surface sediment samples (typically the uppermost 1-2 cm), obtaining relevant environmental measurements, and analyzing the species assemblages preserved in the sediments. It is generally assumed that surface sediment samples represent environmental conditions over the past 1 to 20 years (Birks et al., 2012). In this study, the decision was made to collect the top 2 cm of sediments, as this amount should be representative of the current day situation (Article III; Heinsalu & Alliksaar, 2009; Liiv et al., 2018; Mikalauskienė et al., 2015; Punning et al., 2007; Stivrins et al., 2018; Stivrins, 2023; Veski et al., 2005). The choice to sample 2 cm, rather than just 1 cm, was intended to reduce the influence of seasonal variability and single-year extreme events, which might not have been fully captured by the environmental parameter measurements (Köster & Pienitz, 2006; Table 2). Environmental measurements used in the training set were selected from a time frame of up to five years before sediment sampling, assuming that these conditions reflect the period during which the Cladocera assemblages were deposited and preserved. Nonetheless, since the surface sediments in this study were not dated, it is possible that in lakes with higher or lower sedimentation rates than anticipated, the sedimentary Cladocera assemblages may not correspond well with the time period of the available environmental data, potentially creating a temporal mismatch between the environmental and subfossil Cladocera data.

Additional uncertainties regarding the alignment between environmental parameters and subfossil Cladocera assemblages in surface sediments arise due to variations in the sampling intensity of the environmental data. Some lakes in the dataset had up to 30 environmental measurements within the selected five-year period, while others were represented by only a single measurement (Table 2). Although it is not uncommon in surface sediment training sets to rely on a single environmental observation (Amsinck et al., 2005; Stivrins et al., 2022), such variability in sampling effort introduces the potential for mismatches between the recorded environmental conditions and the biological assemblages preserved in sediments.

### 4.1 Environmental factors and their interactions

Researchers have repeatedly reported a relation between subfossil Cladocera assemblages and pH, EC, Depth, TS, temperature, as well as fish and macrophyte abundance (Amsinck et al., 2005; Bjerring et al., 2009; Dong et al., 2020; Krause-Dellin & Steinberg, 1986; Lotter et al., 1997; Nevalainen et al., 2011). Our results align well with several of these findings, as it was found that pH, EC, TS descriptive parameters (TSI, Chl-a, TP), lake Depth, and Area had the highest explanatory power on subfossil Cladocera species assemblages. (Figure 3). Lake Area is not usually reconstructed using subfossil Cladocera; however, some researchers have found that this variable has some explanatory power (Pawlikiewicz & Jurasz, 2017). Although it is known that macrophyte and fish abundance can have significant explanatory power on zooplankton communities (Davidson et al., 2010), it was not studied within the scope of this work due to a lack of available data. This could contribute to the unexplained variability of lakes in this dataset and should be addressed in further research. We did not find a significant relationship between subfossil Cladocera and climate (regarding Tann). This result is likely caused by the insufficient climatic gradient, as the Tann difference between the warmest and coldest site was only 2.5 °C. Therefore, an extension of the gradient would be necessary to properly evaluate the influence of temperature on subfossil Cladocera.

Most authors who have attempted to reconstruct TS through transfer function have focused on TP as the main parameter (Amsinick et al., 2005; Brodersen et al., 1998; Chen et al., 2010). It is considered that more successful environmental reconstructions can be accomplished if the studied parameter is directly ecologically limiting to the study organism (Juggins, 2013). Because Cladocera are zooplankton that do not utilize phosphorus as a direct food source, there is no physiological basis for them to respond directly to TP. Nevertheless the TP can have a strong indirect effect on the Cladocerans, as TP concentrations influence algal and macrophyte growth and composition (Naderian et al., 2025; Nürnberg & Shaw, 1998; Rattray et al., 1991) and many Cladocera species feed on algae or prefer macrophyte habitats (Błędzki & Rybak, 2016; Smirnov, 2017) However, above mentioned lake's primary producers can be influenced by other factors as well, such as alkalintiy and ion content (Lewis et al., 2025; Šolcová et al., 2024), N concentration (Naderian et al., 2025), light limitation and temperature (Jeppesen et al., 2010; Rose, 2024). Our results showed that TSI had higher explanatory power than TP. As TSI includes several parameters (Carlson, 2007), it may be better at capturing the complex lake TS-related conditions necessary for Cladocera than TP.

Secondary gradients can affect the reliability of environmental reconstructions, and in an ideal scenario, all environmental variables should be independent from one another (Juggins, 2013). Data analysis revealed a strong relation between TS and Depth (Figure 4). Such a result has been reported by other authors as well (Zhou et al., 2022). While it has been argued that this effect is due to the geographical distribution of deep and shallow lakes, with deep oligotrophic lakes mostly being located in mountains, and shallow eutrophic lakes in lowlands (Zhou et al., 2022), this is not necessarily the case for the relatively flat Baltic states. It could be argued that many of the deeper oligotrophic lakes are located in forested areas and therefore have a lower human impact, but this argument would require a more thorough landscape analysis. Holocene sediment cores from some Baltic lakes can be up to 20 m thick (Rõuk, 1992). Accumulating that much sediment would lower the water column by about 20 m, turning a once-deep lake into a shallow one. Therefore, the inverse argument can also be made — some shallow lakes

may be shallow due to human-induced eutrophication, which accelerates sediment accumulation and reduces water depth (Kornijów, 2023; Tammeorg et al., 2018).

Lake Depth is not only correlated with TS, but can also directly shape nutrient cycling processes and nutrient limitation regimes, thus playing a role in determining lake productivity. Nutrient limitation dynamics – specifically the relative availability of N and P – can influence both lake productivity and the ecological response of organisms such as Cladocera. Studies have shown that shallow, hypereutrophic lakes tend to be N-limited, eutrophic lakes are often co-limited, and deep oligotrophic systems remain primarily P-limited (Naderian et al., 2025). These dynamics may influence not only productivity metrics like the TSI but also the C:N:P stoichiometry of phytoplankton, which affects Cladocera food quality and growth rates (Sterner et al., 1992).

In both shallow and deep lakes, anoxia can alter nutrient availability through internal P loading from sediments and denitrification. Under anoxic conditions, P becomes more bioavailable, while denitrification converts nitrate and nitrite into nitrogen gases, effectively removing biologically available N from the system (Hakanson & Jansson, 1983; Qin et al., 2020). In deep lakes, stratification often restricts P availability to surface waters except during seasonal mixing events. In contrast, shallow lakes, which mix more frequently, may have continuous access to sediment-released P, leading to higher productivity. As a result, P availability is less likely to be a limiting factor in shallow lakes, and primary production can continue to increase until N, driven by a combination of denitrification and nutrient uptake by primary producers, becomes the limiting nutrient (Kornijów, 2023; Qin et al., 2020). The relationship between TS and Depth weakens in lakes deeper than ~15 m, because wind-driven mixing rarely extends deeper than this in most lakes (Qin et al., 2020). Similar results can be found in this work, as lakes in groups Depth 15–20 m and > 20 m did not differ in their TSI values (Figure 4). These considerations highlight the complexity of interpreting TS and Depth reconstructions, particularly since N data in this study are lacking (Table 2). Regardless of whether the relationship between TS and Depth is correlative or causal, the reconstructions of these parameters are likely to be influenced by one another.

Some relationship was found between pH and EC, as all of the acidic lakes (pH < 6) had EC < 100  $\mu$ S/cm. This finding arises from the natural variability of acidic lakes in the Baltic States, as highly conductive acidic lakes do not occur in this region. A more thorough discussion on the relationships between pH, EC, and TSI can be found in Article I. Lake Area distribution seems to be independent of other parameters when looking at the group distribution along the environmental gradients (Figure 4). However, lake Area was not recognised as statistically significant for datasets "pH > 6" and "Eubosmina (pH > 6)". Acidic lakes were on average smaller than lakes with pH > 6, which might have influenced this result.

## 4.2 Patterns of subfossil Cladocera assemblages

## 4.2.1 Relationship between environment and Cladocera species diversity

Species diversity is a community descriptive parameter that has interested ecologists for more than a hundred years (Gleason, 1922). It is suggested that information on species diversity can provide insights into ecosystem complexity and stability (Loreau & De Mazancourt, 2013; St. Pierre & Kovalenko, 2014). In this work, species diversity was assessed using Shannon's diversity index. This index is one of the most commonly used and contains information on two key diversity components – species evenness

(the distribution of individuals among the species) and species richness (Birks et al., 2012). Shannon's index and its two components were evaluated in relation to pH, EC, TSI, Depth, and Area.

One of the most commonly reported results is a decrease in species diversity with the increase of TS (Jeppesen et al., 2000; Whiteside & Hamsworth, 1967). This effect is attributed to (1) a decrease in species richness due to reduction of available niches, and (2) a decline in evenness caused by fewer species being able to use the abundant food resources effectively, and adverse effects of such stressors as oxygen depletion (Alexander et al., 2017; Barnett & Beisner, 2007). The decrease of species richness, evenness, and diversity with an increase of TSI was also found in this study (Figure 5). It must be noted that some authors have reported an increase in diversity and species richness with an increase in TS (Hessen et al., 2006). This difference is most likely caused by differences in regionally available environmental gradients. In this work, oligotrophic lakes exhibited higher species richness compared to all other lake types. However, the lowest TSI value of this training set was 34, whereas the minimum possible TSI value for oligotrophic lakes is one (Carlson, 2007), meaning that this study covered only a fraction of all the globally possible conditions for oligotrophic lakes.

In contemporary limnology, it is sometimes suggested that dystrophic lakes have lower species diversity and richness due to lower lake productivity, Ca deficiency, and acidity stress (Karpowicz & Ejsmont-Karabin, 2021; Smirnov, 2017). However, the results of this and other paleolimnological studies of dystrophic lakes (Zawisza et al., 2016) somewhat contradict these findings, as on average, the species diversity was higher in acidic (pH < 6) sites (Figure 5). The species richness in acidic (pH < 6) lakes was similar to that of non-acidic (pH > 6) lakes, and evenness was generally higher than that of non-acidic lakes. Contemporary zooplankton research tends to be biased towards pelagic species, and sweep net samples typically report lower species richness estimates when compared to surface sediment samples (Çakıroğlu et al, 2014; García-Gíron et al., 2018; Nevalainen, 2010). On the other hand, the dominant pelagic species in acidic/dystrophic lakes were Daphnia spp., Holopedium gibberum, and Bosmina (Eubosmina) coregoni (Article I). As Daphnia spp. and H. gibberum remains tend to preserve poorly (Szeroczyńska & Sarmaja-Korjonen, 2007), it is also possible that this has led to higher evenness estimates in this work due to pelagic dominant species comprising a smaller portion in the surface sediment samples than in the actual species community.

## 4.2.2 Relationship between environment and subfossil Cladocera functional groups

Sometimes, environmental studies prefer to analyse ecosystem changes in terms of functional groups/traits instead of species assemblages. Functional traits can be defined as morphological, biochemical, structural, behavioral, etc., characteristics of organisms that influence their fitness and performance in certain conditions (Nock et al., 2016). The functional traits approach makes datasets easier to compare and analyse due to the reduction of functional redundancies, and it is thought to provide a better insight into the mechanisms of ecosystem functioning (Laureto et al., 2015). This work tested the relationship between species-specific size and habitat preference against pH, EC, TSI, Depth, and Area. These functional traits (size and habitat preference) have relatively good literature coverage and are commonly used by other authors (Branco et al., 2023; Gomes et al., 2019). However, some uncertainties regarding the functional group approach of this work must be noted. Large-sized cladocera proportion might be

overestimated, as *Daphnia* spp. in this work is in fact a combination of two groups – *Ceriodaphnia* spp. and *Daphnia* spp. While *Daphnia* spp. are usually large-sized, *Ceriodaphnia* spp. vary in their average size group from species to species (Błędzki & Rybak, 2016). Regarding the habitat preference, literature sources sometimes contradict each other (Błędzki & Rybak, 2016; Flössner, 2000). Depending on the tolerance, species can be driven into suboptimal niches (McGill et al., 2006). Therefore, given the differences in knowledge regarding optimal, realised, and fundamental niches of various species, it is likely that some of the species are misclassified.

Size is one of the most commonly used functional traits in zooplankton research, which is linked to such functions as growth, reproduction, survival, and feeding (Gomes et al., 2019; Nock et al., 2016; Sysoev et al., 2025). There are different proposed mechanisms for the change of the community size structure, such as the shift of species hypothesis, the population body size shift hypothesis, the population age-structure shift hypothesis, etc. (Daufresne et al., 2009). In contemporary zooplankton research, individual size can be measured with relative ease (Gomes et al., 2019), enabling the testing of various theories about the drivers behind changes in community size structure. However, this is not always the case for subfossil Cladocera. As full individuals are rarely found, some researchers have used the species-specific size from literature (Nevalainen & Luoto, 2017), while others have been measuring the remaining sizes of the dominant species (Korosi et al., 2013; Korponai et al., 2020). The direct measuring approach gives more precise information on the selected species than the size grouping based on the literature. However, there are several issues in the application of these results. The statistical analysis requires a certain amount of measured remains, and the decision to measure or not measure a remain could depend on taxonomical determination (Korponai et al., 2020). This means that a species would only be measured if the conditions are suitable enough to establish a sufficiently large population. The survival and reproduction of individuals belonging to particular species and their physical development can be influenced by unfavourable conditions (Gomes et al., 2019). However, if the number of remains is below the required minimum, the species' response to unfavourable conditions would not be recorded. In paleolimnological research, this could have the highest influence in core segments where species composition changes occur. A possible solution would be to measure all the remains of the sample. However, taking into consideration the issues with remains preservation and the differences in which skeletal elements are preserved for each species (Szeroczyńska & Sarmaja-Korjonen, 2007), this might be a very labor-intensive process that may still fail to provide the desired information about the actual community size structure. Therefore, for paleolimnological research, using literature-based information on the average size of a taxon might be considered an appropriate approach, despite the preference for direct size measurements in contemporary ecology literature (Brown et al., 2023). Nevertheless, it must be noted that this approach only accounts for the shift-of-species hypothesis and will not capture the whole complexity of the possible changes in Cladocera community size structure.

Subfossil Cladocera size groups had the strongest correlation to TSI (Medium r = -0.63, Small r = 0.62), with the exception of large-sized Cladocera, which had the strongest correlation to pH (r = -0.65) (Figure 6). Other researchers have found similar results regarding Cladocera size structure and lake TS (Beaver et al., 2020; Nevalainen & Luoto, 2017). Size limitation in nutrient-enriched (eutrophic and hypereutrophic) conditions can be influenced by multiple factors, such as oxygen and food availability, or fish predation

pressure. Oxygen stress impacts negatively large-bodied zooplankton species due to their higher oxygen demand and reduced feeding efficiency under hypoxic conditions (Karpowicz et al., 2020; Sysoev et al., 2025). In contrast, small-sized Cladocerans have a competitive advantage under low oxygen conditions due to higher oxygen uptake efficiency (Seidl et al., 2005). In highly eutrophic and hypereutrophic conditions, an increase in bacteria and cyanobacteria biomass can be observed, which may benefit small-bodied Cladocerans that can utilize these particles as a food source (Geller & Müller, 1981; Gliwicz, 1990; Krztoń & Kosiba, 2020; Tõnno et al., 2016).

Fish predation pressure and warming can also result in changes of cladocera community size structure (Agasild et al., 2025; Albini et al., 2025; Beaver et al., 2020; Jeppesen et al., 1998). Due to a lack of data and an insufficient T<sub>ann</sub> gradient, the effects of fish predation and temperature are not addressed in this study. However, they could potentially influence the results as confounding variables. The diminished fish pressure could explain the increase of large-sized species in dystrophic lakes (Figure 6). Fish in bog pools have been shown to feed primarily on benthic animals instead of zooplankton (Zagars et al., 2025), and large-sized Cladocera are usually impacted by predation more severely (Jeppesen et al., 2001). Even so, this increase in the large-sized cladocera in dystrophic lakes is not universal, as some other authors have observed a rise of small-sized Cladocera species in dystrophic conditions instead (Karpowicz et al., 2023; Locke & Sprules, 2000).

The functional groupings using habitat preferences are often used to assess changes in different environmental parameters. The Pelagic to littoral (Macrophyte-associated, Sediment-associated, and Littoral generalist species) ratio is commonly used to evaluate changes in water level (Nevalainen et al., 2011; Sarmaja-Korjonen, 2001). This work also shows that water Depth has the strongest correlation with Macrophyte-associated species (r = -0.55) and Pelagic species (r = 0.45) groups (Figure 6). However, no relationship was found between water Depth and Sediment-associated species or Littoral generalists. The main reasoning behind the Pelagic/Littoral species relation theory is the reduction of macrophyte-covered area due to light limitation with an increase in water depth (Hofmann, 1998). Therefore, the observed relationship between the Pelagic, Macrophyte-associated species and Depth aligns with the theory, even if the available data does not allow for further testing of the causes of this relation.

The Macrophyte-associated species were also correlated to TSI (r = 0.41), again highlighting the possible interaction between TS and Depth in lake ecosystems. The PCA analysis related the increase of Macrophyte-associated species to hypereutrophic and dystrophic lakes (Figure 7). While these two lake types are considered to be rather different, there are some similarities they share. Both of these lake types were relatively shallow (Figure 4). Other known similarities include light-limited conditions caused by brown-coloured humic substances in dystrophic lakes and light limitation due to algal blooms in hypereutrophic lakes (Nürnberg, 1996; Nürnberg & Shaw, 1999). Furthermore, bacteria tend to have a more significant influence on the food webs of dystrophic and hypereutrophic lakes (Jones, 1992; Karpowicz et al., 2023; Xu et al., 2015), and neither are considered to be macrophyte-rich (Gray et al., 2022; Szpakowska et al., 2021). Considering this, the connection between dystrophic and hypereutrophic lakes and Macrophyte-associated species seems counterintuitive. For the hypereutrophic lakes, the explanation might lie in the specific species composition. Macrophyte-associated Coronatella rectangula/ Alona guttata was identified as one of the dominant species in hypereutrophic lakes (Figure 11). However, it is more likely that these particular taxa are

indicative of hypereutrophic conditions, not the macrophyte abundance. Even though dystrophic lakes are not considered rich in submerged macrophytes (Gray et al., 2022), the sphagnum mosses present in this habitat might be a sufficient replacement for some of the Macrophyte-associated species (Henrikson, 1993; Kuczyńska-Kippen, 2008). Another possible explanation could be the physical barrier that large-sized macrophytes create, hindering the in-lake transportation of subfossil cladocera remains. For other paleolimnological proxies, such as pollen, the assumption is that during the bi-annual water mixing event of stratified hemiboreal lakes, material that is in the water column will be sedimented in the deepest part of the lake (Elias, 2025). This might be true for the pelagic Cladocera, but research has shown that the distance of the sampling site to the macrophyte zone might bias the results of some littoral Cladocera (Nevalainen, 2011). In dystrophic lakes, large submerged macrophytes are typically underdeveloped, potentially reducing their physical barrier effect (Gray et al., 2022; Różańska-Boczula & Sender, 2025). The observed increase in Macrophyte-associated species may instead reflect two factors: (1) the presence of smaller suitable vegetation (e.g., sphagnum mosses), and (2) improved conditions for remnant transportation. However, validating this hypothesis requires macrophyte survey data.

The relative abundance of the Littoral generalist species group seems indifferent to any of the studied environmental parameters. On the other hand, the Sedimentassociated species have a strong relationship to TSI (r = -0.43). The larger proportion of sediment-associated species in nutrient-poor (oligotrophic-mesotrophic) lakes could be due to several reasons, such as oxygen levels, food sources, and suitable habitat availability. The oligotrophic lakes are characterised by better hypolimnion oxygen conditions (Nürnberg, 1996). While it has been found that some sediment-associated species can survive under hypoxic and anoxic conditions, other individuals' fitness-related parameters, such as breeding and growth rates, might still be affected. However, the research on this topic is limited (Smirnov, 2017). Furthermore, some sediment-associated species prefer sand and rock substrate (Błędzki & Rybak, 2016), which might not be available in highly eutrophic lakes with high organic matter sedimentation rates. Consequently, with an increase in TS, the conditions necessary for Sediment-associated species might become unfavourable, as the diverse niches they inhabit disappear. The highest relative abundance of the Sediment-associated species functional group does not exceed 25% (Figure 6); therefore, it is unlikely that any individual species of this group significantly contributes to the zooplankton biomass. This could explain the gaps in the literature on the biology of the Sediment-associated species. Even though in hypereutrophic lakes most energy is accumulated in pelagial, these lakes are capable of sustaining complex benthic communities (Wang et al., 2020). Therefore, one possible explanation for a negative correlation between Sediment-associated species and TS involves the data presentation methodology: since species data is presented in relative abundances, the taxon's relative share could decrease solely due to an increase in pelagic species abundance under hypereutrophic conditions, while the actual abundance of Sediment-associated species might remains unchanged.

## 4.3 Indicator species analysis

An effective indicator species should be easy to find and identify, and its response to environmental parameters should be adequately predictable (Butler et al., 2012; Dufrêne & Legendre, 1997). As discussed in Article I and summarised in Figures 8 and 9, several Cladocera species fit this criterion when analysing species response to pH, EC, and TS.

In the text below, the indicator species analysis results not presented in Article I are discussed, such as indicator species for lakes of different Depth, Area and *Eubosmina* spp. as an indicator.

Eubosmina spp. group was created by merging B. (E.) coregoni and B. (E.) longispina. Both species were identified as indicators of nutrient-poor conditions, with B. (E.) coregoni being an indicator of oligotrophic conditions, and B. (E.) longispina — mesotrophic conditions (Article I). These results aligned with findings of some other paleolimnological investigations (Chen et al., 2010; Gąsiorowski & Hercman, 2005). However, in some sources, B. (E.) coregoni is mentioned as typical for nutrient-enriched lakes (Kamenik et al., 2007). When evaluating Eubosmina spp. indicator species potential, it was identified as an indicator species of oligotrophic conditions by IndVal.g¹ analysis (Figure 10), with no relation to any of the other parameters. This result is reasonable, as there seems to be a direct linear relationship between Eubosmina spp. relative abundance and TSI. As the results show, combining B. (E.) coregoni and B. (E.) longispina into a single Eubosmina spp. group still provides ecologically meaningful interpretations in relation to TS. Therefore, combining Eubosmina species appears to be justified in paleolimnological contexts, where taxonomic uncertainty and morphological fragmentation often limit species-level resolution.

Area and Depth are parameters that were tested by IndVal.g analysis but were not discussed in Article I. Lake Area has been proven to be an important explanatory variable in several other subfossil Cladocera community studies (Pawlikiewicz & Jurasz, 2017; Yatigammana & Cumming, 2017). But is the influence of lake size connected to an actual species response, or is it just connected to the distance the remains need to be transported for the deposition in the deepest part of the lake, could be debated (Nevalainen, 2011). Of the established indicator species, Paralona pigra was found to be an indicator of Area > 25 ha (Figure 10), and no individuals of *P. pigra* were found in lakes with Area < 10 ha (Figure 11). However, this result has less statistical significance than its relation to oligotrophic conditions. Most P. pigra observations were found in lakes with an Area between 50 and 100 ha. Latona setifera was found only in lakes with an Area < 50 ha, and IndVal.g analysis has identified it as an indicator of lakes with an Area of 10-50 ha, due to its seeming specialization. However, there were 16 lakes in this group, and in only 4 of them L. setifera was observed. In general literature, this species is described as a benthic species preferring deep, oligotrophic lobelia lakes with a wide range of pH (Bledzki & Rybak, 2016). The results show that L. setifera was found in various pH, EC, and TSI conditions (Figure 11). Therefore, it is most likely that this species is not specialized for lake size but was found randomly.

Some species emerged as potential Depth indicators with statistically significant associations, including *Leptodora kindtii* (10–15 m and > 20 m), *P. pigra* (> 15 m), and *C. rectangula*/ *A. guttata* (<5 m) (Figure 10). However, closer examination of these results leaves doubts on whether these species could truly be used for past water Depth reconstructions. For instance, *P. pigra* was identified as an indicator of both deeper lakes and oligotrophic conditions (Figure 10). Therefore, this may simply reflect the trend that deeper lakes in the dataset are generally more nutrient-poor (Figure 4). Similarly, other oligotrophy indicators like *Alonopsis elongata* and *Monospilus dispar*, associated with deeper lakes, had a stronger relationship with TS than Depth (Figure 10). These examples further illustrate the challenge of separating the effects of lake Depth and TS in sediment records.

Suppose we assume that lake Depth truly is the main driving factor for some of the species. In that case, there does not seem to be a reasonable explanation of why some species, as an example of L. kindtii, might prefer lakes with Depth 10-15 m and > 20 m, but avoid the lakes with Depth 15-20 m. Therefore, it is unlikely that species that have a gap in the Depth value groups for IndVal.gall analysis are sensitive to the lake Depth. Such results were found for L. kindtii (10-15 m and > 20 m), A. elongata (5-10 m and > 15m) and C. rectangula (< 5 m and > 20 m). While there is a well-reported connection between lake depth and pelagic and littoral species relative abundances, there are few to no reports of specialised Cladocera species only living in lakes with specific depths (Hofmann, 1998; Nevalainen et al., 2011; Sarmaja-Korjonen, 2001). And for good reason. From the Cladocera viewpoint lake depth is a complex parameter, which includes the hypolimnion oxygen concentration, water mixing, temperature conditions, light penetration in water column and macrophytes associated to it, as well as possibilities to escape predation (Rose, 2024; Sysoev et al., 2025; Vogt et al., 2013). The problem with depth evaluation is also the fact that it is not actually just one number, as in this analysis - every lake with a Depth of > 20 m also has zones with depths of < 5 m, 5-10 m, 10-15 m and 15-20 m. Therefore, it can be concluded that the indicator species approach is not a valid method that could be used for lake Depth reconstructions, and more insights could be gained from other approaches, such as the functional group perspective.

### 4.4 WA-PLS and BRT model results

In this work, Weighted Averaging Partial Least Squares (WA-PLS) model results were compared to Boosted Regression Tree (BRT) results. In the cross-validation (CV) results, WA-PLS almost always outperformed BRT models (Figure 12). Some authors have noted, that WA-PLS tend to produce more robust estimations compared to some of the more complex machine learning algorithms (Sun et al., 2024), while others have found that BRT outperforms WA and WA-PLS in paleolimnological reconstructions (Salonen et al., 2014; Wang et al., 2021). When looking at the separate data points of BRT model predictions on the studied lacustrine sediment-core records, the data points seem somewhat scattered compared to WA-PLS (Figures 15, 18, 21). Such noisiness of the BRT model predictions has been reported by other authors as well (Elith et al., 2008). However, when looking at Predicted vs. Observed slope values, BRT often has a score closer to 1 than WA-PLS (Figure 12). The slope value of 1 means that with an increase of 1 unit in the observations, the predicted values also increase by 1 unit. The combination of higher Root Mean Square Error of Prediction (RMSEP) values, slightly lower R2 and Pearsons correlation of residuals vs. observed values together with the slope value of BRT being close to 1 could mean, that BRT captures the general data pattern rather well, but its sensitivity to noise results in higher scatter of the predicted values from the 1:1 line.

The RDA analysis recognised pH as one of the most important factors influencing subfossil Cladocera species assemblages (Figure 3). Therefore, it is no surprise that pH showed good CV results across models, with maximum bias no more than 1.7 pH units. According to the RMSEP value, WA-PLS based on the dataset "Eubosmina" might be the best model for the pH prediction. However, when comparing the reconstructed values with the literature data on Lake Sekšu and Lake Velnezers pH, the BRT "Eubosmina" model seems more precise (Figures 15 and 18), despite its slightly lower CV performance (Figure 12). One of the possible explanations for why BRT models perform well in the lake environment reconstructions, despite the lower CV performance, could be related to the CV process. In this work, k-folds (k = 10) CV was used, meaning that the dataset

was divided into 10 approximately equal parts, then, on 9/10 parts, the model was built and the remaining 1/10 part was used for testing the model's predictive performance. The procedure was repeated until all of the 10 parts had been used as a test set. After the CV process, the final model was built using all samples in the dataset. This means the final model is built on more samples than the test models for CV. Several authors have successfully built reconstruction models using WA-PLS on smaller training sets than the one used in this work (Chen et al., 2010; Enache & Prairie, 2002). Meanwhile, the BRT method is more suitable for larger datasets (Elith et al., 2008; Wisz et al., 2008). Since the BRT method is more sensitive to the dataset size, it is possible that with the increased number of samples in the final model, its performance improved as well. Another possible explanation for the success of the BRT "Eubosmina" model can be found when looking at the quartile (Q) RMSEP data (Figure 12); it is notable that the BRT "Eubosmina" model has especially low values at the Q3. The values from literature that could be compared to the reconstructed values of sediment cores were between 7 and 8.5, which would place them in the data range best covered by the training set (Appendix 5). When looking at the Q RMSEP, it can be seen that in Q1, WA-PLS outperformed BRT (Figure 12). This could be due to the left-skewed data distribution of pH values in the training set. Only 12 out of 78 samples had pH < 6, and although BRT might be preferable in cases of non-Gaussian data distribution, this method is also somewhat sensitive to the number of samples (Elith et al., 2018; Birks et al., 2012), which could have led to WA-PLS outperforming BRT at the lower end of the pH gradient. However, when looking at the reconstructed pH values for Lake Velnezers and Lake Sekšu, the patterns of different models within each lake are similar, and the reconstructed values mostly don't differ by more than 1 pH unit (Figures 15 and 18). A water pH change of 1 unit or more is common within the same lake over a year, as well as in different microhabitats and lake depths (Erlandsson et al., 2008; Maberly, 1996; Martinsen et al., 2022). Therefore, the results of all the models could be considered as rather similar and suitable for past pH reconstructions.

EC was found to have a significant explanatory power of subfossil Cladocera species assemblages by the RDA analysis. However, the effect seems to have some collinearity with the pH (Figure 3). EC showed reasonable results during the model CV. EC WA-PLS models had little trend in the Residuals vs. Observed, and R2 values were comparable to pH WA-PLS models (Figure 12). However, all the models failed to reconstruct reasonable EC values for Lake Velnezers, and all the reconstructed values showed serious underestimation compared to the literature observations (Figure 15). EC and pH were correlated environmental parameters (Figures 3 and 4), and it is most likely due to the pH influence that subfossil Cladocera do not respond to the EC gradient in a way that could be captured by any of the models. Therefore, using any of the models developed in this work for lake EC reconstructions is not recommended.

The secondary environmental gradients most likely strongly influenced the Depth, TSI, Chl-a and TP models. This can be seen when comparing the "all" and "Eubosmina" dataset based models to "pH > 6" and "Eubosmina (pH > 6)" based models. TSI, TP, Chl-a and Depth models performed better if dystrophic lakes were excluded, and for these parameters, "pH > 6" and "Eubosmina (pH > 6)" based models showed considerably better CV results (Figure 12). WA-PLS models outperformed BRT models in CV for TS-related parameters; however, when comparing the WA-PLS and BRT model performances on the lacustrine sediment core samples, BRT models seem to generally reconstruct values closer to the values reported in literature than WA-PLS (Figures 15

and 18). It is especially pronounced in the reconstruction results of Lake Velnezers (Figure 15), where WA-PLS forms a smooth line of constant underpredictions of such parameters as TSI, Chl-a and TP. On the other hand, while BRT values are more scattered, their LOESS-smoothed curve values were closer to the observations from the literature. Some possible explanations exist for why WA-PLS models show good CV results but perform comparatively poorly when applied to the lake sediment records (core samples). One of the potential explanations could be related to the CV process and the increase in the number of samples for the final BRT model, as described above. Another possible explanation is connected to the data distribution of the training set. Both BRT and WA-PLS tend to perform poorly at the end of the environmental gradients (Salonen et al., 2014; Ter Braak et al., 1993; Wang et al., 2021), but it is possible that in some cases BRT might perform better at the gradient ends, as in case of Lake Velnezers TSI, Chl-a and TP predictions (Figure 15). The known historical values of Lake Velnezers' TS descriptive parameters result in the lake being classified as highly eutrophic to hypereutrophic, which would be closer to the 4<sup>th</sup> quartile values of the training set (Article I). In Velnezers Zone 3 and 4, the difference between WA-PLS and BRT TS descriptive parameter predictions is largest. However, the differences are smaller in Zones 1 and 2, where lower TS could be expected (Article II). If the failure of the WA-PLS model is indeed due to its low performance at high TS, then WA-PLS models based on the presented training set should not be used for lakes with high anthropogenic disturbance.

CV results showed that Depth reconstruction models were more successful if acidic lakes (pH < 6) were excluded from the training set. The most successful CV results for Depth models were for BRT "pH > 6" and BRT "Eubosmina (pH > 6)", as BRT models outperformed WA-PLS in R2, slope, RMSEP values, as well as for the residual distribution (Figure 12). BRT "pH > 6" models also predicted Depth values closer to the values from literature for Lake Sekšu and Lake Velnezers (Figures 15 and 18). However, in almost all cases, the model predicted a higher value for lake Depth than was reported in the literature. This could be due to the considerable variation in other environmental parameters and uncertainties connected to the acquisition of lake Depth data. Information on lake Depths was acquired using different literature sources (Estonia: kalapeedia.ee; Latvia: ezeri.lv; Lithuania: zvejogidas.lt), for which the year or method of the measurement was almost never mentioned. Therefore, it is unknown if the Depth value indeed corresponds to the period covered by the surface sediments. Lake Depth can also vary seasonally and annually (Evtimova & Donohue, 2016; Wrzesiński & Ptak, 2016; Zohary & Ostrovsky, 2011), which only adds to the validation uncertainty of the model reconstruction results. When other authors have attempted to reconstruct lake Depth using subfossil Cladocera, the training sets were often characterised by little variability in other parameters such as TS and pH (Davidson et al, 2011a; Nevalainen et al., 2011). Altogether, while the CV showed somewhat promising results for lake Depth modelling using subfossil Cladocera, the models were tested only on sediment records from relatively shallow lakes. For these lakes, a slight change in water depth might appear ecologically more significant than for deeper lakes (Zohary & Ostrovsky, 2011). Furthermore, the uncertainties connected to the training set data and relatively few observations reported in the literature must be considered. Therefore, to truly evaluate the Depth model performance, it would be necessary to test it on sediment records from lakes with better-monitored changes in Depth values and deeper lakes. As the Depth gradients correlate with the TS gradient, additional data collection and dataset filtering might be necessary to create a fully functional Depth reconstruction model.

#### 4.5 Lake environmental reconstructions

#### 4.5.1 Lake Velnezers

Lake Velnezers is a small lake located within the city of Riga. The landscape surrounding Lake Velnezers has undergone rapid changes within a relatively short time span.

The area changed from forested (19<sup>th</sup> century) to agricultural (beginning of 20<sup>th</sup> century) to urban area (1950 onward). The sediment record of Lake Velnezers can be divided into 4 zones based on clustering results and historical events. Zone 1 corresponds to the landscape of Lake Velnezers transitioning from forested to agricultural land (before 1920). Zone 2 is descriptive of the transition between the agricultural and urbanised stage of Lake Velnezers (year 1920 to 1970). Zone 3 is descriptive of the urbanised stage during the Soviet Union (1970 to 1990), and Zone 4 is representative of the urbanised stage after the collapse of the Soviet Union (1990 to 2018). These landscape changes have resulted in eutrophication, changes in pH, and heavy metal pollution of Lake Velnezers. More information on the lake can be found in Article II.

Based on subfossil Cladocera composition, the most significant changes in Lake Velnezers occurred during Zone 1 (before the year 1920) (Figure 13). While in Article II, it was recognised that during Zone 1, lake pH might have been lower than present day, indicator species analysis and model estimations (Figure 15) reveal that the change might have been more rapid and pronounced than previously anticipated. All of the models estimated pH < 6 at the beginning of Zone 1 and an increase to pH 7.5-8.5 by the beginning of Zone 2. The composition of the subfossil Cladocera indicator species supports these results, as the acidic softwater indicator H. gibberum (Article I) is only present in Zone 1. Other species indicative of acidic conditions, such as A. elongata, A. rustica, and P. pediculus (Article I), are present in every sample of Zone 1. Species that can be considered indicators of pH < 6 with increased relative abundance, such as Daphnia spp. and Acroperus harpae, were also observed in larger proportions during Zone 1 (Figure 13). Shift to pH > 6 at the beginning of Zone 2 (1920–1970) is supported by the simultaneous appearance of species with pH > 6 and EC > 100  $\mu$ S/cm preference, such as L. kindtii, P. trigonellus, D. rostrata, M. dispar, and L. leydigi (Figures 10 and 13). However, during Zone 2, pH < 6, EC < 200 μS/cm and oligotrophy-mesotrophy indicator A. elongata, pH < 6 indicators P. pediculus and A. rustica remain present, but their appearance is less continuous. Therefore, the author's estimations of pH would better align with the BRT "all" estimates (Figure 15), which predict the pH values in Zone 2 to be between 6.5 and 8, allowing coexisting species with acidic and alkaline pH preferences. Based on similar reasoning, EC of Lake Velnezers should be  $< 100 \mu \text{C/cm}$  in Zone 1, and between 100 and 250 μS/cm in Zone 2. In the first half of the 20<sup>th</sup> century (end of Zone 1, beginning of Zone 2), Lake Velnezers was surrounded by agricultural lands (Military topographic map, 1908; NARA, 1940), which might have contributed to soil erosion. The cause of acidic conditions in the lakes of the Baltic States is most often the influx of humic substances from surrounding areas, and the most common source of humic substances is the peat bogs (Engele & Sniedze-Kretalova, 2013). Human-driven changes in the landscape could have reduced the influx of acids into Lake Velnezers due to the transition from forested/bog to agricultural land. This might have been one of the main driving factors of the pH increase during Zone 1. At the same time, erosion, nutrient and fertiliser input could have contributed to eutrophication and an increase in EC. As pH was identified to be the main driving factor influencing subfossil Cladocera species composition (Figure 3), it is difficult to estimate how other conditions, such as Depth or

TS, changed during Zone 1. However, the large relative abundance of *B. longirostris* confirms that at the beginning of Zone 2, Lake Velnezers was a eutrophic lake. Most of the models evaluate Lake Velnezers to be an eutrophic lake during Zone 2, except for Chl-a and TP BRT "Eubosmina (pH > 6)" models, which predict the values reaching hypereutrophic conditions.

In Article II, chemical analysis of sediments revealed lake condition deterioration at the end of Zone 3 (1970–1990) and the beginning of Zone 4 (1990–2018). During this time, heavy metal contamination and anoxic conditions in the lake were estimated. Subfossil Cladocera composition analysis somewhat supported this claim, as there was a reduction of species diversity (Figure 14), and *B. longirostris* relative abundance increased even more (Figure 13). However, subjectively, the changes in species composition do not seem as distinct as the ones described by sediment chemistry analysis. Despite this, the model predictions across all the TS descriptive parameters reconstructed the increase in trophic degree at the beginning of Zone 4 (Figure 15) with a very similarly shaped curve to that of sediment analysis (Article II). As BRT model evaluations are considerably closer to the TSI, Chl-a and TP values obtained from literature, the estimates from these models are more likely to be true for Zone 4. Both BRT "pH > 6" and BRT "Eubosmina (pH > 6)" have predicted that at the beginning of Zone 4, Lake Velnezers reached hypereutrophic conditions, with a later return to eutrophic conditions.

## 4.5.2 Lake Sekšu

Article III examines environmental changes in Lake Sekšu — a small eutrophic lake that has been part of Riga's drinking water supply system since the early 20<sup>th</sup> century (Dziluma, 2003) — using sediment chemistry, chironomid, diatom, pollen, non-pollen palynomorphs, and subfossil Cladocera data. To reiterate: nowadays this lake is part of a restricted zone, but during the Soviet Union period, an attempt was made to increase the groundwater levels of the area by pumping water from the nearby lake Mazais Baltezers into Lake Sekšu. The water pumping system for Lake Sekšu was active from 1953 to 1965 (Krutofala & Levins, 2006). In Article III, the beginning of this period was marked as the end of Zone 1 (1935–1947). Zone 1 in comparison to Zone 2 (1947–2018) had noticeably higher sediment organic matter content, C/N ratio, abundance of diatom species with oligotrophic – eutrophic environmental preference as well as larger proportion of *Isoetes lacustris*, which is widely considered as species typical for oligotrophic softwater lakes (Azzella et al., 2024).

Additionally, to increased erosion and nutrient input, it is also argued in Article III that the pipeline caused considerable water level fluctuations. However, that does not mean that the lake was pristine and undisturbed in the period before the construction of the pipeline. The core of Lake Sekšu only extends back to the sediments from the year 1935 and does not cover the period before it was part of the drinking water supply system "Baltezers". A literature source from before the period covered by the sediment record reported that the lake had a transparency of 5.6 m, and the presence of an indicator species of acidic conditions and EC < 100  $\mu$ S/cm, *H. gibberum* (Kuptsch, 1927). The remains of this species cannot be found anywhere in the analysed sediment record, which would mean that the environmental shift had started before the studied period.

The pH reconstructions from the sediment core portray the pH conditions in Lake Sekšu as relatively constant, varying between 7.25 and 8.75, with slightly lower values in Zone 1 (1935–1947) (Figure 18). This result aligns with the findings based on indicator species approach, as the whole length of the core species indicative of pH > 6 and

EC > 100 μS/cm (such as *L. kindtii, P. uncinatus, D. rostrata, M. dispar)* are present (Figure 8). However, some evidence from the current study and literature suggests that during Zone 1, and possibly earlier, the lake's pH and EC may have been lower than present-day values. For instance, the already mentioned occurrence of *H. gibberum* (Kuptsch, 1927) is characteristic of acidic, softwater lakes (Article I; Błędzki & Rybak, 2016). Similarly, the macrophyte *Isoetes Iacustris*, noted for its preference for softwater conditions (Azzella et al., 2024), shows higher relative abundance in the lower layers of the sediment core (Article III). Furthermore, Lake Sekšu is situated in a region with sandy soils (Nikodemus et al., 2018), and softwater lakes in such conditions are a common phenomenon (Murphy, 2002). These findings suggest that Lake Sekšu may have had lower EC and pH values earlier in the first half of the 20<sup>th</sup> century, as reported by the model and indicator species results.

In Zone 2 (1947–2018), *P. pediculus*, which is an indicator of pH < 6 and EC < 200  $\mu$ S/cm, disappears, and another species (*L. leydigi*), which is indicative of pH > 6 and EC > 100  $\mu$ S/cm, appears (Figure 16). The increase in pH and EC could be one of the possible explanations for these results. The initial causes for such a change are unclear, but a further rise in EC after the pipeline construction is possible. The lake from which water was pumped into Lake Sekšu – Mazais Baltezers is indirectly connected to the Baltic Sea, and it has been reported that sometimes seawater penetrates it (LVĢMC, 2015). Based on all of the information given above and the fact that such indicator species as *A. rustica* (pH < 6, EC < 100  $\mu$ S/cm) and *A. elongata* (pH < 6, EC < 200  $\mu$ S/cm) remain throughout the core, the author estimates that the EC of Lake Sekšu should be a little above 100  $\mu$ S/cm in Zone 1, and somewhat close to 200  $\mu$ S/cm in Zone 2.

The indicator species analysis and clustering results of Lake Sekšu subfossil Cladocera composition would point to relatively unchanging environmental conditions throughout the core (Figure 16). In the transition between Zones 1 and 2, the oligotrophic indicator species O. gracilis disappears (Figures 9 and 16). Still, other species indicative of oligotrophic to mesotrophic conditions, such as A. elongata and species indicative of hypereutrophic conditions, such as O. tenuicaudis, appear. While in Article III, the noticeable increase of C. sphaericus is mentioned as one of the arguments that proves the eutrophication of Lake Sekšu, the relative abundance values rarely exceed 20%. The threshold of 20% for C. sphaericus relative abundance was suggested in Article I, as in the training set and other studies this species could be commonly found in proportion between < 1% and 20 % in all lake types (de Eyto, 2001), but above 20% only in eutrophic and hypereutrophic lakes. However, this does not mean that Lake Sekšu did not experience eutrophication. Other evidence, such as the increase of relative abundance of small-sized Cladocera species (Figure 17), and results drawn from other proxies (Article III) still support this claim. It just might be that the extent of the effect was less pronounced.

The results of TS descriptive parameter reconstructions show, on average, slightly lower TSI, Chl-a and TP values in Zone 1 (Figure 18). Generally, all of the models evaluate the TS of Lake Sekšu to be on the border between mesotrophic and eutrophic conditions, with a few points predicted with values that would classify Lake Sekšu as highly eutrophic or hypereutrophic. These results might seem somewhat chaotic, or it could be argued that it is proof of poor model performance. However, the literature source Jansons (1997) has reported high Chl-a value fluctuations (Figure 18). It is mentioned that, on average, the Chl-a value is  $12\,\mu\text{g/l}$  (Jansons, 1997), which would classify Lake Sekšu as lightly eutrophic and later literature records would also classify Lake Sekšu as mesotrophic to

eutrophic (Article I; Zariṇa, 2014). At the same time, there are several reported events when Chl-a values exceed 100  $\mu$ g/l, which would classify Lake Sekšu as highly hypereutrophic (Figure 18) (Jansons, 1997; Pujate, 2003). It can be seen that some of the higher prediction points coincide with the extreme values described in the literature (Figure 18). As fluctuations in the small-sized species proportion are observed (Figure 17), and every core sample contains approximately a 1–3 year period, model predictions may be "picking up" on these episodes.

On the other hand, the average predictions of TSI, Chl-a and TP are relatively stable, which would be more in accordance with the indicator species approach results (Figure 16). It is also worth noting that while oligotrophic species *O. gracilis* disappeared, hypereutrophic *O. tenuicaudis* appeared, the small-sized Cladocera proportion and fluctuations increased, there were still other oligotrophy-mesotrophy indicative species that remained throughout Zone 2. Such species are *M. dispar*, *P. pigra* and *A. elongata* (Figure 16). According to Article III, the macrophyte species *Isoetes Iacustris*, which is considered typical of oligotrophic softwater lakes (Azella et al., 2024), reduces in proportion but does not disappear. Therefore, as such contrasting results are reported from the same lake, it is possible that water quality deterioration in Lake Sekšu resulted in instability of conditions throughout the year, giving a temporal window within the growing season for species with different niches to complete their life cycle, while never truly thriving.

Diatom-inferred TP model of Lake Sekšu predicted TP values between 25 and 50  $\mu$ g/l in Zone 1 and between 50 and 100  $\mu$ g/l in Zone 2 (Article III), while Cladocera based models predicted the TP value to be between 10 and 35  $\mu$ g/l in Zone 1 and between 15 and 55  $\mu$ g/l in Zone 2 (Figure 18). Both Diatom-inferred TP values and Cladocera-based models predicted the lake to be mesotrophic and eutrophic in Zone 1. However, in Zone 2, the conclusion would be different – based on the Diatom model, the Lake Sekšu should have fully transitioned to highly eutrophic conditions. When comparing the reconstruction results to information from literature, there seems to be strength in both models, Cladocera and Diatom-based models, with the Diatom-based model producing values closer to the extreme events, while the Cladocera-based models present values closer to the baseline.

As Lake Sekšu is part of Riga's drinking water supply system, it could be expected that Lake Sekšu would experience considerable water level fluctuation. There are some reports on the changing water surface area of Lake Sekšu - in 1930, the lake's surface area was 13 ha, in 1992, 7.9 ha, but in 2002, it was 11.9 ha (Latvijas Ezeri, 2010), which most likely could be caused by an increase and decrease of water level. However, precise data on water Depth changes was not available. All of the prediction models overestimated lake Depth, with the BRT model values being slightly closer to the actual value (Figure 18). BRT models estimated a higher water level in Zone 1 than in Zone 2, which seems reasonable, considering the reported results of the lake surface area around this time (Latvijas ezeri, 2010). However, the absolute values of the model prediction seem somewhat unrealistic, with predicted water Depth being as high as 20 m. Considering the gentle slopes of the lake basin, and the current lake Depth estimated as 7 m, this result seems highly unlikely. Pelagic and Macrophyte-associated species, as discussed in chapter 4.2.2, might also respond to water level changes, but there does not seem to be much fluctuation occurring within these groups (Figure 17). In the case of Lake Sekšu, subfossil Cladocera do not seem to respond to water level changes, and while lake Depth in Sekšu may have fluctuated over time (Article III), the lack of observations reported in the literature prevents confirmation.

As indicated by the literature (Jansons, 1997; Pujate, 2003), the ecosystem of Lake Sekšu appears to be highly unstable (Figure 18). This instability complicates model performance evaluation, as it becomes difficult to define a reliable 'true value' against which reconstruction results can be compared. Since low ecosystem stability can reflect poor ecological health (Parparov & Gal, 2017), and the methods tested in this study do not seem to capture such dynamic changes fully, it may be beneficial for future research to investigate how seasonal and annual fluctuations in water chemistry are recorded in lake sediments. This would help avoid drawing overly pessimistic or optimistic conclusions about historical environmental conditions and ensure that reconstructions are not prematurely dismissed due to seemingly contradictory or irregular patterns.

### 4.5.3 Lake Nakri

Lake Nakri is a small, shallow and relatively undisturbed eutrophic lake in southern Estonia. Based on subfossil Cladocera analysis, there are four distinct zones in the studied sediment section of Lake Nakri. The end of Zone 1 is 11,100 cal. years BP approximately correspond to the beginning of the Holocene (11,700 cal. years BP), Zone 2 (11,000–7,900 cal. years BP) approximately aligns with the Early Holocene period (11,700–8,200 cal. years BP), Zone 3 (7,900–5,300 cal. years BP) lies within the Middle Holocene period (8,200–4,200 cal. years BP), and Zone 4 (5,300 cal. years BP until 2018 CE) include the end of Middle Holocene and all of Late Holocene (Walker et al., 2018).

Six samples were analysed from Zone 1, encompassing a 1000-year period. Therefore, it is no wonder that dominant species vary from sample to sample (Figure 19). Dominant species in this period are B. (E.) longispina, B. (E.) coregoni and Daphnia spp., all of which were identified as indicators of oligotrophic to mesotrophic conditions (Figure 9). During this period, eutrophic condition indicators such as B. longirostris and C. sphaericus compose approximately 10% each of the species' composition. Species richness in Zone 1 is low (Figure 20), and in the literature, such species composition is sometimes described in relation to oligotrophic lakes (Hessen et al., 2006). Another characteristic of Zone 1 is the lack of Macrophyte-associated species (Figure 20), which could also be connected to higher water levels or nutrient limitation (Figure 6; Hofmann, 1998). The TS evaluation based on indicator species approach is contrasted by the predictions made by the models (Figure 21). All the models predict the TS of Lake Nakri during Zone 1 as eutrophic, while Chl-a BRT models predict the lake to be eutrophic to hypereutrophic. While it is unlikely that Lake Nakri was hypereutrophic during Zone 1 (Kornijów, 2023), there are examples when newly formed lakes can be enriched with nutrients by the animals (such as birds and mammals) that they attract (Kornijów, 2023; Luoto et al., 2015). Other research on lake history during the late glacial period have obtained somewhat similar results and hypothesised that a reason for nutrient enrichment in such lakes is the underdeveloped terrestrial vegetation, which could lead to nutrients from soil being leached into the lake ecosystem (Apolinarska et al., 2012; Tichá et al., 2019; Veski et al., 2010). It is considered that if species response down core is associated with the reconstructed parameter, the trajectories of the reconstruction curves will be similar, even if the absolute values vary (Birks et al., 2012). TSI, Chl-a and TP all showed a decrease in values during Zone 1 and an increase in Zone 2 for all tested BRT and WA-PLS models (Figure 21), which could mean that the late glacial to Early Holocene transition indeed resulted in reduced productivity of the lake ecosystem of Nakri. Among the littoral species present during Zone 1 are oligotrophy-mesotrophy indicators A. elongata and M. dispar. These species are present in almost every sample of Zone 1, which makes

it seem unlikely that Lake Nakri was hypereutrophic during this period, as predicted by the BRT models. It is more likely that Lake Nakri could be described as mesotrophic, as earlier macrofossil research found Characeae remains in Lake Nakri during the late glacial period (Amon et al., 2012). When considering the model results, it must be noted that Zone 1 has the least similarity to the training set from all the lake sediment records analysed (Figure 20). Therefore, the models' predictive power in this situation might be questionable. The same criticism might also be applied to indicator species results, as they, too, are based on modern analogues. While it was identified that *B. (E.) longispina* is indicative of mesotrophic conditions in Article I, in the training set samples, *B. (E.) longispina* relative abundance did not exceed 30%, while in Lake Nakri, relative abundance reaches 80%. Therefore, there is no good modern analogue in the developed training set for comparison with the conditions in the late glacial/Early Holocene period.

Zone 2 (11,000-7,900 cal. years BP) approximately aligns with the Early Holocene period (11,700-8,200 cal. years BP) (Walker et al., 2018). Cladocera composition during this period is dominated by B. (E.) longispina, which slowly decreases in relative abundance with the increase of B. longirostris towards Zone 3 (Figure 19). During this period, several new Macrophyte-associated species appear, and the summed relative abundance of Macrophyte-associated species increases as well (Figures 19 and 20). This could signify the development of the macrophyte zone in Lake Nakri. As the indicators of oligotrophic conditions are lacking (Figure 19) and the dominant species B. (E.) longisping was classified as the indicator of mesotrophic conditions (Figure 9), it seems that Lake Nakri possibly never was a truly oligotrophic lake. The author would evaluate Lake Nakri as mesotrophic to slightly eutrophic during Zone 2 due to the dominance of B. (E.) longispina and the consequential dominance of medium-sized Cladocera species (Figure 20). In contrast, all of the models evaluated conditions during Zone 2 as eutrophic, and at some points even hypereutrophic (Figure 21). The dissimilarity between core and training set samples for Zone 2 is relatively high but reduces toward Zone 3, which should lead to better environmental estimates.

Zone 3 (7,900-5,330 cal. years BP) lies within the Middle Holocene period (8,200-4,200 years BP) (Walker et al., 2018) and somewhat aligns with the period of Holocene Thermal Maximum (8,000-5,000 years BP). This period is characterised by a temperature approximately 2.5 °C warmer than that of the present day (Renssen et al., 2012; Seppä & Poska, 2004). The increase of B. longirostris during this period (Figure 19) suggests an increase in lake productivity (Adamczuk, 2016; Article I). Our results showed that with the increase of TS, an increase of Small-sized species relative abundance can be observed (Figure 6), which can also be seen in the case of Lake Nakri (Figure 20). However, similar results of reduction of Cladocera body size have been observed in relation to warming of the climate (Albini et al., 2025; Beaver et al., 2020), and lake productivity can be influenced by both climate and nutrient limitations (Hao et al., 2018; Meerhoff et al., 2022). Even more, a warmer climate can increase water stratification, which could lead to oxygen depletion in the hypolimnion (Nickus et al., 2010). Under anoxic conditions, P release from sediments occurs (Hupfer & Lewandowski, 2008); therefore, climate could also directly contribute to the TS increase. This makes it challenging to evaluate to what extent the increase of Small-sized Cladocera and B. longirostris resulted from climate warming, nutrient enrichment or combined effects of both. None of the models predicted a significant increase in any of the TS descriptive parameters during Zone 3 (Figure 21). This result does not come from a lack of available modern analogues, as the samples of Zone 3 are rather similar to the surface sediment samples (Figure 20). As the model trajectories of the reconstructed TS-related parameters diverge in Zone 3, it is possible that some other parameter was driving subfossil Cladocera assemblages during this period. However, within the current stage of this work, it is not possible to determine if the variation in species composition is a result of climate impact. To solve this problem, a training set with broader geographical coverage would be needed. As the Tann gradient of the training set is only 2.5 °C (Article I), it could be considered that the use of the current training set for TS environmental reconstructions requires an assumption of relatively constant climate.

Zone 4 (5,330 cal. years BP onward) includes the end of the Middle Holocene and all of the Late Holocene. During this period, *B.* (*E.*) longispina and *B.* longirostris reach equilibrium, and very little change can be observed until the uppermost sediment layers (Figure 19). Zone 4 mainly differs from other zones in that it has an increased relative abundance of Sediment-associated species (Figure 20) and the appearance of *B.* (*E.*) coregoni, both characteristic of low TS. These findings somewhat align with the model predictions, as all of the tested TS-related models predict Lake Nakri to be slightly less eutrophic, if compared to the results of Zone 3 (Figure 21).

As the Lake Nakri core covers a comparatively long time period, similar trajectories in environmental parameter reconstructions can be observed across models during certain intervals, but not in others. For instance, the WA-PLS and BRT models generally diverge in their reconstructions of water Depth (Figure 21). However, all models consistently indicate an increase in water Depth during two key periods: the transition from Zone 3 to Zone 4 (around 5,300 cal. years BP) and the Little Ice Age (LIA, 1,500-1,850 CE) (Matthews & Briffa, 2005; Meier et al., 2022; Seppä et al., 2009). The transition between Zones 3 and 4 roughly coincides with the end of the Holocene Thermal Maximum, and this period is also associated with increased precipitation during the Middle Holocene (Mauri et al., 2015; Meier et al., 2022). Similarly, the LIA is characterised by colder winter and summer temperatures alongside heightened precipitation (Wanner et al., 2022). These climatic shifts likely contributed to increased water Depth in Lake Nakri during both periods. Other researchers have also reported an increase in water Depth during LIA (Nevalainen et al., 2013). However, the same time periods are also marked with a decrease of TS, according to most reconstruction models (Figure 21), once again making it difficult to distinguish which parameter has primarily contributed to the changes in subfossil Cladocera assemblages.

Information on water Depth can also be evaluated based on the changes in the relative abundance of Pelagic and Macrophyte-associated species (Figure 6; Hofmann, 1998; Sarmaja-Korjonen, 2001). The steady decrease of Pelagic species and increase in Macrophyte-associated species relative abundances from the bottom of the core towards the top contrasts with the fluctuating patterns of Depth reconstructions by WA-PLS and BRT models (Figures 20 and 21). A decrease in water Depth over time is plausible, as it could result from gradual sediment accumulation filling the lake basin (Kornijów, 2023).

# 4.6 Evaluation of reconstruction approaches for key environmental parameters

This work aimed to evaluate which subfossil Cladocera assemblage analysis methods (functional groups, indicator species, WA-PLS and BRT models) would be most suitable for reconstructing pH, EC, TS, lake Depth and Area. It was found that subfossil Cladocera species composition in the lakes of Baltic states is primarily driven by pH. Therefore, it is no surprise that all of the developed methods can identify pH-driven changes in subfossil Cladocera assemblages. The author considers quantitative pH reconstructions using BRT and WA-PLS models preferable. The functional groups that displayed some correlation to pH were Macrophyte-associated species and Large-sized Cladocera (Figures 6 and 7). Perhaps combining this knowledge with information on species diversity (Figure 5) might aid in recognising dystrophic and acidic conditions in the past. However, based on the literature, a similar signal of an increase in the relative abundance of Large-sized Cladocera could also be related to lower fish predation pressure, cooler climate or oligotrophic conditions (Beaver et al., 2020; Jeppesen et al., 2001). Furthermore, an increase in Macrophyte-associated species could be related to changes in water Depth (Figure 6; Hofmann, 1998). Indicator species analysis seems to show better results compared to the functional group approach. However, the resolution for distinguishing the change is relatively coarse, as it is only possible to differentiate between lakes with pH < 6 and pH > 6 (Article I). In contrast, WA-PLS and BRT models can assess lake pH changes to high resolution, as shown by the comparisons between the values reported in the literature and reconstructed values (Figures 15 and 18). All of the models within each investigated lake predicted similar pH values (Figures 15, 18, 21), which again could be considered as an argument for why all of the pH models could be regarded as equal.

The only lake with truly significant pH changes within the studied period was Lake Velnezers (Figure 15). The conclusion that the pH was lower in this lake during Zone 1 (before 1920) is supported by the evaluation based on indicator species analysis, functional group analysis, and all of the model reconstructions. However, based on the indicator species analysis, the author would evaluate the pH of Lake Velnezers during Zone 1 to be < 6, but according to the model reconstructions, these values increase from 5.5 to 8 pH units. Partially, the differences in conclusions between these two methods could be connected to pH value distribution within the training set, as there are no lakes with a pH between 6 and 7. In the indicator species analysis the tested groups were pH < 6, pH 6–8 and pH > 8 for gradient continuity, however since the gradient part of lake between 6 and 7 pH points are missing, it is also possible that all the pH < 6 species are capable of living at conditions with pH < 7.

EC was found to be an important explanatory variable for the composition of the subfossil Cladocera species, and it is also somewhat correlated with pH. EC showed reasonable results during the model CV (Figure 12). However, when looking at the lake EC reconstructions, all of the models failed to reconstruct reasonable EC values for Lake Velnezers and Lake Nakri. In Zone 1 of Lake Velnezers, it could be expected that EC values were < 100  $\mu$ S/cm, as argued from the perspective of indicator species analysis and reported by the models (Figure 15). This result is contrasted by significant underestimation of Velnezers EC by the models in Zones 2-4, when compared to the results of indicator species analysis and observations reported in the literature. For Lake Nakri, only the BRT model (Figure 21) was able to reproduce values similar to the measured EC value (Article I). Nevertheless, the same model predicted an EC value of 1200  $\mu$ S/cm just one

sample below, while WA-PLS models constantly underestimated EC within the core. Therefore, the author does not advise using any of the developed models for the EC reconstructions. This does not mean that EC is not an important parameter influencing Cladocera. As shown by indicator species analysis, species such as H. gibberum (Article I) seem more restricted by EC than pH. Several authors have proven that there is a significant connection between lake EC and Cladocera composition (Amsinck et al., 2005; Bjerring et al., 2009; Çakıroğlu et al., 2014). However, some of these studies had better EC gradient coverage, especially for the higher EC values (Bjerring et al., 2009). A potential reason for the failure of EC reconstruction models could be the overlapping influence of pH and EC (Figure 4). Perhaps better EC model results could be achieved if the EC models were developed using the datasets "pH > 6" and "Eubosmina (pH > 6)", and either by adding more highly conductive lakes to the dataset or by excluding the lakes with the highest EC values. Based on the current results, the indicator species approach appears to be the most suitable method for evaluating EC within the available dataset. This approach allows for the differentiation of lakes into categories such as EC < 100  $\mu$ S/cm, EC < 200  $\mu$ S/cm, and EC > 100  $\mu$ S/cm. EC did not show a correlation to any of the functional groups.

Eutrophication, anthropogenic disturbances, and their effects on freshwater ecosystems have been a focus of limnoecological research for at least the past 50 years (Kornijów, 2024). This research found that lake TS is a highly significant explanatory variable. TS descriptive parameters were effectively reconstructed using functional groups, indicator species, and modelling approaches. Lake Velnezers, which has recently undergone rapid eutrophication, displayed similar trajectories of all TS-related parameters in Zone 4 (Figure 15), suggesting that nutrient enrichment was the main driving force of Cladocera assemblages during this period – in the case of Lake Velnezers, the BRT model reconstructions proved to be the most effective method, as the predicted values closely matched the observations reported in literature(Figure 15), and mirroring trends in sediment chemistry described in Article II. In contrast, while indicator species approach captured the shift of TS towards hypereutrophic conditions, it failed to identify the changing trends of TP, Chl-a and TSI values (Figures 13 and 15).

In contemporary limnology, TS is supposed to be descriptive of lake productivity (Kornijów, 2024). However, there is an issue in this concept that complicates the result interpretation over more extended time periods, as the effects of nutrient availability and climate on productivity are intertwined (Jeppesen et al., 2010; Moss et al., 2011; Visconti et al., 2008). Despite this knowledge, the concept of eutrophication is primarily used as a synonym for nutrient enrichment (Kornijów, 2024; Pannard et al., 2024). Nutrient availability is the main factor influencing lake productivity in the developed training set. However, this might not be the case for Nakri Zone 1 (late glacial period/beginning of the Holocene), as lake productivity might have been limited by temperature. As discussed earlier, in the subfossil Cladocera training set of Baltic countries, TS descriptive parameters such as TSI, Chl-a and TP were identified as significant, while T<sub>ann</sub> was excluded from the explanatory parameter list as not significant. As a result, the developed reconstruction methods do not provide information necessary to distinguish between the nutrient enrichment and climate influence on the subfossil Cladocera assemblages. This might impair the reconstruction results in such cases as Lake Nakri Zone 1, as the underlying assumption of constant climate is not met (Manuscript I). Another question that needs to be considered in TS environmental reconstructions is whether subfossil Cladocera truly respond to TS-related parameters or do they respond to a more complex "productivity" parameter, which includes temperature. If the limiting parameter for lake "productivity" was climate, it should not accurately represent TSI, Chl-a or TP. As Cladocera feed primarily on algae and bacteria (Ebert, 2005; Smirnov, 2017), their relationship to TP should be indirect, and Chl-a might be a more ecologically relevant parameter to reconstruct. The reconstruction of TS may also be influenced by additional confounding factors, such as lake Depth (Figure 4; Juggins et al., 2013). Nevertheless, in the modern-day situation, all these parameters are so closely related that it seems like an impossible task to draw solid conclusions about modern-day no-analogue situations. This issue could potentially be addressed by expanding the training set to include areas with climatic conditions similar to those inferred from other proxies for the Holocene and late glacial history of the Baltic States.

Subfossil Cladocera are commonly used for lake depth reconstructions (Korhola et al., 2005; Siitonen et al., 2011). In this work, too, Depth was found to have a significant influence on subfossil Cladocera assemblages. However, lake Depth was negatively correlated with lake TS, complicating the distinction between these two parameters and their influences on cladocerans. Cladocera species showed a stronger response to TS, suggesting that lake Depth cannot be reliably reconstructed using BRT or WA-PLS models under the current training set design. Furthermore, evaluating model reliability is challenging due to the lack of observational data from the literature. However, the functional groups Pelagic and Macrophyte-associated Cladocera showed a response to lake Depth, which might help to evaluate periods when water level has increased or decreased, as done by many other authors (Nevalainen et al., 2011; Rudna et al., 2023; Sarmaja-Korjonen, 2001).

Although lake Area was identified as significant explanatory variable, it did not show a clear response to any of the Cladocera species or functional groups. The theoretical basis for lake Area as an explanatory variable includes differences in remaining transportation (Nevalainen, 2011), extinction and colonization dynamics (Arnott et al., 2006), and possibly a number of available niches, which could influence species diversity. However, in this work, no correlation between lake Area and any of the species diversity parameters was found (Figure 5). This aligns with findings from some other studies, which suggest that the species-area relationship in aquatic ecosystems is not always as pronounced as in terrestrial systems (Browne, 1981; Hessen et al., 2006).

# 4.7 Assumptions and improvements for subfossil Cladocera-based transfer functions

# 4.7.1 The assumptions linking surface sediments to past environmental conditions

Similarly to many statistical analyses, having assumptions on data structure to function correctly, palaeoecological reconstructions that rely on modern analogues also have some basic fundamental assumptions. The assumptions (based on Lyman, 2017) that would be relevant for this work are listed below:

- 1. Species ecological tolerance has not changed over time.
- 2. Each species has a defined ecological niche limited by sensitivity to particular parameters.
- 3. Species presence suggests that the local environment at that time supported its survival.
- 4. Changes in species composition reflect changes in environmental conditions.

- 5. Faunal remains have been identified correctly, and the influence of cryptic species is negligible.
- 6. Sample size and preservation has not influenced the results.
- 7. The temporal resolution is sufficient to evaluate the magnitude and the rate of change.

It may be appropriate to add an additional assumption to the list:

8. The surface sediment training set includes a sufficient range of relevant environmental conditions to encompass the ecological optima and tolerances of the species present (Birks et al., 2012; Juggins, 2013).

The use of the Bosmina/Eubosmina complex to reconstruct past environmental conditions could lead to violations of assumptions 1 and 5. This is due to the complex taxonomy and relatively short evolutionary history of Eubosmina spp. (Faustová et al., 2011; Kotov et al., 2009). It is considered that finer taxonomical resolution could lead to more accurate model outputs, while coarse taxonomical resolution leads to fewer incorrectly identified individuals (Heiri & Lotter, 2010). Nevertheless, no consistent differences could be observed between the CV performance of models built based on datasets "Eubosmina" and "all" or "Eubosmina (pH > 6)" and "pH > 6" (Figure 12). Eubosmina spp. taxonomy is famously tangled and confusing already in the literature of contemporary ecology (Kotov et al., 2009; Lieder, 1996), increasing the probability of misidentification. It is even more likely to happen in paleolimnological studies, due to the fragmentation of the individuals. Genetic evidence suggests that radiation of Eubosmina species has occurred during the Holocene (Faustová et al., 2011), meaning that transitional forms of these species could be encountered in cores covering the whole Holocene. Only a few researchers have attempted to distinguish Eubosmina species and subspecies to high taxonomical resolution in subfossil Cladocera samples (Błędzki & Szeroczyńska, 2015; Zawiska et al., 2025). Most researchers have either combined them under Eubosmina spp. or grouped the individuals under species B. (E.) coregoni and B. (E.) longispina. However, it should be noted that in the most commonly used subfossil Cladocera identification key by Szeroczyńska & Sarmaja-Korjonen (2007), the classification of B. (E.) coregoni includes wider variability of characteristics than would be descriptive of this species in contemporary limnology (Błędzki & Rybak, 2016). Therefore, as there are inconsistencies in defining the species B. (E.) coregoni and B. (E.) longispina between paleolimnology and contemporary limnology, the transfer of knowledge between these fields regarding Eubosmina spp. should be approached with caution. Importantly, this issue might not be limited to Eubosmina; indicator species and transfer functions broadly rely on modern ecological relationships, and similar uncertainties could potentially affect other taxa, though this has not been systematically investigated.

Assumption 6 also presents challenges. It is well known that not all taxa preserve equally well in lake sediments. For example, *Daphnia* species are often poorly preserved in sediments, but the specific conditions under which preservation quality improves or deteriorates are not well understood (Leppänen & Weckström, 2016; Sarmaja-Korjonen, 2007). The sample preservation in general could have significant implications for both the training set and the sediment records. For example, the training set sample from Lake Vööla meri exhibited relatively poor preservation, potentially leading to non-representative species assemblages. Similarly, in the sediment cores, poor preservation was observed in Zone 1 (late glacial period) of Lake Nakri, which could also result in biased or incomplete faunal records. Such preservation biases may ultimately

limit the reliability of ecological interpretations, particularly in cases where key taxa are underrepresented or entirely absent from the sediment record.

Assumption 7, "the temporal resolution is sufficient to evaluate the magnitude and the rate of change," is particularly relevant for the record of Lake Sekšu and Zone 1 of Lake Nakri. Lake Nakri Zone 1 contains information from 6 sediment samples that cover approximately 1000-year period. As dominant species differ from sample to sample, the full magnitude, direction, and timing of changes are not possible to evaluate (Figure 19). Therefore, a more dense sampling of subfossil Cladocera during Nakri Zone 1 would be necessary. The ecosystem of Lake Sekšu appears to be highly unstable, as reported by the literature on TS-related parameters (Figure 18), and the methods applied in this study do not seem to fully capture such dynamic changes. However, it would not be fair to say that the sampling effort for Lake Sekšu was insufficient, as the sediment core was sampled in 1 cm intervals, and every sub-sample was analysed.

## 4.7.2 Future directions for improvement of subfossil Cladocera based environmental reconstructions in Baltic states

The models developed in this study produced good results for reconstructing pH and TS-related parameters. However, the surface sediment training set for the Baltic States could be improved by enhancing data distribution, expanding gradient coverage, including additional environmental parameters, and applying better validation methods to better understand Cladocera sensitivity and responses to environmental change. The proposed improvements include:

- 1. Increasing the number of observations for lakes with pH < 6.
- 2. Extending the training set coverage to areas that are representative of the full range of Holocene climatic conditions in the Baltic states.
- 3. Using datasets "Eubosmina (pH > 6)" or "pH > 6", and either by excluding the extreme EC values from the existing training set or by additional sampling of high EC lakes, could potentially improve the EC model.
- 4. Developing subsets of data filtered for constant Depth or TS conditions could improve Depth and TS reconstructions by reducing the interference between these variables. For instance, separate TS models could be created for deep and shallow lakes. However, applying such models would require independent estimates of past Depth or TS values from other proxies.
- Obtaining more precise lake environment measurements, especially for lake Depth.
- 6. Including observations of fish, macrophytes, catchment, land cover, and land use in the analysis.
- 7. Increasing the total number of observations for improved BRT model performance.
- 8. Surface sediment dating to better account for variability in sedimentation rate and consequential differences in temporal coverage.
- 9. Testing the reconstruction models on well-dated sediment records from lakes with long-term monitoring data.

Implementing these improvements could lead to more reliable paleoenvironmental reconstructions and a deeper understanding of past ecological changes in lake ecosystems across different temporal scales.

### **5 Conclusions**

The thesis focuses on subfossil Cladocera assemblages as a potential tool for environmental reconstructions of the past conditions in lakes of the Baltic states. Functional group, indicator species, WA-PLS, and BRT reconstruction methods were developed based on the 78-lake surface sediment training set of Estonia, Latvia, and Lithuania. It was found that the subfossil Cladocera assemblages could be used to reconstruct pH, EC, TS, and lake Depth. However, the precision of the reconstruction varies from parameter to parameter and between methods.

- pH is the main driving factor in the subfossil Cladocera species assemblages, and can be successfully quantitatively reconstructed using WA-PLS and BRT models.
   It is also possible to approximately evaluate pH using indicator species approach; however, quantitative reconstruction models are preferable as they are capable of assessing pH values more accurately.
- TS can be reconstructed using the indicator species approach, and changes in
  TS can be approximated using the functional group approach. Quantitative
  reconstructions of TSI, TP, and Chl-a are possible. However, certain assumptions
  must be met. That is, pH in the analyzed period must have been neutral or alkaline,
  and other driving factors, such as climate, do not have a significant impact during
  the studied time period.
- EC can be approximated using the indicator species approach. Other methods do not seem to be able to consistently reconstruct EC. This is due to the high correlation between EC and pH.
- Changes in lake Depth can be assessed using the functional group approach.
  Depth and TS are closely linked parameters, and for further development of the
  Depth and TS models, a more targeted training set development for these
  parameters would be necessary.
- Considering the development of the training set data subsets, exclusion of the sites with pH < 6 significantly improved the BRT and WA-PLS model performances for TSI, TP, Chl-a, and Depth reconstructions. Separating species Bosmina (Eubosmina) coregoni and B. (E.) longispina or combining them under Eubosmina spp. did not have a consistent impact on the model cross-validation results or the conclusions drawn from the WA-PLS, BRT, or indicator species-based environmental reconstructions for cores of Lake Velnezers, Lake Sekšu, and Lake Nakri.</li>
- The functional group approach is helpful in observing changes in pH, TS, and Depth, but it does not provide numerical approximations of past environmental conditions. The indicator species approach allows for simple approximations of pH, TS, and EC. BRT models provide reasonable absolute value estimates for pH, TSI, TP, and Chl-a if results are averaged between samples with LOESS smoothing. However, the sample-to-sample value estimations are relatively unstable. WA-PLS estimations provided reasonable pH absolute value estimations and might be useful to capture overall trends in the changes of the conditions of TSI, TP, and Chl-a, but sometimes underestimate the absolute values. It might be useful to apply these models together, as they showed similar trends in cases where nutrient enrichment could be considered the main driving factor of the lake ecosystem. Using these methods together might provide insights into

- whether nutrient input was the main driving force of the changes in the ecosystem, or could it be a more complex combination of environmental factors.
- Lake Velnezers has undergone changes in pH and TS over the last 200 years. In the earlier periods characterized by the transition from forested to agricultural lands, there was an increase in pH, which changed the composition of Cladocera species. In the time period from 1950 onwards, Lake Velnezers was primarily influenced by eutrophication and reached its peak nutrient levels between the years 1990 and 2010, when it became highly eutrophic to hypereutrophic.
- Lake Sekšu has become more eutrophic during the last 100 years. The baseline
  conditions have changed little, as Lake Sekšu has transitioned from a mesotrophic
  to a slightly eutrophic TS. However, the lake ecosystem might have undergone
  periods of high seasonal nutrient-level fluctuations that occasionally might have
  reached hypereutrophic conditions.
- Lake Nakri, in the last 12,000 years, has changed from a mesotrophic lake to an
  eutrophic lake, becoming shallower but has not undergone significant changes in
  pH or EC. Most of the past changes in Lake Nakri have been climate-driven;
  therefore, precise estimations of the TSI, TP, and Chl-a values throughout the
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#### **Abstract**

# Model-Based Reconstructions of Lake Environments in the Baltic States Using Subfossil Cladocera

Paleolimnology offers a valuable tool for evaluating historical lake conditions. This includes periods before human disturbance, varying climate conditions, and the impacts of specific human activities on lake ecosystems, some of which may not have been thoroughly studied or documented at the time due to differing societal priorities or the early stage of ecological science during those periods. Knowledge of lake history is a basis for more effective restoration strategies and goals, and supports predictions about lake development under future climate change and ongoing anthropogenic impact. Nevertheless, reconstructing historical lake environments becomes increasingly challenging, as multiple stressors can influence lake ecosystems simultaneously.

One of the key proxies for evaluating historical changes in lakes is subfossil Cladocera. Cladocera are microscopic crustaceans that spend their entire life cycle within the lake and are widely used to reconstruct environmental parameters such as trophic state, pH, electrical conductivity, and water depth. However, species' responses to environmental factors can vary between regions, and until now, such an evaluation for subfossil Cladocera in the Baltic States has been lacking. Furthermore, although various qualitative and quantitative approaches are used globally to reconstruct past environmental conditions using subfossil Cladocera, comparisons between these methods and their relative suitability are rare.

A surface sediment training set comprising 78 lakes across the Baltic States was developed to address this research gap. The lakes were selected to represent a wide gradient of environmental parameters known to affect the Cladocera assemblages, such as pH, electrical conductivity, trophic state, depth, and surface area - all of which were found to significantly influence subfossil Cladocera species assemblages as revealed by redundancy analysis (RDA). The connection between environment and subfossil Cladocera species assemblages was further analyzed using functional groups and indicator species approaches. Weighted averaging partial least squares (WA-PLS) and boosted regression tree (BRT) models were developed for the quantitative reconstruction of past lake environments. WA-PLS was selected as it is one of the most robust and widely used methods for transfer function development in paleolimnology, while BRT represents a more recent machine-learning-based approach capable of handling complex, nonlinear relationships between species assemblages and environmental variables. Although BRT has been applied in other ecological contexts, its use in subfossil Cladocera studies remains rare, and to date, no direct comparison between WA-PLS and BRT has been conducted in this context. Observations of water pH, electrical conductivity, trophic state descriptive parameters (total phosphorus, total nitrogen, chlorophyll-a, transparency), and water depth, where available, were compared to the reconstructed values and used as a part of the reconstruction method and model evaluations. Although this study focuses on subfossil Cladocera, the results were also compared with conclusions drawn from other proxies, as reported in the articles that are a part of this work.

The analysis revealed that pH is the most significant factor influencing subfossil Cladocera assemblages and can be reliably reconstructed using both WA-PLS and BRT modeling approaches. In contrast, electrical conductivity could not be quantitatively reconstructed; however, it could be qualitatively approximated using the indicator

species approach. Trophic state can be reconstructed using BRT models, particularly when changes are primarily driven by nutrient enrichment. However, this approach becomes unreliable when other major drivers, such as pH shifts or climate variability, are present. Trophic state can also be assessed using functional group composition and indicator species analysis. Quantitative reconstructions of lake depth produced less reliable results. Based on the current data, shifts in the proportions of pelagic versus macrophyte-associated Cladocera species are recommended as a more robust proxy for evaluating depth-related changes. Although the lake surface area was found to significantly influence Cladocera assemblages, it could not be reliably reconstructed.

All above-mentioned reconstruction methods were applied to sediment cores from three small eutrophic lakes, each representing a different time period and level of human disturbance. The core from Lake Velnezers spans from before 1850 to 2018 CE and was the site most severely impacted by human activity. Its catchment has undergone a transition from forested to agrarian land and, most recently, to an urbanized area. Reconstructions from Lake Velnezers indicate a rise in pH associated with agricultural development in its catchment, followed by severe eutrophication driven by urbanization. The sediment core from Lake Sekšu covers the period from 1935 to 2018 CE. This lake is located in a forested area and forms part of the drinking water supply system for the city of Riga. In Lake Sekšu, model results, along with functional group and indicator species analysis, suggest a slight increase in pH and moderate eutrophication. Lake Nakri is located in a forested area and has experienced minimal human impact. The sediment record of Lake Nakri covers a long-term period, extending from the Late Glacial (12,100 calibrated years BP) to 2017 CE. Lake Nakri experienced some eutrophication between 7900 and 5600 calibrated years BP, but has remained relatively stable since. However, changes during the Late Glacial and Early Holocene periods remain difficult to interpret due to the absence of modern analogues and climatic conditions that differ from those represented in the training set.

These findings highlight the value of subfossil Cladocera for reconstructing historical lake conditions such as pH, electrical conductivity, trophic state, and water depth. They also demonstrate the potential of combining or selectively applying functional group analysis, indicator species, and quantitative modeling approaches, depending on the most suitable method for each parameter. The findings contribute to the development of regionally appropriate approaches for assessing lake history and informing potential lake management strategies.

#### Lühikokkuvõte

# Subfossiilsete vesikirbuliste põhised järvekeskkonna mudelrekonstruktsioonid Läänemeremaades

Paleolimnoloogia meetod järvede mineviku keskkonnatingimuste hindamiseks võimaldab uurida perioode enne inimtegevuse mõju, selgitada erinevaid kliimatingimusi ning eelajaloolise inimeste tegevuste mõju järvede ökosüsteemidele, Järvesetetes kajastunud teadmised järvede minevikust on aluseks tõhusamate järvede taastamisstrateegiate ja -eesmärkide kujundamisel ning toetavad järvede arenguprognoose tulevaste kliimamuutuste ja jätkuva inimtegevuse tingimustes. Siiski on järvede mineviku keskkonnatingimuste rekonstrueerimine keeruline, kuna järvede ökosüsteeme mõjutavad sageli mitmed tegurid samaaegselt.

Üheks oluliseks indikaatoriks mineviku keskkonnamuutuste hindamisel järvedes on subfossiilsed vesikirbulised (Cladocera). Vesikirbulised on mikroskoopilised koorikloomad, kes veedavad kogu oma elutsükli järves ning leiavad laialdast kasutust veekeskkonna parameetrite nagu troofiline seisund, pH, elektrijuhtivus ja veesügavus, rekonstrueerimisel. Siiski võivad liikide reageeringud keskkonnateguritele piirkonniti erineda ning seni pole Balti riikides subfossiilseid vesikirbulisi sellisteks uuringuteks kasutatud. Lisaks kasutatakse maailmas erinevaid kvalitatiivseid ja kvantitatiivseid lähenemisviise mineviku keskkonnatingimuste rekonstrueerimiseks, kuid nende meetodite võrdlusi ja sobivuse hindamisi on vähe.

Selle uurimislünga täitmiseks koguti Balti riikide 78 järve pinnasesette andmebaas. Valitud järved esindavad laia vesikirbuliste kooslusi mõjutavate keskkonnaparameetrite vahemikku, nagu pH, elektrijuhtivus, troofiline seisund, sügavus ja pindala. Kõik need osutusid redundantsusanalüüsiga (redundancy analysis - RDA) olulisteks teguriteks subfossiilsete vesikirbuliste koosluste kujunemisel. Keskkonna ja vesikirbuliste koosluste seoseid analüüsiti funktsionaalsete rühmade ja indikaatorliikide abil. Kvantitatiivseks rekonstruktsioonideks töötati välja kaalutud keskmiste osaliste vähimruutude meetodil (weighted averaging partial least squares - WA-PLS) ja võimendatud regressioonipuudel (boosted regression tree - BRT) põhinevad mudelid. WA-PLS valiti, kuna see on üks kõige usaldusväärsemaid ja laialdasemalt kasutatavaid ülekandefunktsiooni meetodeid paleolimnoloogias, samas kui BRT esindab uuema põlvkonna masinõppepõhist lähenemist, mis suudab käsitleda keerukaid, mittelineaarseid seoseid organismide koosluste ja keskkonnamuutujate vahel. Kuigi BRT-d on rakendatud muudes ökoloogilistes uuringutes, on selle kasutamine subfossiilsete vesikirbuliste uurimisel harv ning seni pole WA-PLS-i ja BRT otsest võrdlust tehtud. Vaatlustel mõõdetud järve vee pH, elektrijuhtivuse, troofilise seisundi (kogufosfor, kogulämmastik, klorofüll-a, läbipaistvus) ja veekogu sügavuse andmeid võrreldi modelleeritud väärtustega ning kasutati mudelite usaldusväärsuse hindamisel. Kuigi uuring keskendub subfossiilsetele vesikirbulistele, võrreldi tulemusi ka teiste andmete põhjal tehtud järeldustega mida on kirjeldatud töö osaks olevates artiklites.

Tulemused näitavad, et pH on kõige olulisem tegur, mis mõjutab subfossiilsete vesikirbuliste kooslusi, ning seda saab usaldusväärselt rekonstrueerida nii WA-PLS kui ka BRT mudelite abil. Elektrijuhtivust ei olnud võimalik kvantitatiivselt rekonstrueerida, kuid seda saab kvalitatiivselt hinnata indikaatorliikide abil. Troofilist seisundit saab rekonstrueerida BRT mudelite abil, juhul kui muutuste peamiseks põhjuseks veekeskkonna toitainetega rikastumine. Kui aga kaasnevad teised olulised mõjutajad, näiteks pH- või

kliimamuutused, on see lähenemine ebausaldusväärne. Troofilist seisundit saab hinnata ka funktsionaalsete rühmade ja indikaatorliikide analüüsi abil. Järve sügavuse kvantitatiivne rekonstrueerimine oli vähem usaldusväärne. Käesolevate andmete põhjal oleks soovituslik veesügavuse muutuste hindamiseks kasutada pelagiaalse ja makrofüütidega seotud vesikirbuliste liikide osakaalu muutusi. Kuigi järve pindala mõjutas vesikirbuliste kooslusi oluliselt, ei olnud seda võimalik usaldusväärselt rekonstrueerida.

Kõiki eespool mainitud rekonstrueerimismeetodeid rakendati kolme väikese eutrofeerunud järve setteläbilõigetele, millest igaüks esindab erinevat ajaperioodi ja inimtegevuse taset. Velnezersi järve setteläbilõige hõlmab ajavahemikku 1850–2018 ning see oli kõige tugevama inimtegevuse mõjuga järv. Selle järve valgala on esialgsest metsasest piirkonnast muutunud põllumajanduslikuks ja hiljem linnastunud alaks. Velnezersi rekonstruktsioonid näitavad pH tõusu seoses põllumajanduse arenguga ning sellele järgnenud tugevat eutrofeerumist linnastumise tõttu. Sekšu järve setteläbilõige hõlmab ajavahemikku 1935–2018. See järv asub ka metsases piirkonnas ja kuulub Riia linna joogivee varustussüsteemi. Sekšu järve modelleerimistulemused ja funktsionaalsete rühmade ning indikaatorliikide analüüs viitavad kergelt suurenenud pH-le ja mõõdukale eutrofeerumisele. Nakri järv asub vähese inimtegevuse mõjuga metsases piirkonnas. Selle setteläbilõige hõlmab pikaajalist perioodi, ulatudes hilisjääajast (12000 kalibreeritud aastat tagasi) kuni tänapäevani. Nakri järves esines suurenenud eutrofeerumist ajavahemikus 7900–5600 kalibreeritud aastat tagasi, kuid sellest ajast alates on järve seisund püsinud suhteliselt stabiilsena. Samas, hilisjääajal ja varaholotseenis toimunud muutusi on raske tõlgendada, kuna puuduvad tänapäevased keskkonnatingimuste analoogid.

Antud töö tulemused rõhutavad subfossiilsete vesikirbuliste väärtust mineviku järvede keskkonnatingimuste, nagu pH, elektrijuhtivus, troofiline seisund ja veesügavus, rekonstrueerimisel. Samuti näitavad need, kui oluline on kombineerida või valida sobivaim meetod (funktsionaalsete rühmade analüüs, indikaatorliigid või kvantitatiivne modelleerimine) sõltuvalt hinnatavast parameetrist. Tulemused aitavad arendada piirkonnale sobivaid lähenemisviise järvede mineviku keskkonnatingimuste hindamiseks ja järvede võimalike haldusstrateegiate kujundamiseks.

## Appendix 1

#### Article I

Lanka, A., Poska, A., Bakumenko, V., Dimante-Deimantovica, I., Liiv, M., Stivrins, N., Zagars, M. and Veski, S., (2024). Subfossil Cladocera as indicators of pH, trophic state and conductivity: Separate and combined effects in hemi boreal freshwater lakes. *Ecological Indicators*, *167*, p.112592. https://doi.org/10.1016/j.ecolind.2024.112592



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#### Original Articles



## Subfossil Cladocera as indicators of pH, trophic state and conductivity: Separate and combined effects in hemi boreal freshwater lakes

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#### ABSTRACT

Identifying lake reference conditions is a crucial task for successful lake restoration. A common method is the paleolimnological approach. However, lakes can be influenced by multiple stressors over time, making it necessary to consider possible combined effects.

In this study, we aimed to untangle the interpretation of subfossil Cladocera in relation to different environmental parameters in lakes of Baltic states. To determine indicator species that could be used for reconstructing past environmental conditions, we developed a 78-lake surface sediment training set. Lakes were selected to cover gradients of different lake sizes, depths, electrical conductivity (EC), pH, and trophic states. Redundancy analysis (RDA) identified pH, trophic state and EC as significant parameters influencing subfossil Cladocera species composition. Using IndVal.g analysis, we distinguished species that are indicative of a combination of parameters such as pH<6 and EC<100 µS/cm (Alonella excisa, Alona rustica, Polyphemus pediculus, Holopedium gibberum, Drepanothrix dentata), pH>6 and EC>100 uS/cm (Disparalona rostrata, Leydigia leydigi, Pleuroxus uncinatus, Pleuroxus trigonellus), pH>6 and oligotrophic/mesotrophic conditions(Monospilus dispar). We identified species that can be indicative of several parameters that do not necessarily combine (for example, Alonopsis elongata for pH<6 or oligotrophic/mesotrophic conditions or EC<200 µS/cm), or were indicative of only one parameter (for example Paralona pigra, Ophryoxus gracilis - oligotrophy; Bosmina longispina- mesotrophy; Bosmina longirostris - eutrophy; Chidorus sphaericus, Oxyurella tenuicaudis-hypereutrophy; Leptodora kindtii pH>6; Pleuroxus aduncus EC>100 µS/cm). These findings add to the understanding of subfossil Cladocera species interpretation in paleolimnological samples, enabling better assessment of anthropogenic influence and reference conditions of lake ecosystems.

#### 1. Introduction

Lake ecosystems around the world have been influenced by multiple stressors, such as warming (Meerhoff et al., 2012; Woolway et al., 2020), eutrophication (Le Moal et al., 2019; Abell et al., 2022), brownification (Klante et al., 2021; Blanchet et al., 2022; Tichá et al., 2023), acidification (Battarbee, 1990; Farmer, 1990), salinization (Kaushal et al., 2021), as well as pollution from different anthropogenic sources (Dauvalter, 1997; Grizzetti et al., 2017; Amoatey and Baawain, 2019). These stressors can interact in complex ways, often having similar, opposing, or additive effects on water ecosystems (Moss et al., 2011;

Gutiérrez-Cánovas et al., 2022; Radosavljevic et al., 2022). The responses may vary based on the specific climate region and species community involved (Adrian et al., 2009).

To stop the deterioration of aquatic ecosystems and ensure good water quality in European Union (EU) member states, the European Commission developed the Water Framework Directive (WFD) (European Commission, 2014). As a part of the evaluation for lake water quality status, WFD requires the identification of lake-type specific reference conditions, which can be defined as lake conditions before substantial human impact. In areas with considerable anthropogenic pressure undisturbed lakes that could have preserved type-specific

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reference conditions might be lacking. In these areas, paleolimnology arguably provides the only technique for establishing reference conditions with any confidence (Bennion et al., 2011; Stivrins et al., 2018). Determining the reference conditions is becoming an increasingly difficult task, considering the long list of stressors and the differences in their timespans and effects on lake ecosystems. Furthermore, it is essential for successful lake restoration to consider that the ecosystem might have undergone several consecutive or simultaneous changes brought by different stressors.

Since direct measurements often cannot be used to acquire information on lake reference biological and physicochemical quality elements as per WFD, the indicator species approach and various independent paleolimnological proxies are employed to investigate lake history and establish reference conditions. (Lindenmayer and Linkens, 2010; Bennion et al., 2011). One such proxy is subfossil Cladocera. Cladocera are a group of planktonic microscopic crustaceans which can be found in a variety of water bodies. However, the highest species richness is reported from freshwater aquatic ecosystems. Cladocerans spend their entire life cycle in water and due to their central position in the food web they are considered to reflect information of both top down and bottom-up food web structuring mechanisms (Korhola and Rautio, 2001; Forró et al., 2008). This makes Cladocera an excellent organism to reflect the changes within lake ecosystems. This crustacean group can be utilized to study contemporary and historical changes in trophic status (Hofmann, 1996; Alliksaar et al., 2005; Chen et al., 2010; Gasiorowski et al., 2021), pH (Krause-Dellin and Steinberg, 1986; Bērzinš and Bertilsson, 1990), conductivity, climate, fish predation (Bjerring et al., 2009; Cakıroğlu et al., 2014; Nevalainen et al., 2015; Korponai et al., 2020) and water level (Hofmann, 1998; Korhola et al., 2000; Nevalainen et al, 2011).

Modern surface sediment training set is the most used approach to interpret subfossil Cladocera assemblages. In cases where the region lacks a subfossil Cladocera training set, information on species ecology from live Cladocera can be used (Milan et al., 2017). However, this approach could have its limitations since subfossil Cladocera samples often show higher Cladocera species diversity than contemporary ones, especially concerning littoral taxa (Çakıroğlu et al., 2014; García-Girón et al., 2018; Tumurtogoo et al., 2022).

Many surface sediment training sets focus on capturing only one environmental parameter (Brodersen et al., 1998; Chen et al., 2010), which may not account for the natural variability of lakes and the complexity of factors influencing Cladocera. This could lead to misinterpretation of the results (Velle et al., 2012; Zettler et al., 2013) since studies that utilize multiproxy paleolimnological assessments of lake ecosystems or long-term monitoring data show that lakes often experience changes in several parameters (such as pH, conductivity, transparency, water color, trophic state) simultaneously (Eilers et al., 1989; Heinsalu and Alliksaar, 2009; Baastrup-Spohr et al., 2017; Zawisza et al., 2019).

Baltic states (Estonia, Latvia, Lithuania) are rich in lakes with large natural variability in size, depth, alkalinity, conductivity, water color, pH and tropic state (Ott, 2005; LVGMC, 2022). These variations in standing water bodies can arise due to different origins (glacial, glaciokarst, bog, coastal lakes) and catchment-related characteristics (bedrock: sand, silt, clay, limestone; land cover: forested, bog, agricultural, urban areas) as well as intensity of anthropogenic impact (Cimdiṇš, 2001; ÓSullivan and Reynolds, 2004; Liiv et al., 2018; Nikodemus et al., 2018; Terasmaa, 2018). Thus far research on Cladocera and other zooplankton organisms' indicative value in the Baltic states has been focused on contemporary material (Mäemets, 1983; Urtāne, 1998; Čeirāns, 2007; Haberman and Haldna, 2014) with a few recent paleolimnological studies using subfossil Cladocera as a proxy for water quality (Alliksaar et al., 2005; Błędzki et al., 2013; Koff et al., 2016; Marzecová et al., 2017; Zawiska et al., 2020; Lanka et al., 2024).

To determine the sensitivity of Cladocera communities to various environmental parameters and to address the issues with environmental reconstructions based on training sets with a single explanatory gradient, we have developed a surface sediment training set. We used lakes, ponds, and bog pools along gradients of pH, conductivity, trophic state, lake depth and area, and analyzed the subfossil Cladocera assemblages with a focus on the indicator species approach in the Baltics for the first time. We hypothesize that it is possible to use subfossil Cladocera indicator species to distinguish between lakes of different pH, trophic state, and electrical conductivity (EC).

#### 2. Materials and methods

#### 2.1. Study site selection

We selected 78 lakes and artificial water bodies in Estonia, Latvia, and Lithuania (Fig. 1), representing wide size, depth, trophic status, pH, and electric conductivity gradients (Appendix A). For 39 of the studied sites, information on trophic state related parameters, pH, and conductivity was available from monitoring data of Lithuania (Aplinkos Apsaugos Agentūra, 2024), Latvia (LVGMC, shared upon request), Estonia (Keskkonnaseire infosüsteem, 2024). The rest of the environmental data is a combination of published (Stivrins et al., 2022; Zagars et al., 2024) and unpublished data collected bythe authors of this publication. Since the environmental variables were collected from different sources, not all lakes have all the measurements, resulting in some methodological differences and gaps in the dataset (Appendix B).

#### 2.2. Lake water descriptive parameters

We measured lake water descriptive parameters (pH, EC, total phosphorous (TP), Chlorophyll-a (Chl-a), Secchi depth, dissolved oxygen (DO), total nitrogen (TN)) for each lake that had no monitoring data available one to four times during the years 2021 and 2022 between

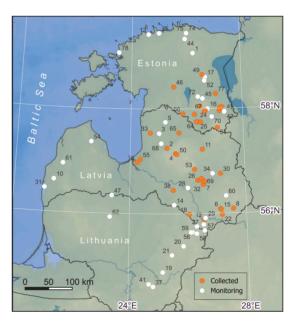


Fig. 1. The geographic location of 78 selected study lakes from the Baltic states. Orange dots in the map represent lakes for which authors collected the environmental data; white dots represent lakes with data from national monitoring programs. More information on sites, their coordinates and collected environmental data can be found in Appendix A. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

April and October, as these months are descriptive of the growing season in the Baltic countries (Walther and Linderholm, 2006).

We measured water pH, EC, and DO in the field using a ProDSS probe for the top water layer (30 cm below the water surface) and bottom water layer (approximately 50 cm above sediments). Further in the text, we refer to the measurements of the top water layer as pH and EC and of the bottom water layer as  $pH_{bot}$ ,  $EC_{bot}$ , and  $DO_{bot}$ .

We determined water transparency (Secchi depth) using a white Secchi disc.

We measured Chl-a and TP in laboratory conditions using water samples collected during the above-described field campaigns.

We collected water samples for Chl-a at 30 cm water depth in 1.5liter plastic bottles and transported them to the laboratory in a cold box until further processing. We filtered the samples in a darkened laboratory no later than 24 h after using Whatman 47 mm GF/F filters with a Vacuum pump Laboport N816. Samples were filtered either until the filter clogged or until approximately one liter of water was filtered. We measured the amount of filtered water after filtration using a measuring cylinder (500  $\pm$  5 ml) and froze the filters until further analysis. We thawed the filters and kept them in 10 ml of 96 % ethanol in darkness for 24 h before the analysis. Thereafter, Chl-a samples were analyzed in 665 and 750 nm spectrum using 10 mm wide glass cuvettes and Analytik Jena Specord 250 spectrophotometer. We collected water samples for TP analysis in sealable 14 ml plastic containers and froze them until further analysis. We determined TP concentration in the laboratory using the HACH LKC349 analysis kit and Hach Lange DR 2800 spectrophotometer. In some lakes (Appendix A), we also measured TN according to standard protocol (e.g., Grasshof et al., 1983). Analysis was performed at an accredited laboratory (ISO/IEC/17025).

Monitoring data was available for 39 of the lakes (Appendix A). We selected the data available for the months between April and October up to five years before sediment collection. Monitoring data had different observation frequencies; therefore, we used the mean value of these measurements.

#### 2.3. Auxiliary parameters

We compiled a 30-year (1991-2020) mean annual temperature ( $T_{ann}$ ) dataset based on monthly mean temperatures obtained from the ERA5 dataset (Copernicus Climate Data Store), which contains gridded extrapolated climate data with hourly temporal and  $0.25^{\circ} \times 0.25^{\circ}$  spatial resolution (Hersbach et al., 2020).

We sourced information regarding the maximum depth ( $Depth_{max}$ ), average depth ( $Depth_{ave}$ ), and area of the lakes from various references. For lakes in Estonia, from kalapeedia.ee; for lakes in Latvia, data was obtained from the database ezeri.lv; and for lakes in Lithuania, from zvejogidas.lt.

#### 2.4. Surface sediment analysis

We collected surface sediments from the selected lakes using a Willner-type gravity corer in the deepest part of the lake, if possible, during 2021 and 2022. The upper two cm of each sediment core was collected and preserved for further analysis. This amount of surface sediments could accumulate in approximately 5–20 years (Stivrins et al. 2018, Zawiska et al., 2020), therefore representing the modern-day situation while avoiding bias that could appear due to one year extreme conditions.

#### 2.4.1. Cladocera analysis

We took 1–2 cm³ subsamples for Cladocera analysis. We prepared the subsamples in the laboratory according to a standard procedure described by Frey (1986). Heated in 10 % KOH in an 85 °C water bath for 30 min, filtered through a sieve with a mesh size 40  $\mu$ m, and then diluted with 10 ml of water and colored with Safranin O.

We prepared slides for microscopy from 100  $\mu l$  of homogenized

subsample and examined them under a light microscope at 100x, 200x, and 400x magnification. Slides were scanned fully to avoid counting bias due to uneven remain distribution. We continued the microscopy until at least 70 individuals were counted and examined one extra slide for each lake to identify any previously undetected species (Kurek et al., 2010).

Subfossil Cladocera species identification was based on the identification key by Szeroczyńska and Sarmaja-Korjonen (2007). Due to difficulties in distinguishing between the remains of Daphnia and Cereodaphnia, we merged these two taxa into Daphnia spp. group. Similarly, there were difficulties distinguishing between Corontella rectangula and Alona guttata head shiels and shells. According to the identification key (Szeroczyńska and Sarmaja-Korjonen, 2007), species present in the sample should be determined based on post abdomen. In most of our samples, postabdomens were not present, and sometimes, the postabdomens of both taxa were present in the same sample. Therefore, regarding head shields and shells, we merged them under the group Corontella rectangula/Alona guttata.

#### 2.4.2. Sediment analysis

We performed loss on ignition (LOI) analysis using the standard methodology for all collected sediment samples (Heiri et al., 2001). Dried samples were heated at 550 °C for four hours to obtain values of sediment organic content (LOI $_{\rm org}$ ) and 950 °C for two hours for carbonate (LOI $_{\rm carb}$ ) and minerogenic matter (LOI $_{\rm min}$ ) content. To measure the carbonates in the CO $_3^{\rm 2-}$  form, we multiplied the carbonate results by a coefficient of 1,36 (Heiri et al., 2001).

We analyzed sediment samples from 56 lakes for total organic carbon and total nitrogen content through combustion in a FLASH 2000 Organic Elemental Analyzer. Based on organic matter content, 2–8 mg of dried powdered sediment was put into silver capsules. Samples in capsules were pre-treated with 10 % HCl to remove inorganic C and dried on a hotplate at 70 °C for 5 h. Once dry, the silver capsules were left to cool and carefully wrapped to form granules. Thereafter, the obtained granules were packed into tin containers to facilitate combustion. Cystine or BBOT (C26H26N2O2S) was used as a standard (ThermoFisher Scientific), and organic matter-rich sediment or the algae *Spirulina* was used as a reference material (IVA Analysentechnik e. K). Analyses were done in triplicate, and the average was calculated by selecting the two measurements that had the most similar results. The C/N values are expressed as atomic ratios (Meyers and Teranes, 2001).

#### 2.5. Data analysis

We performed data analysis in program R 4.3.3. We used the average values of the obtained water descriptive parameters, to reduce the bias originating from one year/season extremes. Exception is r DO<sub>bot</sub>, for which we calculated the average values of July and August to see if any of the Cladocera species could be sensitive to summer anoxia. Cladocera data was analyzed in the form of relative abundances. To normalize the data distribution, we used natural logarithmic transformation for the environmental variables and square root transformation for Cladocera relative abundances. The Cladocera species that were present in less than five samples (Diaphanosoma brachyurum, Scrapholeberis sp., Acantholeberis curvirostris, Camptocercus lilljeborgi, Phreathalona protzi, Rhyncatalona latens, Pseudochydorus globosus, Anchystropus emarginatus, Macrothrix sp.) were excluded from further analysis (Appendix C).

We calculated the trophic state index (TSI: Carlson, 1977, 2007) using Secchi depth, Chl-a, TP, and TN (where available) for each lake (Carlson, 2007). We excluded Secchi depth as a parameter for calculations of TSI for dystrophic lakes since one of the characteristics of dystrophic lakes is their dark water color (Gray et al., 2022), which could bias the results (Brezonik et al., 2019). Lakes were classified as dystrophic based on literature (Nature Conservation Agency Republic of Latvia, 2020).

We tested the relationships between collected environmental

parameters (pH, pH<sub>bot</sub>, EC, EC<sub>bot</sub>, TSI, Secchi depth, Chl-a, TP, TN, DO<sub>bot</sub>, T<sub>ann</sub>, area, maximum depth (Depth <sub>max</sub>, average depth (Depth<sub>ave</sub>), C/N, LOI organic (LOI<sub>org</sub>), LOI carbonate (LOI<sub>carb</sub>), LOI mineral (LOI<sub>min</sub>) using Pearson's correlation coefficient (Berman, 2016). This was done to assess whether the tested parameters are independent. We employed multivariate analysis to select the environmental parameters suitable for further study. To determine the most appropriate ordination methods for our dataset, we started with Cladocera composition-based Detrended Correspondence Analysis (DCA: Hill and Gauch, 1980). The DCA axis 1 and 2 lengths were less than 3 (2,24 and 1,73), in which case Euclidean distance-based ordination methods are suggested (Birks, 1998; Lepš and Šmilauer, 2003). We used Redundancy analysis (RDA: Wollenberg, 1977) to determine which environmental parameters explain the species composition best. RDA analysis is sensitive to missing values. Therefore, we kept only the environmental variables with less than 5 % missing values (pH, EC, TSI, Secchi depth, Chl-a, TP, Tann, area, Depthmax, LOIorg, LOI<sub>carb</sub>, LOI<sub>min</sub>). For the purpose of RDA analysis, the few missing values of these environmental parameters were substituted using the data imputation by the "missForest" package (Stekhoven and Buehlmann, 2012). We used a forward selection algorithm to reduce the number of explanatory variables in RDA analysis and only kept the statistically significant variables (p < 0.05). To test the significance of the variables and the model, we used an ANOVA-like permutation test available in the library "vegan" (Oksanen et al., 2022). To further explore Cladocera species composition and how it relates to environmental parameters, we used Principal Component Analysis (PCA) (Jolliffe and Cadima, 2016).

To determine species indicative of specific environmental conditions, we calculated the IndVal.g value (Cáceres and Legendre, 2009), which is a variation of the IndVal method initially described by Dufrene and Legendre (1997). IndVal is one of the most commonly used methods for indicator species evaluation (Podani and Csányi, 2010). IndVal value is designed to account for the specificity of species for the selected environmental group (index A) and the observation frequency within the group (index B) (Dufrêne and Legendre, 1997). The difference between IndVal and IndVal.g is that IndVal.g takes into account the differences in group size. We calculated IndVal.g using the R package "indicspecies" (Cáceres and Legendre, 2009). As the method requires division of samples into groups, we categorized lakes based on water pH (acidic < 6, circum-neutral 6-8, alkaline > 8), EC (0-100, 100-200, 200-300, 300-400, >400) and into trophic state groups (TSG) based on their TSI (oligotrophic, mesotrophic, eutrophic, and hypereutrophic) (Table 1) and dystrophic based on literature. This was done because dystrophic lakes are usually viewed separately from clearwater lakes since the particular environmental conditions in these ecosystems can override the influence of nutrient concentrations (Korosi and Smol, 2012; Gray et al., 2022), and their classification criteria rely less on the nutrient levels and more on the lake catchment characteristics (Górniak et al., 1999; Gray et al., 2022).

Since significant changes in the lake morphometry are not common, the IndVal.g method was not used to analyze Cladocera species for different lake size and depth classes.

We used IndVal.g function from the "indicspecies" package in R to analyze for pH, TSG, and EC two times – once allowing for all the combinations of the groups (IndVal.g $^{\rm all}$ ) and the second time restricting each species to just one group (IndVal.g $^{\rm 1}$ ) of the chosen parameter (Cáceres et al., 2010). IndVal.g $^{\rm all}$  allows the inclusion of species with

broader ecological niches in the assessment of lake conditions (De Cáceres et al., 2010), while the more traditional approach of assigning species to only one group (IndVal.g¹) could be more successful in finding the optimal conditions of the indicator species. Thus, we hope to improve the interpretation of the subfossil Cladocera assemblages in sedimentary records by analyzing species using both methods. IndVal.g analysis was done with 999 permutations.

Additionally, we tested the relationship between potential Cladocera indicator species and pH, pH<sub>bot</sub>, EC, EC<sub>bot</sub>, TSI, Secchi depth, Chl-a, TP, TN, DO<sub>bot</sub>, Tann, area, Depth<sub>max</sub>, Depth<sub>ave</sub>, C/N, LOlorg, LOlcarb, LOImin using Pearson's correlation coefficient (Berman, 2016). This was done, to determine if any of the indicator species had stronger correlation with other measured parameters than those identified by the RDA analysis.

#### 3. Results

#### 3.1. Water chemistry

Our environmental data covers lakes with a wide range of pH, TSI, EC, area, Depth<sub>max</sub>, sediment LOI<sub>org</sub>, LOI<sub>carb</sub>, and LOI<sub>min</sub> composition (Table 2). The gradient of  $T_{ann}$  for our data set is short (approximately 2.5 °C). Most of the lakes are alkaline (pH>8), eutrophic, and experience summer anoxia at the bottom water layer (Table 2). Lakes in the acidic group (pH<6) are also dystrophic, except for lake Nr 43, which is a mesotrophic lake. There are eight oligotrophic and six hypereutrophic lakes in our data set. The dataset contains 22 lakes with EC below 100  $\mu$ S/cm. The least represented EC group is lakes with EC between 100 and 200  $\mu$ S/cm (seven lakes). There are nine lakes with EC above 400  $\mu$ S/cm and the variability of measurements within this group is large.

Correlation analysis showed that DObot, Tann had no significant

 $\label{eq:theory_power_power} \textbf{Table 2} \\ \textbf{Summary of the environmental parameters. pH, pH}_{bot}, \textbf{pH}_{bot}, \textbf{electrical conductivity} \\ \textbf{(EC and EC}_{bot}; \mu\text{S/cm}), \textbf{trophic state index (TSI), Secchi depth (m), chlorophyll-a (Chl-a; \mu\text{g/l}), total phosphorous (TP; \mu\text{g/l}), total nitrogen (TN; \mu\text{g/l}), dissolved \\ \textbf{(Chl-a; \mu\text{g/l})}, \textbf{(total phosphorous (TP; \mu\text{g/l})}, \textbf{(total nitrogen (TN; \mu\text{g/l})})})))))}$ 

(Chl- $\alpha$ ;  $\mu$ g/l), total phosphorous (TP;  $\mu$ g/l), total nitrogen (TN;  $\mu$ g/l), dissolved oxygen at the bottom layer (DO<sub>bot</sub>;mg/l), annual temperature (T<sub>ann</sub>° C), area (ha), maximum depth (Depth<sub>max</sub>;m), average depth (Depth<sub>ave</sub>; m), sediment C/N ratio, LOI<sub>org</sub> (%), LOI<sub>carb</sub> (%), LOI<sub>min</sub> (%).

	Number of lakes with measurement	minimum	maximum	mean	median
pН	78	4,02	9,25	7,77	8,14
$pH_{bot}$	39	3,80	8,65	7,42	7,60
EC	76	6	10,808	423	270
$EC_{bot}$	39	9	474	215	229
TSI	78	34	90	55	55
Secchi	78	0,15	8,83	2,01	1,46
Chl-a	78	1	375	30	14
TP	78	8	1757	88	41
TN	49	288	3291	1019	828
$DO_{bot}$	45	0,00	9,87	2,32	0,65
$T_{ann}$	78	5,72	8,03	6,54	6,47
Area	78	1	8210	299	46
Depth <sub>max</sub>	78	1,00	33,00	9,60	6,85
Depthave	60	0,50	10,90	4,25	3,90
C/N	56	6,99	44,40	13,27	11,76
$LOI_{org}$	76	1,97	91,21	45,06	42,38
LOI <sub>carb</sub>	76	0,00	44,99	10,08	5,89
LOImin	76	7,30	97,74	45,18	45,29

Table 1
Trophic state descriptive water parameters and the threshold values between different trophic states according to Nürnberg 1996, Carlson 2007.

Trophic state	Trophic State Index	Secchi depth (m)	Total Phosphorous (µg/l)	Total Nitrogen(μg/l)	Chlorophyll-a (µg/l)
Oligotrophic	<40	>4	<10	<350	<3.5
Mesotrophic	40-50	2-4	10-30	350-650	3.5-9
Eutrophic	50-70	1–2	30-100	650-1200	9-25
Hypereutrophic	>70	<1	>100	>1200	>25

correlation with other measured parameters (Fig. 2). EC values correlated between the top and bottom water layers, and so did pH values. We found a positive correlation between EC and pH (r = 0.53). EC and pH values had a significant correlation with sediment descriptive parameters, such as C/N ratio and LOI $_{\rm org}$ , LOI $_{\rm min}$ , and LOI $_{\rm carb}$  content of the sediments. Trophic state descriptive parameters (TSI, Secchi depth, Chl- $\alpha$ , TP, TN) showed a correlation between themselves. In addition, these parameters are correlated with Depth $_{\rm max}$  (with TSI r = -0.66) and Depth $_{\rm ave}$  (with TSI r = -0.63). Trophic state descriptive parameters showed no correlation with pH and EC in our data set.

#### 3.2. Multivariate analysis

Forward selection in environmental analysis identified the parameters with statistically significant explanatory power for the RDA model as pH (p < 0.001), TSI (p < 0.001), area (p < 0.001), EC (p = 0.022), and Depth\_{max} (p = 0.011). When performing RDA analysis with all the environmental parameters, the constrained axis explained about 48 % of all data variation. After reducing the number of explanatory environmental parameters, this proportion was reduced to 38 % (Fig. 3), of which the first two axes accounted for 87 % of the explainable variation. From the explanatory variables, pH and EC had the strongest association with the RDA 1 axis, TSI and Depth\_{max} to the RDA 2 axis, and area to the RDA 3 axis (Table 3).

Cladocera species composition based PCA analysis revealed that our lakes cluster along the PC1 axis according to their TSG and pH. The two most clearly distinguishable groups were dystrophic/acidic (pH<6) and hypereutrophic lakes (Fig. 4). Regarding species composition, the group closest to dystrophic lakes was the oligotrophic lake group. The transition from oligotrophy to hypereutrophy forms a gradient along the PC1 and PC2 axes.

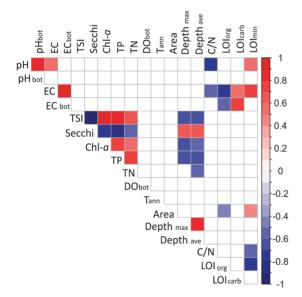


Fig. 2. Correlations between environmental variables (see details in Table 2, Appendix A). Only statistically significant correlations are shown (p < 0.05). Positive correlations between parameters are depicted in red, and negative correlations in blue. The strength of the correlation is indicated by color intensity. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

#### 3.3. Indicator species analysis

There were no significant differences between the pH and EC groups regarding TSI values (Fig. 5). However, hypereutrophic lakes had a pH median between 8.5 and 9.0, which is slightly more alkaline than the oligotrophic, mesotrophic and eutrophic lakes (median between 8 and 8.5). All acidic lakes (pH<6) had low EC values, but not all lakes with low EC had a low pH (Fig. 5). In our data set, the only EC group that did not contain oligotrophic lakes was EC 100–200  $\mu$ S/cm (Appendix A). However, the EC median values increased with increasing trophic degree, and most of the lakes of the EC group > 400  $\mu$ S/cm belonged to the eutrophic lake group (Fig. 5).

We found 30 species with indicator species potential for pH, TSG and/or EC based on IndVal.g results. There were only three species that were indicative of only one of the environmental groups. These were Oxyurella tenuicaudis for hypereutrophy, and Ophryoxus gracilis and Paralona pigra for oligotrophy (Fig. 6). Other species that had a clear preference for a group within pH, TS, or EC were always indicative of more than one parameter. Such species were Aonopsis elongata, Alonella excisa, Drepanothrix dentata, Alona rustica, Polyphemus pediculus, Holopedium gibberum and Coronatella rectangula. Most Cladocera species were indicative of more than one parameter, and the group they were indicative of can depend on the selection criteria (IndVal.g<sup>all</sup> or IndVal.g<sup>1</sup>) (Fig. 6).

The only species indicative of acidic conditions (pH<6) that had its full distribution (except one outlier) within the pH range below six was *H. gibberum* (Fig. 7). *D. dentata* and *P. pediculus* had their occurrence median within pH<6. Species with their occurrence median within the pH 6–8 range were *Alona intermedia*, *A. rustica*, and *O. gracilis*. The rest of the species had a median pH of > 8. While common in pH 6–8 and pH>8 conditions, some species were completely absent in pH<6. Such species were *Leptodora kindtii* (present in 0 % of pH<6, 47 % of pH 6–8, 63 % of pH>8 sites), *Monospilus dispar* (present in 0 % of pH<6, 41 % of pH 6–8, 45 % of pH>8 sites) (Appendix E). Some species (*Leydigia acanthocercoides*, *Pleuroxus aduncus*, *Bosmina theresites*, *Chydorus gibbus*, *Simpcephalus* sp.) were not observed in sites with pH<6. However, they could not be considered as common in any other pH group either.

Regarding the TSG, most species had their occurrence median in the TSI range descriptive of eutrophic conditions (Fig. 7). Exceptions were A. elongata and P. pigra, with their median in the mesotrophic TSI value range (40–50). Species that we did not find in oligotrophic conditions were A. rustica, P. aduncus, P. pediculus, and Kurtzia latissima (Appendix E). Species absent from eutrophic and hypereutrophic lakes were Bythotrephes longimanus and H. gibberum. Species listed as potential indicators in Fig. 6 that did not occur in the hypereutrophic lakes are H. gibberum, O. gracilis, D. dentata, A. intermedia, A. rustica, Ilyocryptus sp., M. dispar, and P. pigra. Several species were absent from hypereutrophic lakes but were not identified as significant indicators by the IndVal.g analysis. Such species were B. longimanus, C. gibbus, Rhyncathalona falcata, Simocephalus sp., and Latona setifera (Appendix E).

Species strictly limited to lakes with EC 0–100  $\mu$ S/cm were *H. gibberum* and *D. dentata* (Fig. 7). *A. elongata* and *A. rustica* occurrence medians were within the EC range of 0–100  $\mu$ S/cm. However, they could occasionally appear in lakes with EC up to 300  $\mu$ S/cm. The only species we did not find in the softwater lakes are *P. aduncus* and *Simoocephalus* sp.

9 out of 30 potential indicator species reached relative abundance above 10 %. We observed an increase of relative abundance with an increase of pH for A. elongata, A. excisa, Acroperus harpae, Daphnia spp. (Fig. 8). An opposite trend could be observed for C. rectangula/A. guttata. We observed an increase in relative abundance with decreasing TSI in Bosmina coregoni, Camptocercus rectirostris, D. dentata, and O. gracilis. Species such as Bosmina longirostris and Chydorus sphaericus reached their maximum relative abundance in eutrophic conditions. C. rectangula, C. rectangula/A. guttata, and O. tenuicaudis relative abundance increased with the increase of TSI values. Binapertura

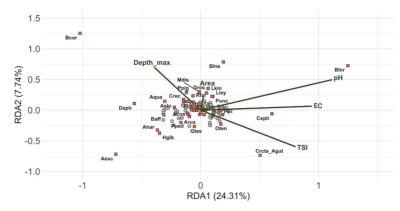


Fig. 3. Redundancy analysis (RDA) on studied lakes. The ordination plot is scaled by species, with the statistically significant explanatory variables portrayed on the green arrows. Red squares – species, gray circles – sites. Statistical significance of the RDA model p < 0.001. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Table 3
Biplot scores of the constraining variables for each of the three statistically significant RDA axes.

	RDA1	RDA2	RDA3
pH	0.752	0.650	-0.414
TSI	0.546	-0.762	-0.158
EC	0.638	0.164	-0.414
Area	0.015	0.410	-0.900
Detph <sub>max</sub>	-0.265	0.842	-0.321

affinis, C. sphaericus, and P. aduncus relative abundance increased with the increase of EC.

The strongest correlations were observed between *A. excisa* (r = -0.78), *H. gibberum* (r = -0.72), *P. pediculus* (r = -0.59), *B. longirostris* (r = 0.57) and pH (Fig. 9). Some of the potential acidity indicators showed a stronger correlation with pH<sub>bot</sub> than pH, such as *D. dentata* (pH r = -0.43, pH<sub>bot</sub> r = -0.86), *H. gibberum* (pH r = -0.72, pH<sub>bot</sub> r = -0.88), *A. elongata* (pH r = -0.43, pH<sub>bot</sub> r = -0.55). Species indicative of more than one pH group (Fig. 6) generally showed weaker but still significant correlation with pH. Most of the species were correlated to several environmental parameters. An exception could be *Ilyocryptus sp.*, which only showed correlation with EC and EC<sub>bot</sub>. Most species that correlated with pH also correlated with the C/N values. Regarding trophic state, *B. coregoni* had the strongest correlation with TSI values (r = -0.61).

#### 4. Discussion

This study aims to identify species for environmental reconstruction based on sediment cores which are most often taken from the deepest part of the lake (Smol, 2008). However, these types of samples usually do not provide the spatial resolution to fully encompass different lake habitats, and temporal resolution of different months within one growing season. Therefore the results of this study might not at all cases be representative of full ecological spectrum of individual species as it is known that environment within microhabitats might differ, littoral taxa have higher accumulation closer to their original habitat (Nevalainen, 2011) and the conditions within lake can differ from month to month (Søndergaard et al., 2002). We found that subfossil Cladocera assemblages were primarily influenced by pH and TSI, with EC, lake area, and Depth<sub>max</sub> also being significant (Fig. 3). Since this study aims to reconstruct environmental parameters crucial for lake restoration, we analyzed subfossil Cladocera assemblages in Eastern Baltic lakes in relation to pH, trophic state, and EC gradients.

#### 4.1. Waterbody environmental gradients

Our dataset does not fully support the common claim that dystrophic lakes are in general poor in nutrients and unproductive (Arsenault et al., 2018; Górniak, 2017; Cappelli et al., 2023). Two of the studied dystrophic lakes could be classified as relatively nutrient poor–Lakes Liepsalas (Nr 32) and Tolkājas (Nr 63) have a TSI value < 50 corresponding to

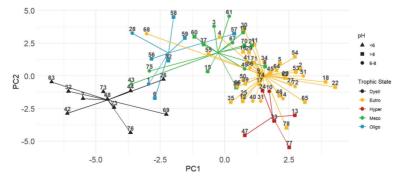


Fig. 4. Cladocera species-based Principal Component analysis (PCA). Lakes are marked based on their pH group and trophic state group. Proportion of variance PC1 = 0,14, PC2 = 0,1.

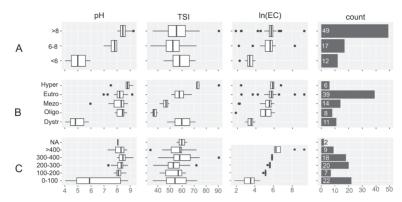


Fig. 5. Distribution of the pH, TSG, and EC groups in relation to pH, TSI, and EC. The last column shows the number of lakes in each group. A –pH groups. B – trophic state group, C – EC groups.

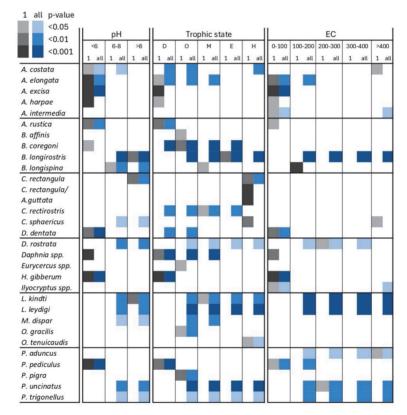


Fig. 6. Results of indicator species analysis. Columns 'pH  $_{all}$ ', 'Trophic state  $_{all}$ ', and 'EC  $_{all}$ ' display the parameter combinations that species are indicative of by IndVal,g<sup>all</sup> analysis (blue). Columns 'pH  $_{1}$ ', 'Trophic state  $_{1}$ ', and 'EC  $_{1}$ ' shows the specific conditions species are indicative of by IndVal,g analysis (gray). The statistical significance of the IndVal,g result is indicated by color intensity. See complete information on indicator species statistics in Appendix D for pH, Appendix E for the trophic state group, and Appendix F for EC groups. Abbreviations: D- Dystrophic, O- Oligotrophic, M-Mesotrophic, E-Eutrophic, H-Hypereutrophic. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

mesotrophic conditions. However, most of the studied dystrophic lakes had TSI values > 50 placing their productivity closer to the eutrophic lakes, even though we excluded Secchi depth from the calculations of TSI for this lake type (Fig. 5). Therefore, water chemistry results for dystrophic lakes of this study rather support the theory that dystrophic

lakes can exhibit a range of nutrient enrichment levels and productivity, similar to non-dystrophic lakes (Järnefelt, 1958; Nürnberg and Shaw, 1999). Dystrophic lakes were first described as an extreme case of oligotrophic condition by Naumann in 1921 (cited in Jones, 1992). This statement still holds true in many sites of the Northern hemisphere even

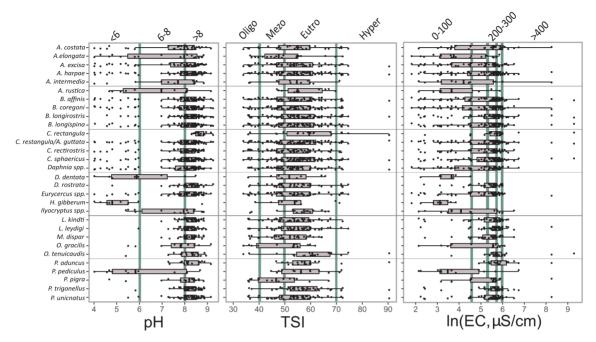


Fig. 7. Cladocera indicator species presence/absence based distribution regarding pH, TSI, and EC. Borders of the selected groups are indicated in the graphs with vertical lines.

though the definition and understanding of this lake type has evolved since then (Gray et al., 2022). Nonetheless cases where dystrophic lakes exhibit nutrient levels and productivity comparable to eutrophic nondystrophic lakes are not rare (Grabowska et al., 2014; Gray et al., 2022; Karpowicz et al., 2023). It is possible, that the large amount of nutrient poor dystrophic lakes is not a result of fundamental properties of this lake type, but rather results from a fact that many dystrophic lakes are located in landscapes with weaker anthropogenic pressure. However, the variability of dystrophic lakes found in this study and literature could mean that studied dystrophic lakes do not fully cover the variability of this lake type and more extensive investigation of dystrophic lakes could be beneficial. In many European countries, oligotrophic lakes are also characterized by low pH (Macek et al., 2006; Huser et al., 2018; Cappelli et al., 2023), which has resulted in difficulties in distinguishing between the impact of acidic conditions and oligotrophy on Cladocera species assemblages (Lanka et al., 2024). This is not the case for the lakes of this dataset (Fig. 5) since most nondystrophic lakes had a pH>7. Thus, the lake selection of this training set allows better distinguishing between the trophic state or pH as the driving factors for subfossil Cladocera assemblages.

All dystrophic and/or acidic lakes of the data set had low EC values ( $<50~\mu S/cm$ ), which aligns with the literature on dystrophic lakes (Górniak, 2017; Cappelli et al., 2023). To our knowledge lakes with low pH and high EC can not be found in the Baltic countries, even though such combination has been found in other parts of the world (for example in mining, volcanic and brine lakes) (Lessmann et al., 2000; Armienta et al., 2008; Benison and Bowen, 2013). The lack of this environmental combination also means that EC cannot be considered entirely separately from pH in our dataset, which is also displayed by the correlation analysis (Fig. 2).

The correlation analysis showed no significant relationship between EC and any of the trophic state descriptive parameters (Fig. 2), even though a positive correlation between EC and nitrogen/phosphorous concentrations has been reported before (Wu et al., 2020). However, we

still found a tendency for lakes with higher EC to generally have a higher trophic state (Fig. 5) and  $\rm LOI_{carb}$  and  $\rm LOI_{min}$  content of sediments (Fig. 2). Changes in  $\rm LOI_{org}$ ,  $\rm LOI_{min}$ , and  $\rm LOI_{carb}$  content are used as a proxy related to particle and nutrient input from the catchment in paleolimnology (Zawiska et al., 2020; Makohonienko et al., 2023) and in Baltic countries, carbonate bedrock is widespread (Nicodemus et al., 2018). Therefore, the cause of higher EC values and higher trophic state for lakes in fertile agricultural areas may be the same – the carbonate and nutrient input from the catchment through erosion.

The pH values were negatively correlated with C/N values and positively correlated with the LOI<sub>org</sub>. The C/N ratio is indicative of the source of energy in the lake ecosystem food web–low C/N values are indicative of autochthonous production, but high C/N values of dominantly allochthonous production (Meyers et al., 1984; Meyers and Ishiwatari, 1993; Meyers and Teranes, 2001). Since most of our acidic lakes were also dystrophic, the elevated C/N values and low pH could have the same sources – dissolved humic matter imported from the catchment (Steinberg, 2004). Higher LOI<sub>org</sub> content in naturally acidic lakes has been reported before (Steinberg, 1991). None of the sediment descriptive parameters were shown to be important as an explanatory variable for subfossil Cladocera assemblages. However, we can see that values of these parameters (C/N, LOI<sub>org</sub>, LOI<sub>carb</sub>, LOI<sub>min</sub>) are connected to pH and EC and could be helpful for more successful separation between pH, TSG, and EC changes when assessing lake reference conditions.

#### 4.2. Cladocera as indicators of pH, TS and EC

Indicator species are species that are sensitive to a particular environmental parameter/attribute and, as a result, can be used to assess the changes in this parameter (Siddig et al., 2016). An effective indicator species should respond to its environment in a predictable way and should be easy to interpret and detect (Dufrêne and Legendre, 1997; Butler et al., 2012).

To identify the most suitable indicator species applicable for analysis

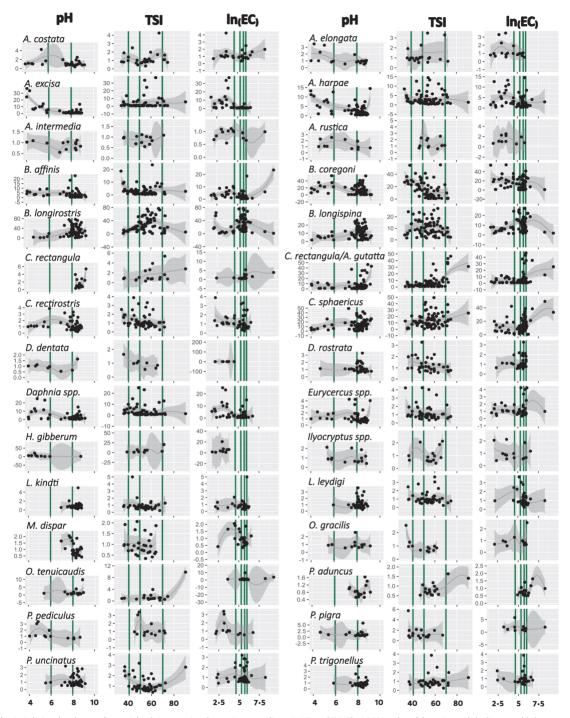


Fig. 8. Relative abundances of potential indicator species observations regarding pH, TSI, and EC. The LOESS and confidence interval shading are added to portray the general trend (gray). The green vertical lines highlight the boundary between the pH, TSG, and EC groups. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

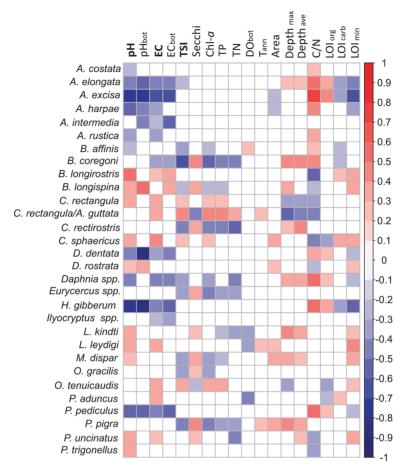


Fig. 9. Pearsons correlation between relative abundances of potential Cladocera indicator species and environmental parameters. Only statistically significant (p < 0.05) values are shown. Positive correlations between species and environmental parameters are depicted in red, and negative correlations in blue. The strength of the correlation is indicated by color intensity. To see the relative abundance of species in detail, see Appendix C. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

of combined and separate effects of pH, TSG and EC, we employed the IndVal.g analysis, which considers both species response to the selected parameter and the likeliness of species detection (Dufrêne and Legendre, 1997; Podani and Csanyi 2010; Cáceres and Legendre, 2009). IndVal.g analysis results vary based on group combination criteria (IndVal.g $^{\rm all}$  or IndVal.g $^{\rm l}$ ), emphasizing the importance of methodology in selecting indicator species.

Since different species have different likelihoods of observation, IndVal analyses each species independently (Dufrêne and Legendre. 1997; Cáceres and Legendre, 2009). However, this can complicate interpretation for species with low abundances, as minor increases (for example, from 1 % to 2 %) may appear more significant than it is. Most of the potential indicator species occur only in small relative abundances and could be affected by this issue (Fig. 8). Consequently, to use the indicator species identified by the IndVal.g analysis, we aimed to closely observe the behavior of each species within and outside their indicative lake group. Additionally, we sought to determine how each species could be interpreted when encountered in a paleolimnological sample.

Based on our dataset, the potential indicator species selected by IndVal.g analysis could be divided into six groups. The listing starts with pH as the most important explanatory variable:

- 1. Species that were indicative of pH<6, dystrophy, and low EC: H. gibberum, A. excisa, P. pediculus, A. rustica, D. dentata;
- Species that overlap between pH<6/dystrophic, oligotrophic, and mesotrophic conditions: Daphnia spp., A. elongata, B. coregoni;
- Species that overlap between lakes with EC>100 μS/C and alkaline/ circum-neutral conditions (pH>6) (D. rostrata, L. leydigi, P. uncinatus, P. trigonellus):
- Species indicative of other combinations of environmental groups: pH>6, oligotrophic, mesotrophic conditions (*M. dispar*);
- 5. Species that are indicative of only one parameter: (1) pH>6 (*L. kindtii*), pH<6 (*A. harpae*); (2) TS oligotrophic (*P. pigra*, *O. gracilis*), mesotrophic (*B. longispina*), eutrophic (*B. logirostris*), not hypertrophic (*C. rectirostris*) and hypereutrophic (*O. tenuicaudis*, *C. rectangula*/ *A. guttata*. *C. sphaericus*); (3) EC>100 (*P. aduncus*):
- 6. Species that can not be used as efficient indicators (A. costata, A. intermedia, Ilyocryptus spp., B. affinis, Eurycercus spp.)

4.2.1. Species that were indicative of pH < 6, dystrophy and low EC Ind.Val.g analysis identified Alona rustica, Alonella excisa, Drepanothrix dentata, Holopedium gibberum and Polyphemus pediculus as indicator</p>

species for pH<6, dystrophic, and EC<100  $\mu$ S/cm conditions. Species such as *A. rustica*, *D. dentata*, *H. gibberum*, and *P. pediculus* were generally found in small relative abundances and had their distribution median with lower pH and EC values compared to other potential indicator species (Figs. 7, 8). Therefore, these species' presence could indicate acidic/dystrophic and softwater lake conditions.

Previous literature has classified *A. rustica*, *H. gibberum*, and *P. pediculus* as acidophilic species (Krause-Dellin and Steinberg, 1986; Urtāne, 1998; De Eyto et al., 2003; Čeirāns, 2007). However, *D. dentata*, to our knowledge, has not been reported to have a relation to either TSG or pH. It has been mentioned that *D. dentata* is acidic conditions tolerant and has higher abundances in detritus-rich conditions (Fryer, 1972; Blędzki and Rybak, 2016), which could make peaty sediments of bog pools a favorable environment for this species. While IndVal.g classified *D. dentata* as a potential indicator for both oligotrophy and dystrophy, it was present in only one lake classified as oligotrophic. Therefore, we do not consider it a proper indicator species for oligotrophic conditions.

Based on our data, *H. gibberum* and *D. dentata* are highly specialized species for softwater (EC<100  $\mu$ S/cm) conditions. While there were some outliers for these species in the pH groups, they were strictly restricted to the lake group with EC<100  $\mu$ S/cm.

Lakes with pH<6 had a variety of dominant species, such as A. excisa, B. coregoni, Daphnia spp., and, on one occasion, H. gibberum. H. gibberum and A. excisa are mentioned in the literature as indicative of acidic conditions (Sandøy and Nilssen, 1986; Berzins and Bertilsson, 1990; Semenova and Napreenko, 2023). Although A. excisa was found in most lakes along the pH gradient, significant differences in its relative abundances between different pH groups were evident (Fig. 8). The average relative abundance of A. excisa was 13.5 % for sites with pH<6, compared to approximately 1.5 % for sites with pH>6. Even more, A. excisa reached its highest relative abundances (25-37 %) in the three most acidic sites (pH  $\approx$ 4) of the training set. Literature agrees to A. excisa as an acidophilic species (Flössner, 200; Krause-Dellin and Steinberg, 1986), however we did not find in literature mentions of exact relative abundances that indicate acidic conditions. Based on the differences of average A. excisa percentages in sites with pH<6 and pH>6, we would consider that the site might be acidic if relative abundance exceeds 10

## 4.2.2. Species that overlap between dystrophic/acidic, oligotrophic and mesotrophic conditions

Although dystrophic lakes were quite variable in their TSI values and did not fit with the assumption of dystrophic lakes being nutrient-poor, both PCA analysis (Fig. 4) and IndVal.g analysis (Fig. 6) showed that the species composition in oligotrophic and dystrophic lakes are somewhat similar. For example, IndVal.g<sup>all</sup> analysis showed that such species as A. elongata and Daphnia spp. indicate dystrophic, oligotrophic, and mesotrophic conditions. In contrast, IndVal.g<sup>1</sup> classified these species as indicators of dystrophic conditions.

Alonopsis elongata is often classified as an oligotrophic, acidophilic species with a preference for soft water conditions (Krauese-Dellin and Steinberg, 1986; De Eyto et al., 2003; Błędzki and Rybak, 2016; Fiłoc et al., 2018). The reason this species is observed more frequently in dystrophic lakes might be its preference for detritus as its food source (De Eyto and Irvine, 2001). It was present in approximately 50 % of dystrophic, oligotrophic and mesotrophic lakes, in comparison to 5 % of eutrophic and 15 % of hypereutrophic lakes (Appendix E), which means that it is indeed more likely to appear in dystrophic or nutrient-poor lakes. The exceptions to the rule were two eutrophic lakes (nr. 55 Sekšu, nr. 68 Ungurs) and one hypereutrophic lake (nr. 24 -Kooraste Linajärv). Lake Ungurs and Kooraste Linajärv are softwater (EC<100 μS/cm) lakes showcasing the EC preferences of this species. Based on IndVal.g analysis, A. elongata has a more statistically significant relationship to pH and EC than the TSG (Fig. 6). In cases where A. elongata was not found in pH<6 or EC<200 µS/cm the lakes were classified as oligotrophic or mesotrophic. This highlights how, in paleolimnology, alternative hypotheses for species presence should be considered, and different parameters can act as limiting for the same species.

Daphnia spp. generally displayed higher relative abundance values in dystrophic, oligotrophic, and mesotrophic conditions (Fig. 8, Appendix E), even though it was present across all trophic states. There are several challenges in making conclusions about past lake environments based on Daphnia spp. as their remains are not preserved in lake sediments as well as chydorids (Sarmaja-Korjonen, 2007; Leppänen and Weckström, 2016). Consequently, the distinction between Daphnia and Ceriodaphnia species in sediment records is often impossible. In our dataset, Daphnia spp. represents a group of species that could have different environmental preferences. For example, Daphnia longispina is typical for nutrient-poor lakes, while Daphnia cucullata occurs in more eutrophic lakes (Urtane, 1998; Muñoz-Colmenares et al., 2021). Due to speciesspecific trophic state preferences of Daphnia spp. we can not unequivocally claim that Daphnia spp. prefers oligotrophic and mesotrophic conditions. The findings in the literature supporting Daphnia spp. as typical for oligotrophic lakes are somewhat contradictory, with some authors finding that nutrient-poor conditions can be favorable for largesized Cladocera and some Daphnia species (Jensen et al., 2013; Nevalainen and Luoto, 2013; Beaver et al., 2020) and others finding just the opposite (Muñoz-Colmenares et al., 2021). Nevertheless, our results align with the literature to a degree- Ceriodaphnia spp. can be a dominant species in acidic/dystrophic lakes (Urtane, 1998; Karpowicz et al., 2023). Other possible explanations for the increase in the relative abundance of Daphnia spp. could be a result of differences in the food web structure between dystrophic and non-dystrophic lakes. Dystrophic lakes are characterized by dark water color and low transparency, which reduce fish predation pressure on zooplankton due to poor visibility (Estlander et al., 2009; Zagars et al., 2024). This often results in an increase in the average size of the Cladocera (Estlander et al., 2009). Daphnia spp. contributes to these findings as a species group characterized by its large size (Błędzki and Rybak, 2016). It must be noted that the succession from Daphnia-dominated to Bosmina-dominated associations has also been linked to climate warming (Nevalainen et al., 2014), making it difficult to determine whether high Daphnia spp. abundance in historical samples is related to acidity/dystrophy, oligotrophic, mesotrophic, or cooler climatic conditions.

IndVal.g analysis classified Bosmina coregoni as either intolerant to hypereutrophic conditions (IndVal.gall) or indicative of oligotrophic conditions (IndVal.g<sup>1</sup>). The literature commonly supports the role of B. coregoni as an oligotrophy indicator (Gąsiorowski and Hercman, 2005; Bos and Cumming, 2003; Kamenik et al., 2007; Ochocka and Karpowicz, 2022). However, it has been mentioned that *B. coregoni* representation along trophic state gradient can differ when the taxonomical resolution is increased to the sub-species level (Hofmann, 1996; Urtane, 1998). Although B. coregoni distribution covers TSI from oligotrophic to highly eutrophic conditions (Fig. 5), our results show a negative correlation between B. coregoni relative abundance and TSI (Fig. 4), suggesting that a higher relative abundance of B. coregoni in a sample indicates a lower trophic status of the lake. Two lakes, Ohepalu (lake nr 44, TSI=65, B. coregoni 65 %) and Kurtava (lake nr 26, TSI=59, B. coregoni 35 %), are outliers in the B. coregoni-TSI relationship. Both are dystrophic lakes and cluster near oligotrophic lakes in the PCA analysis (Fig. 4). The dominance of B. coregoni in these lakes highlights the similarity between some dystrophic and oligotrophic lakes.

An exception to the previously mentioned similarities of oligotrophic and dystrophic conditions is *Monospilus dispar*, which is an indicator of pH>6 and oligotrophic/mesotrophic conditions, based on IndVal.g analysis. Similar findings of *M. dispar* ecology have been reported by other authors (Krause-Dellin and Steinberg, 1986; Chen et al., 2010).

## 4.2.3. Species that overlap between lakes with alkaline/circumneutral (pH>6) and EC>100 $\mu$ S/C conditions

Some Cladocera are intolerant to acidic conditions – reasons for this can be physiological, such as ion uptake inhibition in acidic conditions

(Smirnov, 2017), or indirect, such as availability of their preferred food source or habitats (Jones, 1992; Estlander et al., 2009), We identified four species that were indicative of non-acidic (pH>6), non-dystrophic, and non-softwater (EC>100 µS/cm) conditions. Disparalona rostrata, Leydigia leydigi, Pleuroxus uncinatus and P. trigonellus were common in circumneutral and alkaline conditions but appeared in acidic lakes only on one or two occasions. Similar results have been reported by some other authors (Krause-Dellin and Steinberg, 1986; Duigan and Kovach, 1991; Chen et al., 2010). For example, D. rostrata is known to have high Ca demand, which could explain its disappearance in acidic and softwater conditions (Shapiera et al., 2011). Since the observation frequency in EC<100 µS/cm compared to lakes with pH<6 was higher for D. rostrata (in 8 % of pH<6; 27 % of EC<100), P. uncinatus (in 16 % of pH<6; 36 % of EC<100), and P. trigonellus (in 8 % of pH<6; 18 % EC<100), it is possible that these species are more sensitive to pH than to EC. The preference for non-acidic conditions of P. trigonellus has been mentioned in literature (Błędzki and Rybak, 2016) and it has been marked as an indicator of high EC by Bjerring et al. (2009). However, P. trigonellus and P. uncinatus are usually either treated as generalist species with no environmental preference or in connection to eutrophication and human impacted sites (Hofmann, 1996; Tóth et al., 2019). P. uncinatus and P. trigonellus are both macrophyte associated species (Błędzki and Rybak, 2016), therefore it is possible that their absence in acidic softwater lakes is connected to absence of their preferred macrophyte habitats. In contrast, L. levdigi seems more strictly limited to non-acidic, non-softwater lakes (Fig. 7,8). L. leydigi is associated with upper layer of sediments in littoral and profundal zone, feeding on detritus (Sloka, 1981). In other studies, this species has sometimes been attributed to eutrophic waters with oxygen depletion (Hofmann, 1996; Błędzki &Rybak, 2016), however no such preference was found in this study (Fig. 7, Fig. 9).

#### 4.2.4. Species that are indicative of only one parameter

Acroperus harpae is classified as indicative of pH<6 (Fig. 6). The association between A. harpae and pH is statistically highly significant (Fig. 6), and this species is considered tolerant to acidification (Zawisza et al., 2019). However, an increase in A. harpae is often interpreted as associated with macrophyte abundance (Kuczyńska-Kippen, 2008; Davidson et al., 2010; Mazei et al., 2020). While submerged macrophytes are typically absent from dystrophic lakes, other types, such as sphagnum mosses and floating leaf plants, can be present (Maemets, 1968; Gray et al., 2022). Although A. harpae is not classically associated with sphagnum and detritus, this relation has been reported before (Henrikson, 1993; Zawisza et al., 2019). For example, Henrikson (1993) described A. harpae as abundant in both sphagnum and detritus substrates in acidic lakes.

Leptodora kindtii is a species that was classified as indicative of non-acidic, non-dystrophic conditions but showed no connection to EC. L. kindtii is a predatory species, and it has been estimated that it can eliminate up to 50 % of Cladocera production during the summer months (Karabin, 1974; Wojtal et al., 2004; Lesutiene et al., 2012). Given this significant predation pressure, the absence of L. kindtii in dystrophic lakes likely contributes to the observed increase in Daphnia spp. populations in these environments, as L. kindtii frequently preys on Daphnia spp. (Lunte and Luecke, 1990; Wojtal et al., 2004).

IndVal.g classified *Coronatella rectangula* as the only species indicative of alkaline conditions (pH>8). Nevertheless, the context of our methodology must be considered in these results. We only counted *C. rectangula* as a separate species if it was confirmed by the skeletal elements with the most distinguishable features – postabdomen. This skeletal element is usually not as common in samples as headshield and shell (Zharov et al., 2021), and the possibility of finding it increases if *C. rectangula/A. guttata* is the dominant species in the sample. *C. rectangula/A. guttata* becomes the dominant species (relative abundance > 20 %) in most of the hypereutrophic and some of the eutrophic lakes (Fig. 8). This result is similar to that of other authors, who have

classified *C. rectangula* as an indicator of eutrophic conditions (Brodersen et al., 1998; Zawisza et al., 2016 a).

Bosmina longirostris and Bosmina longispina are common species that can be present in different environmental conditions. IndVal.g analysis classified both species as indicators of non-acidic (pH>6) conditions. B. longirostris and B. longispina were not present in acidic and dystrophic conditions in large relative abundances and were observed less frequently in acidic lakes (for example, B. longirostris is present in 40 % of pH<6 lakes, but > 90 % of pH>6 lakes). However, these species have large variability in their relative abundances also within lakes with pH>6 (Fig. 8), and it has been reported that B. longirostris sometimes can be the dominant species in dystrophic and acidic lakes as well (Malley and Chang, 1987; Karpowicz et al., 2023). Therefore, in our opinion, it could not be used as an indicator for lake pH based on the presence or relative abundance of this species in paleolimnological samples.

*B. longirostris* is commonly known as an indicator of eutrophic conditions (Boucherle and Züllig, 1983; Čeirāns, 2007; Adamczuk, 2016). The reason why we did not find a correlation between this species and TSI values is the fact that it has a bell-shaped distribution along the TSI value gradient, with the maximum relative abundances in the TSI values between 50 and 70, which is the TSI value range for eutrophic lakes. This species preference is captured by the IndVal.g<sup>1</sup> analysis (Fig. 6).

Our data shows that *Bosmina longispina* has maximum abundance in slightly eutrophic and mesotrophic conditions (Appendix D), but they can be present in oligotrophic to hypereutrophic conditions. Still, from other studies, *B. longispina* is known as a typical low phosphorus (Jensen et al., 2013) and low conductivity (Jensen et al., 2019) species. Our results suggest that in the Baltic States, this species is more tolerant to eutrophic conditions than *B. coregoni* but reaches maximum relative abundance in lakes with lower TSI values than *B. longirostris*. We can see in figure of RDA analysis (Fig. 3) that *B. longispina* is located somewhere between *B. coregoni* and *B. longirostris*, which could mean that in Baltic states in the succession oligotrophy->mesotrophy->eutrophy is accompanied by the species succession *B. coregoni-> B. longispina-> B. longispirostris*.

We found two species that are clear oligotrophy indicators: *Paralona pigra* and *Ophryoxus gracilis* (Fig. 6). The same species have been reported as oligotrophy indicators in other studies (Brodersen et al., 1998; Walseng and Halvorsen, 2005). Neither *P. pigra* nor *O. gracilis* can be found in large abundance. Therefore, their indicative value depends on the species' presence in the sample rather than relative abundance.

A species that was classified as indicative of non-hypereutrophic conditions is *Camptocercus rectirostris*. In our dataset, this species is distributed along the whole TSI gradient but was observed with lesser frequency in hypertrophic lakes. The interpretation of this species presence in samples varies – it is sometimes attributed as species with a preference for moderate nutrient levels (Brodersen et al., 1998; Bishop et al., 2009) or classified as macrophyte-associated (Dong et al., 2020; Davidson et al., 2010). Our results extend to those of literature since eutrophication can result in the loss of macrophytes (Hough et al., 1989; Sand-Jensen et al., 2008).

Chidorus sphaericus is shown as a hypereutrophy indicator by IndVal.  $\rm g^1$  analysis. Several other studies have recognized this species as characteristic of a high trophic state (Haberman and Haldna, 2014; Shumate et al., 2002), but others have stated that it can be present in samples in large numbers in oligotrophic and mesotrophic lakes as well (De Eyto, 2001; Kamenik et al., 2007). Our results align with both findings generally, C. sphaericus relative abundance can vary between < 1 % and 20 % independent of the lake's trophic status; however, the cases where this species' relative abundance is higher than this were only in eutrophic and hypereutrophic lakes. Therefore, we agree with other authors that high numbers of this species (>20 %) indicate eutrophic or hypereutrophic conditions, but any fluctuations below that seem subjectively uninterpretable. Still, it is worth mentioning that genetic analyses revealed differentiation within the C. sphaericus, suggesting that a cryptic species complex exists (Belyaeva and Taylor, 2009). This, in

In literature, Oxyurella tenuicaudis has been reported from oligotrophic to eutrophic lakes (Błędzki and Rybak, 2016), undisturbed oxbow lakes (Berta et al., 2018), and hypereutrophic, EC>400  $\mu$ S/cm oxbow lakes with rich patches of Stratiotes aloides (Strzałek and Koperski, 2019). The difficulties in pinpointing the ecological preference for this species have been connected to the fact that although O. tenuicaudis is

turn, can increase the variety of each sub-taxa's ecological preferences.

lakes with rich patches of *Stratiotes aloides* (Strzatek and Koperski, 2019). The difficulties in pinpointing the ecological preference for this species have been connected to the fact that although *O. tenuicaudis* is widely distributed, it is rarely observed (Berta et al., 2018). In our dataset, *O. tenuicaudis* in small abundances was observed in 23 % of the lakes. The exception is lake nr 77 (Vōnnu), which has the highest TSI value in the training set (TSI=90.4). In this lake, *O. tenuicaudis* reaches a relative abundance of 8 %. *O. tenuicaudis* has been associated with hypereutrophic conditions by Chen et al. (2010) as an indicator of high EC (Bjerring et al., 2009) and as a saltwater tolerant species (Błędzki and Rybak, 2016). Although the outlier might have highly influenced the IndVal.g index A (Appendix E), this species is more frequently observed in hypereutrophic lakes (observation frequency in dystrophic – 0.091, oligotrophic – 0.250, mesotrophic – 0.071, eutrophic – 0.282, hypereutrophic – 0.500). Therefore, we agree that this species could be indicative of hypereutrophic conditions.

Pleuroxus aduncus was recognized as an indicator of high EC (>400 μS/cm) by IndVal.g¹ analysis, which aligns with the findings of Bjerring et al. (2009). This species distribution was limited to lakes with pH>7 and EC>100 μS/cm. This species is most likely not recognized as an indicator of non-acidic conditions because of its low observation frequency compared to the overall number of sites with pH>6. P. aduncus reaches slightly higher relative abundance in sites with EC>400 μS/cm. However, these abundances are still low (an increase from 0.5 % to 2 %). Therefore, the results of IndVal.gall analysis, which recognizes that this species is limited to lakes with EC above 100 μS/cm, should be considered more appropriate for interpreting P. aduncus in the paleolimnological samples.

4.2.5. Species that do not make effective and simply interpretable indicators Although 30 species were recognized as potential indicators by IndVal.g analysis, five of them would be difficult to reliably interpret in paleolimnological samples in relation to pH, TSG, or EC. These species were Alona costata, A. intermedia, Binapertura affinis, Eurycercus sp., and Ilyocyptus spp..

Species with unusual combinations of environmental factors were  $A.\ costata$  (dystrophic, oligotrophic, hypereutrophic conditions and EC of  $> 400\mu S/cm$ ), Ilyocryptus spp., and  $A.\ intermedia$  (EC 0–100 +  $>400\mu S/cm$ , in IndVal.g<sup>all</sup> analysis). This suggests that these species may be more influenced by parameters not measured in this study, and their relative abundances might not be driven by pH, TSG, or EC. Consequently, the statistically significant relationships observed with these factors may be coincidental.

Binapertura affinis and Eurycercus spp. are classified as indicators of oligotrophic conditions only by  $IndVal.g^1$  analysis. Sometimes Eurycercus spp. and B. affinis are mentioned as indicators of low trophic state (Hofmann, 1996; Bos and Cumming, 2003). We found both species in lakes of all trophic states, and due to the significant influence of a few outliers on the increase of their average relative abundance values, we do not consider these species reliable indicators.

#### 4.3. Implications for lake management and restoration

The established surface sediment training set based on Cladocera in Baltic countries is closing the knowledge gap between existing training sets from northern and central Europe (Nevalainen et.al., 2011; Zawisza et al., 2016b), contributing information necessary for improvement of lake management and restoration strategies that so far have been based on relatively short-term, sporadic data. This is especially relevant to lentic urban water ecosystems as surveys there are focused more towards public health, and regular national water framework directive monitoring is seldom performed. This situation presents certain

limitations; despite the growing number of restoration techniques and nature-based solutions, the most effective management and restoration strategies require a thorough understanding of natural variability over time, baseline conditions, and ecosystem vulnerability (Smol, 1992; Watson and Medeiros, 2021). The findings of the present study will allow to consider specific biological and physicochemical parameters of Baltic countries in order to identify the cause of ecological changes in lakes and ponds and site-specific vulnerability. A historical perspective is crucial for setting evidence-based restoration targets, and determining the most appropriate management strategies. Understanding baseline conditions also helps to assess the state of lakes after restoration, including estimating the timescales for observing improvements. Therefore, this study offers a valuable toolbox for current and future decision-makers and ecosystem managers.

#### 5. Conclusions

This research shows complex Cladocera species specific responses to a variety of environmental conditions and highlights the necessity to consider multiple possible drivers behind the changes in their relative abundance or presence for reference condition reconstruction.

Our hypothesis was partially confirmed since the species showed sensitivity to pH, trophic state and EC, with the pH being the most significant influencing factor. However, subfossil Cladocera sensitivity was not shown in all of our defined groups. Based on the results of this study, it should be possible to distinguish between lakes with pH<6 and pH>6, as well as EC<100 and EC>100  $\mu S/cm$ , and all the TSG.

We found species that are indicative of

- A combination of parameters: for pH<6, dystrophy and EC<100: A. excisa, A. rustica, P. pediculus, H. gibberum, and D. dentata; for pH>6 and EC>100: D. rostrata, L. leydigi, P. uncinatus and P. trigonellus; for pH>6 or oligotrophic/mesotrophic conditions M. dispar;
- Species that can be indicative of several parameters that do not necessarily combine: A. elongata for oligotrophic/mesotrophic conditions or pH<6 or EC<200, Daphnia spp. for ologotrophic/mesotrophic conditions or pH<6;</li>
- Species indicative of one parameter: B. coregoni, P. pigra, O. gracilis oligotrophy, B. longispina- mesotrophy, B. longirostris eutrophy, C. sphaericus, O. tenuicaudis, C. rectangula/A. gutatta hypereutrophy, L. kindti pH>6, P. aduncus EC>100.

The results of indicator species (IndVal.g) analysis heavily depend on the environmental parameter selection and grouping criteria (IndVal.g¹ or IndVal.g¹l). A more thorough look into the differences in the selected groups allowed us to identify species that, although shown to have a statistically significant relationship to some environmental factors, did not make convincing indicators for paleolimnological reconstructions.

We did not find a single indicator species that could be used as a perfect indicator for environmental reconstruction by itself. Therefore, we suggest that the whole Cladocera species assemblage should always be considered. Our findings underline the importance of a nuanced approach in ecological assessments and the need for careful consideration of multiple environmental parameters when using indicator species for reconstructing past ecological conditions.

While the current study focused on the influence of pH, trophic state, and EC on subfossil Cladocera assemblages, it would be beneficial to further investigate other promising parameters such as lake morphology, sediment composition, fish predation influence, and macrophyte abundance. Exploring these factors could subsequently improve the quality of lake ecosystem reconstructions.

## Declaration of Generative AI and AI-assisted technologies in the writing process

During the preparation of this work, the authors used GPT4 in order to improve text readability. After using this tool authors reviewed and edited the content as needed and take full responsibility for the content of the publication.

#### CRediT authorship contribution statement

Anna Lanka: Writing – original draft, Visualization, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. Anneli Poska: Writing – review & editing, Supervision, Funding acquisition, Conceptualization. Varvara Bakumenko: Writing – original draft, Investigation. Inta Dimante-Deimantovica: Writing – review & editing, Investigation, Conceptualization. Merlin Liiv: Writing – review & editing, Writing – original draft, Investigation. Normunds Stivrins: Writing – review & editing, Investigation. Siim Veski: Writing – review & editing, Investigation. Siim Veski: Writing – review & editing, Supervision, Funding acquisition, Conceptualization.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

Data will be made available on request.

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#### Appendix A-F. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.ecolind.2024.112592.

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## **Appendix 2**

#### **Article II**

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## Urbanization-driven Cladocera community shifts in the lake - a case study from Baltic region, Europe



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#### ABSTRACT

Our research aimed to evaluate, how urbanization affects lake ecosystems and Cladocera in particular. For this purpose, we chose a small urban lake with a well-documented history. Lake Velnezers (located in Riga, Latvia) is currently surrounded by apartment building complexes. Construction works around this lake started in the 1950s and continued up until the 1970s. To investigate how the transition from forested to agricultural and further urbanized land affected the lake ecosystem we took a sediment core that covers the time period from before 1875–2018. We evaluated ecological changes in the lake based on chemical and Cladocera species composition in sediment records and linked these changes to the historical information about alterations in the landscape around Velnezers. Our results show lake transitioned from oligotrophic to eutrophic conditions already before urbanization. The Lake ecosystem reacted to urbanization gradually, showing small changes in the beginning. However, in the 1980s lake experienced rapid deterioration in water quality – sediment records show an increase in heavy metal pollution, anoxia, and nutrient input. These stressors resulted in Cladocera functional group structure changes and loss of Cladocera species richness and diversity. Improvements in nature protection – such as wastewater management have reduced heavy metal and nutrient input into Lake Velnezers towards the present. However, previous deterioration, i.e. loss of species diversity and phosphorous legacy effect do not allow natural lake recovery under current conditions.

#### 1. Introduction

Anthropogenic activities in the second half of the 20th century have led to cumulative pressure on freshwater ecosystems (Matthews, 2016; Grizzetti et al., 2017). Multiple stressors include, among others, climate change, pollution by toxic substances, an increase in nutrient loading due to agriculture and urban development, hydrological alterations (Zawiska et al., 2020), and invasive species introduction (Janse et al., 2015). Consequently, freshwater ecosystems experience deterioration demonstrating the decline of ecosystem services provided such as

reduced local biodiversity, loss of freshwater areas, and poor water quality (Ahmed et al., 2022).

Urbanization is not among the stressors foreseen to withhold and most likely it will increase in the future. Already in 2008, the global urban population exceeded the rural population. It is expected that two-thirds of the world population will live in urban areas by 2050 (United Nations, n.d.) and the world's ice-free urbanized land will increase from 2.06 % in 2000–4.71 % by 2040 (Van Vliet et al., 2017). Therefore, urban development has been included in the United Nations Development Goals, i.e. to make cities and human settlements inclusive, safe,

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resilient, and sustainable ("Goal 11," n.d.).

The direct impact of urban expansion is not only related to the transformation of natural areas. A significant part of new urban territories are former agricultural lands. Hence, bearing in mind freshwater ecosystems, one anthropogenic stressor is replacing the other, transforming the landscape and changing the impacting variables (Beckers et al., 2020; Güneralp et al., 2020). Understanding interactions between historical landscape, land use, and lake ecology development is crucial for targeted lake management. For this reason, the utilization of lake sediment records is of great help since the chemical, physical, and biological signals accumulated in lake sediments turn to be reliable archives for past changes. Moreover, the human-environment interactions after the great acceleration (also referred to as Anthropocene), i.e. human settlement strategies and land use effect, are identified among 50 priority research questions in paleoecology (Seddon et al., 2014).

In this study, we investigate cumulative and often cascading effects of human-induced changes within and around the lake. The study lake (Velnezers) is located in the capital of Latvia, Riga, Northeastern Europe (Fig. 1).

The first substantial human impact near Lake Velnezers was recorded around CE 1600 when minor adjacent territory of the lake was cleared from the surrounding pine forest for agricultural purposes (Pujate, 2015; Kalnina et al., 2019). The area was completely transformed into agricultural lands by the beginning of the 20th century. The replacement of agricultural land with urban area started in the 1950s with the development of private houses (Pumpurs, 1959; General base, 1963) and reached its current state by the beginning of the '70 s with the construction of multi-story residential houses surrounding the lake (Fig. 2). Nowadays the lake is located in one of the largest suburban areas of Rigacity - Jugla. From a population of approx. 3000 inhabitants in 1936 this area has reached a population of more than 26000 people at present (apkaimes, n.d.).

In this study, we wanted to explore how the lake responds to different transformations – from the agricultural pressure on a relatively untouched lake to a fully urbanized environment. To reveal the changes in past water quality we used the chemical composition of sediments and subfossil Cladocera remains as our proxy. Cladocera are widely used to track and interpret human-environment interactions through time, e.g. climate, lake water level change, acidity, eutrophication, and alien species impact (Burge et al., 2018). Intensive agriculture is known to be

the cause of eutrophication and excess sediment loads (Donohue and Garcia Molinos, 2009; Nevalainen and Luoto, 2017; Tumurtogoo et al., 2022), while urbanization can increase the invasive potential of alien species and is related to variety of physical (temperature increase and geomorphological changes) and chemical (nutrients and pollutants increase) impacts (Gao et al., 2022; Santana Marques et al., 2020). Hence both agriculture (i.e. increase in nutrient levels) and urbanization can change the distribution pattern of lakes inhabiting zooplankton including Cladocera species diversity and functional group's structure (Otake et al., 2021; Richard Albert et al., 2010; Shen et al., 2021). In fact, Schacht et al. (2022) found that agriculture and urbanization explain the greatest proportion of variation in water quality, while increased human activity can even predominate the impact of climate change (Jensen et al., 2020). Still, studies on the cascading effects impact on Cladcocera are scarce. Therefore, this study contributes to the limited knowledge on the specific impacts of human-induced changes on lake ecosystems. This knowledge is crucial for understanding the interactions between human activities, land use, and freshwater ecosystems and provides valuable insights for sustainable lake use practices, i. e. enables targeted lake management strategies.

Considering the previous research and the fact that urbanization around Velnezers occurred quite rapidly, we hypothesize that urbanization will have a more prominent impact on the lake's ecosystem compared to earlier disturbances. Our established primary research question is how do lake conditions vary between different stages of its surrounding landscape development – urbanization, agriculture, and relatively undisturbed landscape? The more specific questions were: how do Cladocera species and functional group structure respond to urban stressors?

#### 2. Material and methods

#### 2.1. Study site description

Lake Velnezers (56.976385 °N, 24.247066 °E 4.6 m a.s.l.) is located in the eastern part of the capital city of Latvia – Riga (Fig. 1). It is a small (3.5 ha) lake with a mean depth of 3.5 m and a maximum depth of 7.4 m (Dručka, 2014). Geologically lake Velnezers has glaciokarst origin and lies within the Baltic Ice Lake glaciolimnic sediments (clay, silt, sand). The total Quaternary sediment thickness in the area reaches 35 m. Sand

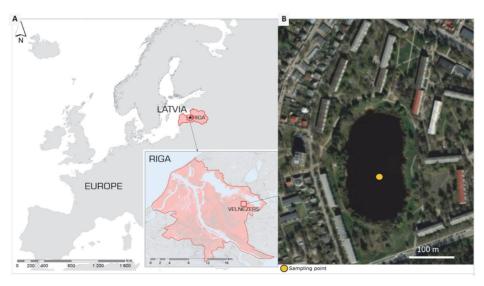


Fig. 1. (A) Sampling area - Lake Velnezers (Latvia, Northern Europe) location in Riga city and (B) sampling point indicated with a yellow dot.

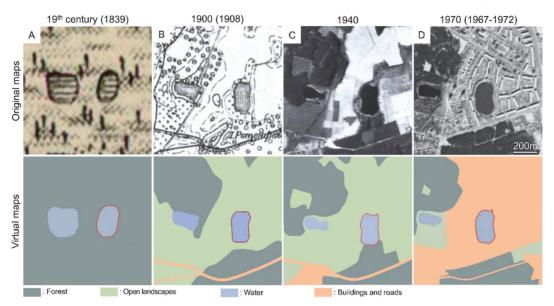


Fig. 2. Lake Velnezers landscape development based on maps and aerial photos throughout the time (from 19th to 20th century). Forest (dark green), open landscapes consisting of meadows, agricultural lands, possibly also grazed wetlands (light green), and old agricultural lands starting to be gradually urbanized (orange). Map references: A (Specialcharde von Livland, 1839), B (Military topographic map, 1908), C (NARA, 1940), D (USGS, 1967–1972).

dune formations around the lake took place 11 700–5000 years ago (Grudzinska et al., 2017). Lake's topography is characterized by a gentle shoreline but steep underwater slopes. There is no inflow to nor outflow from the lake Velnezers. Therefore, it is fed by precipitation and groundwater discharge.

Lake Velnezers is a brown water eutrophic to hypertrophic lake (Druvietis, 2012) reaching total phosphorous concentration up to  $100~\mu g/l$  (SIA 2022; Dimante-Deimantovica 2019 unpubl.) with higher values during spring and autumn season. Water transparency changes seasonally from 1 m (winter and spring) to <0.5 m during June-October (Robežnieks, 2022). Lake average pH is 7 (SIA 2022). Lake Velnezers littoral zone is characterized by approximately 2–3 m wide marshy shoreline in the western part of the lake. Submerged macrophyte zone is absent and algal bloom has been observed in Lake Velnezers (Balode et al. 2006; Druvietis et al., 2017; Līcīte, 2017).

#### 2.2. Sediment core collection

A 33 cm long surface sediment core was collected from lakes Velnezers depression, close to the deepest part (Fig. 1) on 14th February 2019 using a Kayak/HTH gravity-type corer with an inner diameter of 8 cm. Sediment core was divided into 1 cm cross sections and stored in a cold room.

#### 2.3. Core chronology

The sediment core was dated with <sup>210</sup>Pb in the Gdańsk University Geochronology Laboratory according to the standard procedure (Tylmann et al., 2016). The activity of total <sup>210</sup>Pb was determined indirectly by measuring <sup>210</sup>Po using alpha spectrometry. Dry and homogenized sediment samples of 0.2 g were transferred into Teflon containers, spiked with <sup>209</sup>Po yield tracer, and digested with concentrated HNO<sub>3</sub>, HClO<sub>4</sub>, and HF at a temperature of 100°C using a CEM Mars 6 microwave digestion system. After 24 hours the solution was transferred into a Teflon beaker, evaporated with 6 M HCl to dryness, and then dissolved in 0.5 M HCl. Polonium isotopes were spontaneously deposited within

four hours on silver discs. After deposition, discs were analyzed for  $^{210}\text{Po}$  and  $^{209}\text{Po}$  using a 7200–04 APEX Alpha Analyst integrated alpha-spectroscopy system (Canberra) equipped with PIPS A450–18AM detectors. Samples were counted for 24 hours.

The Bayesian age-depth modeling Plum for the core was built in an R environment using package 'rplum' (Aquino-López et al., 2018). Plum is a Bayesian forward model that simultaneously integrates two different processes, such as the behavior of the 210Pb flux and the variation of <sup>210</sup>Pb with depth, and an age-depth function (Blaauw and Christen, 2011). In comparison to the traditional implementation of the Constant Flux model (also known as the Constant Rate of Supply model), which is a reverse and deterministic model, the recently developed Bayesian Plum model is more flexible forward model that allows coping with non-ideal  $^{\rm 210}{\rm Pb}$  depth profiles (e.g., can easily handle gaps and can include other types of dating information) providing an estimate of most appropriate/likely age distributions. Plum Bayesian age-depth models become more precise with increasing dating densities (they 'learn' to produce more accurate and precise chronology during the modeling process), whereas classical linear interpolation does not (Aquino-López et al., 2020).

#### 2.4. Chemical analysis of sediments

Loss on Ignition analysis was done according to a standardized methodology (Walter E. Dean 1974; Heiri et al., 2001). For C/N analysis homogenized sub-samples were freeze-dried and grinded in agate mortar. The total carbon (TC) and total nitrogen (TN) contents were analyzed using thermal combustion elemental analyses (Element Analyzer Vario EL III) with an uncertainty of  $\pm 5$ %. Approximately 7–8 mg of material was weighed into tin cups for analyses that were performed at an accredited laboratory (ISO/IEC/17025). To estimate sediment chemical composition a small sub-sample was collected from homogenized sediment slices, from each sediment depth, and freeze-dried for the major element concentration. Approximately 0.1 g sample was collected in pre-weighed Teflon vials for HNO3 digestion. 25 ml of 65 % HNO3 was added, and the temperature was set to 160 oC

for 30 minutes. The vials were covered with loose caps to allow the escape of gases and volatiles during the digestion. The remaining acid was diluted with 10 ml of distilled water and weighed. 1 ml of the resulting solution was diluted to 9 ml of 0.5 mol/l HNO3 in 15 ml centrifuge tubes to achieve the final acid concentration of approximately 1 mol/L HNO3 and suitable element concentration for the ICP-OES analysis. Calcium (Ca), Copper (Cu), Iron (Fe), Potassium (K), Magnesium (Mg), Manganese (Mn), Phosphorous (P), Lead (Pb), Sulfur (S), and Zinc (Zn) concentrations were determined using Thermo Scientific ICAP6000 ICP-OES accompanied with a concentric nebulizer. In addition, certified control samples and blank samples were used to assess the analytical process. Finally, the dilution factors were calculated based on weighed samples and used to correct the results.

#### 2.5. Cladocera analysis

From each sediment layer 1 cm $^3$  subsample was taken for Cladocera analysis and prepared in laboratory according to standard procedure (Frey, 1986), heated in 10 % KOH, and sieved using 38  $\mu$ m mesh size. After the treatment, samples were diluted with 10 ml of water and colored with Safranin O. Right before Cladocera analysis diluted sample was homogenized and 0.1 ml was measured to prepare a microscopy slide. For each subsample, 1–2 slides were examined under a light microscope at 100x, 200x, and 400x magnification. We calculated the Total Cladocera flux, expressed as the sum of specimens per square centimeter per year (specimens/cm2/year), following the methodology employed by Zawiska et al. (2017).

All recognizable skeletal elements were counted (head shield, shell, postabdomen, etc.) until at least 70 individuals were found (Kurek et al., 2010). All slides were scanned fully to avoid counting bias. Identification of Cladocera species was based on the identification key by Krystina Szeroczyńska and Sarmaja-Korjonen (2007). Cladocera functional groups were distinguished based on the species description from the literature (Flössner, 2000; Bledzki and Rybak, 2016). The selected functional groups were body size and habitat preference. For the analysis of body size, we classified each species into one of three groups: large (>1 mm), medium (0,5-1 mm) or small (<0,5 mm). The classification was based on the average size of a female. We divided Cladocera into 4 groups based on their habitat preference - pelagic, sediment-associated, vegetation-associated, and unspecified. Species were included in the group unspecified if they were mentioned as common both in sediment and vegetation habitats. See more detailed information on functional groups in the supplementary file (Appendix 1).

#### 2.6. Statistical analysis and data visualization

Data visualization for stratigraphic diagrams was done using Tilia 3.0.1 (Grimm, 2011). We performed Bray-Courtis dissimilarity (Faith et al., 1987) based temporally constrained hierarchical clustering (CONISS) analysis (Grimm, 1987) to distinguish changes in the Cladocera community structure. The number of significant clusters was determined using the broken stick method (Jackson, 1993). Cluster analysis was done using R 4.3.0 software (R Core Team 2023) vegan package (Oksanen et al. 2017). We calculated Simpsons diversity index (Simpson, 1949) using program Past 4.0.1 (Hammer et al., 2001).

#### 3. Results

#### 3.1. Core chronology

The depth profile of excess  $^{210}$ Pb shows an overall consistent decline in activities with mass depth (Fig. 3). However, a zone of irregularly fluctuating activities is clearly visible at the depth range of 0–7 cm indicating surface sediment mixing. The exponential decrease below this section proves no major disturbance of the sediment column and

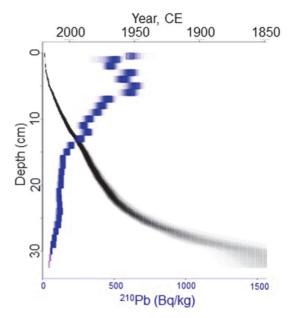


Fig. 3. Lake Velnezers chronology where: (1) blue-scale indicates the modeled  $^{210}$ Pb values (based on the posterior values for the age model and the  $^{210}$ Pb parameters), (2) blue boxes indicate the measured values, (3) grey-scale is the age-depth model at 95 % probability range.

relatively stable mass accumulation rates. The lowest sediment depth associated with the age, according to the *Plum* age-depth model, is 29 cm and the average age is 1882 (min 1850, max 1905). In our study, we further use the average age from the model, but the possible agerange distribution of each particular depth can be learned from Fig. 3. Beyond that age, the model did not produce chronology as excess <sup>210</sup>Pb was not traceable. The age-depth model shows continuous sedimentation with an average sediment accumulation rate of 0.45 cm per year. Quality of the modeling was appropriate and acceptable (Fig. 3) as it shows the assessed processing parameters.

#### 3.2. Sediment chemical composition

In data analysis we distinguished 4 zones of the sediment core (Fig. 4). Zone 1 is the lower zone based on Cladocera species assemblage cluster analysis results (see more detailed description of Cladocera further in the text). The rest of the core is split into three zones (Zone 2, Zone 3, Zone 4) based on historical events that might have influenced the lake. All time periods given describing zones according to the chronology model are approximate:

Zone 1 (33rd to 27th cm, date unknown - 1920);

**Zone 2** (27th to 20th cm, years 1920–1970) refers to time period before the completion of the apartment building complex around Lake Velnezers:

**Zone 3** (20th cm to 14th cm, years 1970–1990) represents the fully urbanized stage during the Soviet Union;

**Zone 4** (14th to 1st cm, years 1990–2018) represents the most recent history of the lake after the collapse of the Soviet Union. Since samples in the depth of 1-3 cm all corresponded to the year 2018, in further result analysis we combined these samples into one.

Lake Velnezers sediment contains relatively low carbonate matter throughout the studied period ranging from 1.83 % (before 1875, Zone 1) to 4.21 % (year 1998, Zone 4) decreasing again towards most recent years. Greater variability is observed for content shifts in organic matter

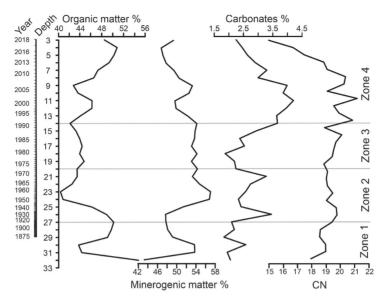


Fig. 4. Loss on Ignition (LOI) results expressed in percentages and carbon/nitrogen (CN) expressed as an atomic ratio in the sediment profile of Lake Velnezers.

(40.40–55.15 %) and mineral matter (43.10–57.03 %). Mineral matter reaches its highest value at the beginning of Zone 2 (57.03 %), and consequently, organic matter has its lowest value at the same time (40.40) %. The C/N values vary between 17.9 and 20.8 across Zone 1, Zone 2, and Zone 3. In Zone 4 C/N values decrease from 21,2 to 14,9 towards the topmost sediment layer (Fig. 4).

The major elements investigated can be categorized as those that:

1) reflect input of terrigenous minerogenic material (K) and calcit

1) reflect input of terrigenous minerogenic material (K) and calcite minerals (Ca);

- 2) are redox-sensitive elements (Fe, S, Mn, P);
- 3) are trace metals (Pb, Zn, Cu).
- All the elements show a similar trend as to their concentrations -

lowest values are observed at Zone 1 and increase towards Zone 4 followed by a decline in the very upper sediments of Zone 4.

The exception is P which shows the maximum values within Zone 2 and Zone 3, but its lowest concentrations within Zone 4. K shows fluctuations with increasing frequency from Zone 2 to the end of Zone 4. Pb, S, and Fe have their distinguished maximum peaks in Zone 4 from early 90ties to 2010, while Zn, Mn, Mg, and Cu display high concentrations throughout Zone 4 (Fig. 5).

#### 3.3. Cladocera assemblages

In the sediment core of Lake Velnezers, we found 42 taxa of

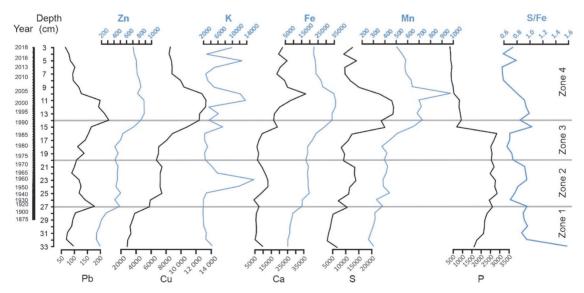


Fig. 5. Vertical distribution of major elements (mg/kg) in Lake Velnezers sediment core.

Cladocera (Fig. 6). Bosmina (Eubosmina) longispina and Bosmina (E.) coregoni were merged into Eubosmina spp. subgenus group. For several other taxa identification until genus level was possible, i.e. Daphnia, Eurycercus, Simocephalus, Ilyocryptus. Pelagic species dominate throughout the core, of those particularly abundant are bosminids. The rest of the taxa are divided into vegetation-associated, sediment-associated, or unspecified habitat groups, of those chydorids, i.e. Alonella nana, Chydrous sphaericus, and Alonella excisa are dominant. Only four species occurred in every sample of the core (n=33) - Bosmina (Bosmina) longirostris, Bosmina (Eubosmina) sp., A. nana and A. excisa.

Zone 1 is characterized by the dominance of pelagic species belonging to daphnias and bosminids. The proportion of *Bosmina (B.) longirostris* is increasing towards the upper sediments of Zone 1. While *B. longirostris* is rising from 1 % at the lowest sediment layer to 75 % of all Cladocera by the end of Zone 1, other dominant species *Eubosmina* spp. and *Daphnia* spp. abundance is decreasing. For both species, the highest values within the core are reached within Zone 1. *Holopedium gibberum* is present in almost all samples of Zone 1, gradually decreasing and disappearing completely above Zone 1.

As to littoral species in this period they contribute less to the total species richness compared to Zones 2–4. In Zone 1 78 % of all the species found are littoral, while in Zone 2–4 on average 82 % of all Cladocera species found are typical for the littoral zone. At the same time, while the littoral species' richness increases, their abundance decreases. For example, *A. nana* and *Acroperus harpae* are experiencing a decline, decreasing from 17 % and 6 % at the beginning of Zone 1–2 % and less than 1 % at the end of it accordingly. Only a few sediments associated species are found within Zone 1 and some species or genus are not occurring at all, e.g. *Pleuroxus* spp., *Leydigia* spp., *Monospilus dispar*, *Leptodora kindti* (Fig. 6). Total Cladocera flux cannot be properly evaluated, since our dating does not reach the bottom part of the core, but as far as data are available total Cladocera flux here is lowest among all distinguished time zones (Fig. 7).

Other zones differ from Zone 1 noticeably, i.e. Zone 2–4 is characterized by *Bosmina (B.) longirostris* dominance (on average 70 % thorough Zone 2–4), increase in the number of sediment-associated Cladocera species (five species in Zone 1 versus 9 species in Zone 2–4), a considerable decrease of *Daphnia* sp. and rapidly increasing total

Cladocera flux. In Zone 2 several species appear for the first time and continuously or periodically are also present in Zones 3 and 4, i.e. Leptodora kindti, Pleuroxus spp., Leydigia acanthocercoides, L. leydigi, Phreatalona protzi, Monospilus dispar, Disparalona rostrata (Fig. 6). Species that continuously appear in Zone 1–2, but afterwards appear rarely are Alonopsis elongata, Alona intermedia, and Acantholeberis curvirostris. During Zone 3 there is an increase of littoral species individuals' proportion (22 % compared to 18 % in Zone 2) even though pelagic Bosmina (B.) longirostris still is the dominant species in Zone 3 (64 %) (Figs. 6, 7).

Zone 4 is characterized by a further increase of *Bosmina (B.) long-irostris* proportion (72 %) and an increase of total Cladocera flux, which reaches its peak at the 4th cm. On the contrary, *Eubosmina* sp. proportion decreases from 17 % on average throughout Zone 1–3–4 % in Zone 4. In this zone several species have disappeared (or disappear towards upper sediment layers) from the sediment records completely – such as *Alona intermedia*, *A. rustica*, *A. costata*, *P. truncatus*, *Acantholeberis curvirostris*, *Latona setifera*, and *Ilycryptus* spp. (Figs. 6, 7).

The very last few cm (3 cm and above) of Zone 4 somehow differs from the rest of Zone 4 – species diversity slightly increases, pelagic small species ratio stays the same as total Cladocera flux decreases, *B. (B.) longirostris* decreases and on contrary *Eubosmina* spp. increases (Figs. 6, 7).

As we move towards the upper layers of the core, there is a tendency of declining species diversity among Cladocera, accompanied by a decrease in the ratio of littoral, large pelagic, and medium-sized pelagic species compared to the increasing abundance of small pelagic species (Fig. 7).

#### 4. Discussion

The historical maps of Lake Velnezers reveal transformations in its surrounding landscape, transitioning from a forested environment to an agricultural one and eventually becoming urbanized. Over time, the lake has witnessed alterations in subfossil Cladocera and sediment chemical composition. These changes include a shift in dominant pelagic species, a reduction in species diversity, local extinction of certain species, and the emergence of new ones. Significant fluctuations in sediment

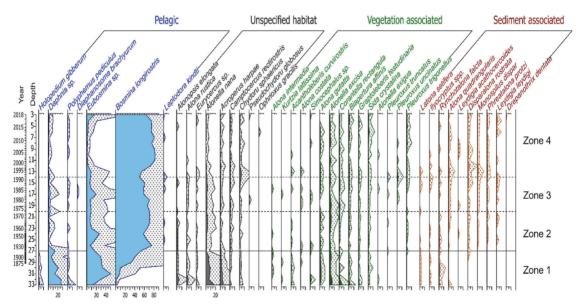


Fig. 6. Cladoceran assemblages based on habitat preference throughout the sediment core of Lake Velnezers. The diagram shows the relative abundance of the taxa.

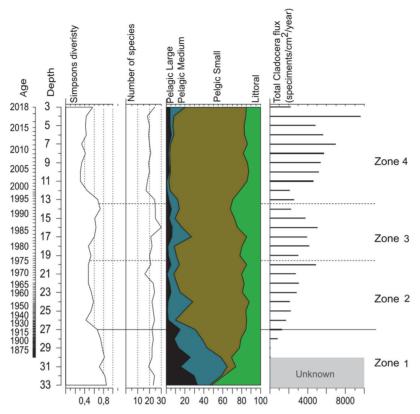


Fig. 7. Summary of parameters describing subfossil Cladocera communities throughout the sediment core of Lake Velnezers. The colorful diagram depicted the relative abundance of Large pelagic (Black), Medium pelagic (Blue), Small pelagic (Brown), and Littoral (Green) species. Total Cladocera flux shows the calculated number of Cladocera individuals deposited in the sediments per year.

chemistry are evident, including a rapid decrease in phosphorus (P) concentration starting from the late 1980s toward most recent sediments, as well as variations in other major elements.

Despite our initial hypothesis focusing on the impact of rapid urbanization on Lake Velnezers' ecosystem, our research indicates that substantial changes were already underway before urbanization occurred. To test our hypothesis, we selected zones based on historical events such as the construction of an apartment complex and the collapse of the Soviet Union. Our findings extend those of Heinsalu and Aliksaar (2009), and Noges et al. (2020) revealing that shifts in Lake Velnezers ecosystem are aligning with the end of the Soviet era.

The sediment core of Lake Velnezers extends back to a period before 1875. Sediments from the year 1850 are generally considered representative of reference conditions for many lakes in Europe (Battarbee, 1999; Battarbee et al. 2011). The term "reference conditions" describes the natural state of a lake, untouched by human influence, serving as a baseline for understanding ecological changes over time (Bennion et al., 2011). Zone 1 (starting date unknown to 1920) exhibits a noticeable change in Cladocera composition towards the year 1920 (Fig. 6), revealing that our core does not extend deep enough into sediments to fully capture the state of the lake before considerable human impact. Pujate (2015) in her research on Lake Velnezers identified a sediment layer of reference conditions below 65 cm core depth. This depth was marked by a rapid increase in minerogenic matter proportion in the lake sediments and the presence of macrofossils indicating landscape opening. These changes are likely a result of partial forest clearing for

agricultural purposes (Stankevica et al. 2015; Kalnina et al., 2019).

Despite the fact that our study is missing a clear reference conditions zone, it is obvious that at the beginning of Zone 1 lake Velnezers is in an oligotrophic state, and the ecosystem conditions gradually deteriorate by the end of Zone 1. The lowest part of Zone 1 (before 1875) is characterized by relatively high species diversity, dominance of large and medium-sized pelagic Cladocera (Fig. 7), as well as presence of several species that indicate oligotrophic conditions, such as *Holopedium gibberum*, *Alonopsis elongata*, *Latona setifera* (Fig. 6) (Bledzki and Rybak, 2016). The same species are sometimes attributed to acidic, softwater lakes (Krause-Dellin and Steinberg, 1986; Brodersen et al., 1998).

Throughout Zone 1 several changes occur that indicate an increase in trophic degree. Such changes include large and medium-sized planktonic Cladocera (such as *Daphnia* spp., *Eubosmina* spp.) replacement by small-sized planktonic *Bosmina* (*Bosmina*) *longirostris*, which is a common eutrophication-induced species succession (Boucherle and Züllig 1983; Chen et al., 2010; Adamczuk, 2016; Nevalainen and Luoto, 2017).

These changes are accompanied by disappearance or reduction in relative abundance for species indicative of good water quality. For instance, one of the most significant indications for lakes increase in trophic stratus through Zone 1 is the disappearance of H. gibberum, which only lives in nutrient-poor soft water lakes (Urtāne, 1998; Flössner, 2000; Bos and Cumming, 2003; Čeirāns, 2007; Jensen et al., 2013). According to  $Berzin\bar{s}$  and Bertilsson (1989), this species maximum abundance is under  $20~\mu g/l$  of total phosphorous. Another example is Alona intermedia with optimum at  $30~\mu g/l$  total phosphorous

(Brodersen et al., 1998), which is common in Zone 1 but disappears afterward.

Sediment chemistry data shows a gradual increase in concentrations of Fe, P, and S towards the end of Zone 1 (Fig. 5), which also may suggest a gradual eutrophication. Human-induced external input of P can lead to enrichment of P in surface sediments (Carey and Rydin, 2011; Hupfer and Lewandowski, 2008). The increasing concentrations of P since the latter half of the 19th century are likely related to excess nutrient loading from the cultivated areas and geochemical focusing of P, Mn, and Fe in the deepest part of the basin (Jilbert et al., 2020; Jilbert and Slomp, 2013; Scholtysik et al., 2022).

The sediments within Zone 2 (1920–1970) record the shift from agricultural land to an urban environment near Lake Velnezers (Fig. 2). Lake Velnezers reaches a new stable state as a turbid eutrophic lake. Throughout this period Cladocera species composition remains relatively stable, especially when compared to Zone 1.

In Zone 1, we observed the simultaneous reduction in littoral species relative abundance (Fig. 7) and species indicative of oligotophy (Fig. 6). Despite this, eight new littoral species (six sediment-associated and two vegetation-associated ones) emerged at the beginning of Zone 2. Therefore, we cannot attribute the reduction of littoral species' relative abundance to unfavorable conditions for littoral species, but rather to the proliferation of *B. longirostris*, which is indicative of eutrophication (Chen et al., 2010; Adamczuk, 2016).

Increase of eutrophication is usually negatively correlated with littoral species diversity and richness. This phenomenon is commonly associated with the loss of submerged macrophytes and therefore available microhabitats (Declerck et al., 2011; Velghe et al., 2012; Celewicz-Gołdyn and Kuczyńska-Kippen, 2017). In Velnezers, we observed an increase in littoral species richness after initial eutrophication in Zone 1 (Fig. 6). The species succession between zones 1 and 2 suggests that the changes in trophy were accompanied by an increase in pH and conductivity. While H. gibberum, a species that has an oligotrophic, softwater and acidophilic preference (Bērzinš and Bertillson 1989; Berzins and Bertilsson, 1990), disappears by the end of Zone 1, Disparalona rostrata, a species known to have an alkaline preference (Krause-Dellin and Steinberg, 1986), emerges at the beginning of Zone 2. However, we do not think that lake pH reached alkaline conditions during this time, since such acidophilic species as A. elongata, A. rustica, and P. pediculus (Bledzki and Rybak, 2016) persist in the lake, suggesting the transition from slightly acidic to neutral conditions at the beginning

It is important to note that in Latvia the cause of low pH in lakes is the enrichment with humic substances (Druvietis et al., 1998; Ozolins et al. 2021). Therefore, it is reasonable to assume that Lake Velnezers displayed the properties of a humic, low pH lake during Zone 1. Dystrophic lakes often exhibit low species diversity (Zawisza et al., 2016). The appearance of several new species might be the result of an increase in pH due to eutrophication at the beginning of zone 2. Zone 2 exhibits higher concentrations of Fe, P, and S. However, there is no significant variation in the concentrations of these elements (Fig. 5). This supports the idea of a new balance in the lake ecosystem, as suggested by Cladocera species.

At the beginning of the period described by Zone 2 agriculture is the most significant, but not the only human activity influencing Lake Velnezers. This lake also served such purposes as horse swimming and laundry, leading to dissatisfaction with the water quality among local residents and those who used it for recreation (Leja, 1941; Pumpurs, 1959). Agricultural activity in the vicinity of Lake Velnezers continued until at least the year 1940 (Fig. 2).

K is a common component of feldspar and clay minerals and its occurrence in the sediments points to catchment erosion and accumulation of detrital material (Dean, 2002; Shanahan et al., 2008). Ca, on the other hand, can be derived either from biogenic or inorganic sources (Dean, 2002; Shanahan et al., 2008; Zolitschka et al., 2015). The simultaneous short-lived increase in K and Ca between 1940 and 1960

suggests that elevated Ca concentrations in the sediment are indicative of carbonate mineral-bearing bedrock as the source. Although natural events (such as flooding) could contribute to catchment erosion, the overall extent of these changes, combined with consistently low background conditions, suggests that human activities are probably responsible for these single events.

The precise date for the first buildings appearing around Lake Velnezers remains unknown but a news article from 1959 (Pumpurs, 1959) suggests the year 1950 as the approximate start of urbanization in this area. While enhanced erosion is previously closely related to land use changes at the catchments (Saarni et al., 2017; Johansson et al., 2019), the increase of minerogenic matter (Fig. 4) and concomitant short-lived increase of Ca and K hint at a punctuated erosion event in the period between the years 1940 and 1960 (Fig. 5), further supporting this claim. Therefore, urbanization in the Lake Velnezers area occurs with the general global trend known as a "great acceleration" (Steffen et al., 2015; McCarthy et al., 2023; Walker et al., 2024).

Zone 3 (1970–1990) describes changes in lake Velnezers after urbanization during the Soviet Union period. While initially landscape transition into a fully urbanized area does not seem to bring any significant changes in the ecosystem, several parameters point towards a further increase of eutrophication.

Chemical analysis reveals a rise in the concentration of several elements from the middle of Zone 3 to Zone 4. The increased concentration of carbonates and calcium at the Zone 3–4 boundary suggests a shift towards seasonal carbonate supersaturation in the water column, driven by enhanced photosynthetic CO2 uptake during phytoplankton blooms. The Ca precipitation is well described from naturally eutrophic alkaline lakes in the Baltic region, but also following anthropogenic eutrophication (Roeser et al., 2021; Scholtysik et al., 2022; Zolitschka et al., 2015)

The significant decrease in P concentration at a depth of 15 cm indicates a substantial shift in sedimentary conditions. Under oxic conditions and neutral pH, phosphate can coprecipitate with sedimentary Fe and Mn oxides (Slomp et al., 1996; Gunnars et al., 2002), or be incorporated into biomass as a result of microbial processes (Glächter et al., 1988). These conditions likely characterized Zone 1, 2, and the early part of Zone 3.

However, phosphate bound to oxides and polyphosphates in sediments can dissolve back into the water column under reducing conditions (Hupfer and Lewandowski, 2008; Jilbert and Slomp, 2013). These conditions may arise at the lake bottom due to increased oxygen demand from organic matter accumulation, decomposition, and limited water exchange. Additionally, reducing conditions extend deeper into the sediment column, where microbial activity consumes oxygen, leading to phosphate dissolution into porewater and subsequent diffusion back to the water column (Hupfer and Lewandowski, 2008; Jilbert et al., 2020).

Signs of eutrophication are accompanied by an increase in S concentration, possibly due to organic matter and sewage inputs. Fe forms sulfides more readily than P (Scholtysik et al., 2022). The observed rise in Fe concentration and a sulfur-to-iron (S/Fe) ratio towards the end of Zone 3 suggest enhanced pyrite formation. This implies that P is no longer concealed in the sediments – Fe is bound by S leading to enhanced P release and promoting internal loading (Couture et al., 2016; Jilbert et al., 2020). This possible shift to pyrite formation as well as the steep decrease of P concentrations in the sediments suggest at least seasonally anoxic conditions in the basin of Lake Velnezers during this period.

Throughout Zone 3 there is an increase of such elements as Pb, Cu, and Zn. Pb reflects the anthropogenic activities at the catchment, but atmospheric fallout can contribute significantly to Pb concentrations. The increasing trend of Pb concentration in Lake Velnezers record since the early 20th century (sediment depth of 27 cm) is similar to the trends observed in Fennoscandian lakes (Brännvall et al., 1999; Meriläinen et al., 2010).

However, the decrease in Pb concentration in sediments around the 70's following the energy crisis is not detected in Lake Velnezers' record.

The major source of Cu and Zn possibly originates from untreated wastewater (Meriläinen et al., 2010; Jilbert et al., 2020), with part of the total concentrations likely derived through atmospheric fallout. Both elements display similar trends with Pb until the mid-90 s. Lake Velnezers likely received untreated wastewater from intentional and unintentional sources through the urbanization stage. There are reports of direct wastewater discharges in Velnezers, which resulted in insufficient water quality (Gurina, 1980). This type of pollution could also originate from untreated wastewater from nearby factories through groundwater sources (Pumpa, 1980; Niedre, 1986; Juhna and Kļaviņs 2001). This could explain the excess input of S, nutrients, and trace metals at the end of Zone 3.

The peaks of Cu, Pb, and various other chemical elements align with a decline in the total flux of Cladocera (Fig. 7). Between 1985 and 1990 total Cladocera flux reduced by more than a half. These findings suggest that urbanization caused oxygen depletion, elevated nutrient levels, and contamination by heavy metals have induced stress on the Cladocera population. Both heavy metal contamination and toxins from cyanobacteria blooms can lead to morphological abnormalities in Cladocera (de Melo et al., 2017; Alvarado-Flores et al., 2022; Panarelli et al., 2023). B. (B.) longirostris relative abundance slightly reduced in Zone 3. During the whole urbanization phase (Zone 3 and Zone 4) relative abundance of B. (B.) longirostris exceeds 50 % (Fig. 6), which suggests that the abundance of B. (B.) longirostris has a strong influence on the total Cladocera flux values (Fig. 7). This could mean that the reduction in total Cladocera flux also portrays the decrease in the abundance B.(B.) longirostris. The reduction of total Cladocera flux and B. (B.) longirostris relative abundance between 1985 and 1990 aligns with the rapid increase in heavy metal pollution and elements indicative of anoxic conditions (Fe, S) (Fig. 5). It has been reported in literature, that Cu toxicity significantly affects the mortality and fecundity rates of B. (B.) longirostris (Koivisto and Ketola, 1995) and oxygen depletion can influence the behavior and abundance of zooplankton (Ekau et al., 2010; Doubek et al., 2018). Therefore, we conclude that urbanization caused pollution and eutrophication can have negative effects even on species that are considered tolerant of a wide range of ecological conditions (Bledzki and Rybak, 2016).

Finally, Zone 4 (1990–2018) describes changes in the lake ecosystem after the collapse of the Soviet Union. In research from post-Soviet countries, it is sometimes found, that after the collapse of the Soviet Union lakes experience re-oligotrophication (Heinsalu and Alliksaar, 2009). Similarly, lakes in other European countries have been reported to improve in water quality due to a reduction in fertilizer use after 1990 (Jeppesen et al., 2005). For lake Velnezers that is not really the case. After the collapse of the Soviet Union, the lake conditions continued to deteriorate. Cladocera species diversity decreased to its lowest point (Fig. 4) and several Cladocera species, such as *A. rustica*, *A. costata*, *A. intermedia*, *L. setifera* and *A. curvirostris*, disappeared completely.

In the initial ten years represented by Zone 4, concentrations of Pb, Cu, S, and Fe remained high (Fig. 5). Pb enrichment in lake sediments in recent history is linked to gasoline additives (Brännvall et al., 1999; Meriläinen et al., 2010). The steady increase of Pb from 18 cm to peak concentrations in the 1990s (at sediment depth of 10-13 cm) denotes the expansion of private car use after the collapse of the Soviet Union when the number of private cars doubled within a decade. In the period between the year 1980 and 2000 private car ownership increased from 66 to 237 cars per 1000 population (Official statistics, 2023) which is more than a threefold increase. In the capital city of Latvia - Riga (where the lake Velnezers is located) this increase could be even higher due to higher income. Similar trends in increased car ownership have been observed in other post-Soviet countries after regaining independence (Pucher, 1999). The decline in Pb concentration since about 2002 is related to the ban of Pb additives in the early 21st century, which has previously been shown to result in a rapid decrease of Pb concentrations in sediment archives (Brännvall et al., 1999; Meriläinen et al., 2010).

The Jugla area, to which Velnezers belongs, was connected to Riga's

central wastewater system during the 1990s (Rīgas Ūdens, 2022), likely explaining the rapid decrease in S and Cu and the steady reduction in Zn trends towards the present. However, these positive changes are accompanied by an increase in organic matter accumulation and a decrease in the C/N ratio (Fig. 4). Autochthonous algae typically have a lower C/N ratio compared to terrestrial plants. Therefore, a decrease in the C/N ratio suggests increased autochthonous production (Meyers and Ishiwatari, 1993; Meyers, 1997). The decay of the larger mass of organic matter in the bottom sediments following human activities increases oxygen consumption and can lead to anoxia in the deepest parts of the lake basins (Salminen et al., 2021).

The previously described peak in heavy metal pollution, oxygen depletion, and eutrophication between 1985 and 2000, caused by urbanization, significantly affected the species composition of Cladocera. Around the year 2000, we observe a reduction in heavy metal pollution and elements associated with anoxia. Concurrently, total Cladocera flux and the relative abundance of B. (B.) longirostris increased (Fig. 6, Fig. 7).

Cladocera species diversity reached its lowest point around the year 2000 and showed little improvement for most of Zone 4 (Fig. 7). By this time, several species preferring low nutrient concentrations had either completely or temporarily disappeared, including A. elongata, A. rustica, Eurycercus sp., A. intermedia, A. costata, and L. setifera (Hofmann, 1996; Bledzki and Rybak, 2016). Additionally, some littoral species not reported to be nutrient-sensitive, such as Ilyocryptus spp. and A. curvirostris, disappeared shortly after (around 2004). Unlike B. (B.) longirostris, these species did not recover after the reduction in pollution and nutrient input.

Whether urbanization-induced eutrophication, pollution, anoxia, or a combination of several stressors led to the disappearance of these species remains unknown. More studies on the effects of multiple stressors, heavy metal toxicity, and anoxia tolerance on littoral species are necessary to determine the exact causes of their disappearance. Nonetheless, these observations highlight the negative effects of urbanization on the Cladocera.

With the decrease of pollutants, the flux of Cladocera steadily rises across Zone 4, peaking in 2016. The increase in subfossil Cladocera flux is regarded as indicative of increase in live Cladocera abundance (Nykänen et al., 2009), and is frequently linked to either eutrophication or warming (Manca et al., 2007; Zawiska et al., 2017; Cremona et al., 2021).

It is reported that eutrophication and its effects are increased by climate warming. Such effects can include decreased dissolved oxygen, cyanobacteria blooms (Moss et al., 2011; Meerhoff et al., 2022), and even changes in the zooplankton community (Visconti et al., 2008). Considering the high level of human pressure on Lake Velnezers nutrient enrichment should be regarded as the primary influence on the lake ecosystem. However, there has been an approximately two-degree C° increase of annual mean temperature in Riga city between 1981 and 2018 (World Bank Group, 2022) that could further contribute to the negative effects of nutrient input.

We only see improvements regarding Cladocera species composition at the very upper sediment layers (the years 2016–2018). Such improvements include a slight increase in species diversity, reduction of Cladocera flux, and simultaneous increase of *Eubosmina* genus group (from 4 % to 16 %) and decrease of *Bosmina* (B.) longirostris (from 74 % to 64 %) (Fig. 6). Usually, the replacement of *Eubosmina* species by B. (B.) longirostris is known as eutrophication-induced common species succession (Adamczuk, 2016). In the Lake Velnezers case, we can see a reversion of this trend in recent year sediments. While the small temporal coverage of these improvements suggests exercising caution when making assumptions about directions of further lake development, we argue that this shift indicates potential for lake ecological state improvement.

It is known from other studies that lake re-oligotrophication or at least eutrophication decrease is possible after reduction of nutrient

loading. The effect size and time lag of this process can vary between lakes – lakes with shorter water residence time tend to recover more rapidly (Jeppesen et al., 2005). Previous research has shown that the legacy effect can release more P from sediments than the amount of external input from agricultural lands in modern days (Jilbert et al., 2020). Hence, it is likely, that under the eutrophic conditions with large phytoplankton production, the legacy P enrichments will continue to leach from sediments back to water, delaying the recovery of the lake (Jilbert et al., 2020; Niemistö et al., 2012).

In the Winter or 2024 mass fish deaths were reported in Lake Velnezers, as well as year-round anoxia in water layers deeper than two meters below water surface (Interreg-Baltic, 2024), highlighting the bad ecological condition that continue to persist even after reduction in pollution. According to the latest update from the lake governing municipality, the lake has been chosen as the first pilot lake in Baltic countries for PAC (polyaluminium chloride) treatment to bind P in sediments (Interreg-Baltic, 2023; Rigas paśvaldība, 2024), possibly solving these issues in the future.

#### 5. Conclusions

In conclusion, we can confirm the hypothesis that urbanization has a more explicit effect on the lake's ecosystem than earlier human-driven disturbances. While agriculture had a notable effect on the ecosystem, cumulative effects of agriculture and urbanization drove Velnezers into a state beyond the possibility of recovering naturally. Under urbanization, lake Velnezers turned into a hypereutrophic lake with anoxia and heavy metal pollution problems due to nutrient and metal input from untreated wastewater and car exhaust gases. This caused a loss of species diversity and under urbanization pressure, the dominance of small-sized Bosmina (B.) longirostris became even more extreme and several species disappeared. In comparison, the transition from a low disturbance regime to agriculture brought noticeable changes in the lake ecosystem in a way that it transitioned from an oligotrophic to a eutrophic lake. However, during this stage, there does not seem to be any anoxia or pollution issues. Even though small-sized pelagic Bosmina (B.) longirostris was dominant, littoral taxa richness (especially sedimentassociated) was high.

Following the conservation policies such as redirection of waste waters and Pb additive ban in fuel, the lake water quality has slightly improved. Velnezers is a lake with no inflow or outflow and most likely historical internal rather than external load of P plays a crucial role here. The high legacy concentrations of P in the reactive layer continue to release P into the water through anoxic sediments, hindering the lake's recovery process. This highlights the necessity for stronger intervention to restore lake water quality.

#### CRediT authorship contribution statement

Izabela Zawiska: Writing – review & editing. Wojciech Tylmann: Writing – review & editing, Writing – original draft, Investigation. Normunds Stivrins: Writing – review & editing, Writing – original draft, Investigation, Formal analysis. Saija Saarni: Writing – review & editing, Writing – original draft, Visualization, Investigation, Formal analysis. Inta Dimante-Deimantovica: Writing – review & editing, Writing – original draft, Supervision, Project administration, Investigation, Funding acquisition, Conceptualization. Anna Lanka: Writing – review & editing, Writing – original draft, Visualization, Project administration, Investigation, Formal analysis, Data curation, Conceptualization. Siim Veski: Writing – review & editing, Supervision, Funding acquisition, Conceptualization.

## Declaration of Generative AI and AI-assisted technologies in the writing process

During the preparation of this work, the authors used ChatGPT in

order to improve text readability. After using this tool authors reviewed and edited the content as needed and take full responsibility for the content of the publication.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

Data will be made available on request.

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#### Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at doi:10.1016/j.ancene.2024.100439.

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# **Appendix 3**

# Article III

Zawiska, I., Dimante-Deimantovica, I., Luoto, T.P., Rzodkiewicz, M., Saarni, S., Stivrins, N., Tylmann, W., **Lanka, A.**, Robeznieks, M. and Jilbert, T., (2020). Long-term consequences of water pumping on the ecosystem functioning of Lake Sekšu, Latvia. *Water*, *12*(5), p.1459. https://doi.org/10.3390/w12051459





Article

# Long-Term Consequences of Water Pumping on the Ecosystem Functioning of Lake Sekšu, Latvia

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Abstract: Cultural eutrophication, the process by which pollution due to human activity speeds up natural eutrophication, is a widespread and consequential issue. Here, we present the 85-year history of a small, initially *Lobelia–Isoëtes* dominated lake. The lake's ecological deterioration was intensified by water pumping station activities when it received replenishment water for more than 10 years from a eutrophic lake through a pipe. In this study, we performed a paleolimnological assessment to determine how the lake's ecosystem functioning changed over time. A multi-proxy (pollen, Cladocera, diatoms, and Chironomidae) approach was applied alongside a quantitative reconstruction of total phosphorus using diatom and hypolimnetic dissolved oxygen with chironomid-based transfer functions. The results of the biotic proxy were supplemented with a geochemical analysis. The results demonstrated significant changes in the lake community's structure, its sediment composition, and its redox conditions due to increased eutrophication, water level fluctuations, and erosion. The additional nutrient load, particularly phosphorus, increased the abundance of planktonic eutrophic–hypereutrophic diatoms, the lake water's transparency decreased, and hypolimnetic anoxia occurred. Cladocera, Chironomidae, and diatoms species indicated a community shift towards eutrophy, while the low trophy species were suppressed or disappeared.

**Keywords:** eutrophication; water level fluctuation; multi-proxy approach; Cladocera; Chironomidae; diatoms; Northern Europe

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## 1. Introduction

Eutrophication strongly influences the functioning of freshwater ecosystems by changing their water qualities, such as oxygen availability, light conditions, and increasing the production of algae, which results in a reduction of the water's self-purification capacity. The process of nutrient enrichment of water bodies is a part of a lake's natural lifecycle. However, the introduction of sewage water, fertilizer, and detergent to lake systems greatly accelerates eutrophication and results in significantly increased biological productivity. Therefore, cultural eutrophication continues to be ranked as the most common water-quality problem in the world [1–5].

Not only intensified cultivation and clear-cut logging, but also activities such as artificial water replenishment into lakes, can strongly affect lake ecosystems. There are several reasons for taking such actions, such as increasing the flow of water into lakes for restoration (particularly relevant in arid regions due to intense evaporation), in connection to the hydropower industry [6,7], or increasing the groundwater level to secure the operations of water pumping stations. Such activities can cause significant environmental issues since the physical, chemical, and biological characteristics of the lake can be changed.

Water was artificially replenished to increase the water level in Lake Sekšu, located in the vicinity of Riga city (Latvia, Baltic Region, Northern Europe). This lake is a part of the drinking water supply system and enriches the groundwater horizons near the "Baltezers" drinking water pumping station. The pumping station "Baltezers" began to operate in 1904 as an extension to the pre-existing water supply system meant to solve the problem of water shortages in the Riga city. In addition to the existing drinking water source (the river Daugava), the new water pumping station was designed to use groundwater. However, alongside the construction of residential and public buildings, the area's population and industrial activity increased rapidly after World War I. The size of the water supply network developed proportional to urban growth, but during World War II, the water supply system was seriously damaged. However, by 1948, the pre-war level of industrial activity was again reached [8]. In the late 1950s, the suburbs of Riga were developed for housing purposes. Natural biotopes in the vicinity of the city were replaced by dense residential areas and small kitchen gardens [9]. The consumption of water continued to increase, and in 1953, an artificial groundwater recharge system went into operation. Between 1953 and 1965, the water supply from an adjacent eutrophic lake to Lake Sekšu was established through a pipe and ditch [10].

In this study, we hypothesized that even the relatively short-term pumping of water from the nearby eutrophic lake could have changed the trophic conditions in Lake Sekšu and could have led to persistent shifts in the lake's ecosystem functioning. We analyzed sediment core representing the time period ~1935–2018 to investigate how water replenishment affected the lake's ecosystem functioning and to determine if the lake system showed recovery after the water replenishment activities were terminated. We applied a multi-proxy approach and developed a quantitative reconstruction of the total amount of phosphorus using diatoms and hypolimnetic dissolved oxygen with chironomid-based transfer functions along with the indicative properties of Cladocera. The plant succession in the lake and catchment was reconstructed using pollen analysis. The results were supplemented with a geochemical analysis, which helped to detect changes in the relative supply of organic and inorganic sediment material, as well as variability in the organic matter sources. The geochemical analysis also provided further evidence of changes in the lake's trophic state.

#### 2. Materials and Methods

#### 2.1. Study Site

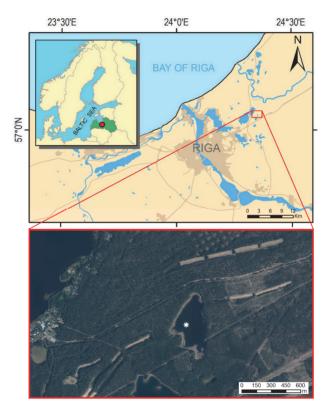
Lake Sekšu  $(57^{\circ}03' \text{ N}, 24^{\circ}35' \text{ E}, \text{Figure 1})$  is a small (surface area 7.9 ha), shallow (the average and maximum depth is 2.5 m and 6 m, respectively) lake at an elevation of 2.5 m a.s.l., located in the vicinity of the capital city of Riga, central Latvia, Northern Europe [11,12]. The average annual air temperature (1981–2010) in areas close to the Baltic Sea coast is +6.8 to +7.4 °C. July is the warmest month of the year,

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with an average air temperature +17.4 °C (average maximum +22.3 °C). The coldest month of the year is February, with an average air temperature of -3.7 °C. The annual rainfall is 692 mm. According to the data on climate change, the air temperature and precipitation in the area are increasing [13].

The lake is mostly surrounded by inland dune forest and dominated by pine growing on sandy soil. The southern part of the lake is dominated by birches growing on organic soils [14]. The lake has no runoff apart from a small, shallow ditch that transports humic substances to the lake. In this area, there is also a peat soil-based, meliorated forest [9,13].

According to the EU Water Framework Directive criteria (total phosphorus, Secchi depth, and chlorophyll *a*), the lake's current ecological status is good. The latest macrozoobenthos studies confirmed the lake's high biodiversity. Following Carlson's trophic state index, in terms of water transparency (SD), Lake Sekšu is a mesotrophic lake, while its chlorophyll *a* concentration (CA) indicates a eutrophic lake status. The average value of the indices corresponds to a eutrophic lake. Nevertheless, the lake still features low eutrophication. The main problem for such lakes is a loss of transparency [15].



**Figure 1.** Lake Sekšu location on map. Asterisk indicates deepest part of the lake where sampling occurred.

## 2.2. Materials and Methods

# 2.2.1. Lake Sediment Coring

A 46 cm sediment core from the deepest part of Lake Sekšu was taken on 13th February 2019 using a Kayak/HTH gravity-type corer. The sediment core was divided in the field into 1 cm sections and stored in a cold room. In the laboratory, 1 cm<sup>3</sup> subsamples of fresh sediment from each section

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were taken for analysis of the biological (Cladocera, Chironomidae, diatoms, pollen) and spheroidal carbonaceous particles (SCPs). Dried material was used for <sup>137</sup>Cs/<sup>210</sup>Pb dating, as well as chemical and physical analysis.

## 2.2.2. Core Chronology

A sediment core from Lake Sekšu was dated with  $^{137}$ Cs and  $^{210}$ Pb at the Geochronology Laboratory at the Gdańsk University according to the standard procedure [16]. The activity of  $^{137}$ Cs and  $^{226}$ Ra was determined directly by gamma-ray spectrometry. Gamma measurements were carried out using a HPGe well-type detector (GCW 2021, Canberra) coupled to a multi-channel analyzer and shielded by a 15 cm thick layer of lead. Counting efficiency was determined using reference materials (CBSS-2 for  $^{137}$ Cs at 661.6 keV, and RGU-1 for  $^{226}$ Ra via  $^{214}$ Pb at 352 keV) with the same measurement geometry as the samples. The counting time for each sediment sample was 24 h.

The activity of total <sup>210</sup>Pb was determined indirectly by measuring <sup>210</sup>Po using alpha spectrometry. Dry and homogenized sediment samples of 0.2 g were transferred into Teflon containers, spiked with a <sup>209</sup>Po yield tracer, and digested with concentrated HNO<sub>3</sub>, HClO<sub>4</sub>, and HF at a temperature of 100 °C using a CEM Mars 6 microwave digestion system. After 24 h, the solution was transferred into a Teflon beaker, evaporated with 6 M HCl to dryness, and then dissolved in 0.5 M HCl. Polonium isotopes were spontaneously deposited within 4 h on silver discs. After deposition, the discs were analyzed for <sup>210</sup>Po and <sup>209</sup>Po using a 7200-04 APEX Alpha Analyst integrated alpha-spectroscopy system (Canberra) equipped with PIPS A450-18AM detectors. The samples were counted for 24 h. A certified mixed alpha source (<sup>234</sup>U, <sup>238</sup>U, <sup>239</sup>Pu, and <sup>241</sup>Am; SRS 73833-121, Analytics, Atlanta, Georgia, USA) was used to check the detector counting efficiencies, which varied from 30.9% to 33.9% for the applied geometry.

In addition, an abundance of spheroidal carbonaceous particles (SCPs) was estimated throughout the sediment sequence following the methodology of Rose [17] and Alliksaar [18]. The analysis was performed in the Department of Geography, University of Latvia. According to the black carbon combustion continuum model of Hedges et al. [19] and Masiello [20], SCPs only form during industrial fuel combustion at high temperatures (greater than  $1000\,^{\circ}$ C). Therefore, the peak followed the fuel combustion pattern: 1950—the rise of SCPs, 1982—the peak of SCPs, and 1991—the decrease of SCPs. The peak SCP emissions were previously established for Latvia at 1982  $\pm$  10 years [21].

In the final step, we combined the results from the radionuclides and the SCP analyses to build an age-depth model using the Clam 2.2 deposition model [22] with a 95.4% confidence level in the R environment [23].

# 2.2.3. Physical and Chemical Sediment Analyses

Sediment geochemical characteristics were determined using loss on ignition (LOI) combustion analysis and inductively coupled plasma-optical emission spectrometry (ICP-OES). Altogether, 45 subsamples at 1 cm intervals were analyzed, but only the topmost two sediment samples were merged into one sample representing depths from 0 to 2 cm. The sediment organic matter and carbonate content were investigated using the LOI method [24]. A measure of 0.1–0.2 g of fresh sub-sample sediment was weighed in a crucible and dried at 105 °C for 12 h; then, it was combusted at 550 °C for 4 h and, finally, at 950 °C for 2 h. Between each step, the samples were cooled with an exicator and weighed. The organic matter (OM) content was measured as the LOI from the combusted samples at 550 °C, and the carbonate matter (CM) was calculated as the difference between the LOI at 950 °C and the LOI at 550 °C multiplied by 1.36 [24]. Non-carbonate siliciclastic matter, here referred to as minerogenic matter (MM) content, was obtained by subtracting OM and CM from the total sample weight after final combustion. MM also includes biogenic silica (opal). However, siliciclastic matter here mainly represents terrigenous clastic matter.

A set of homogenized sub-samples was freeze dried and powdered using an agate mortar. The total carbon (TC) and total nitrogen (TN) contents were analyzed using thermal combustion elemental analyses (Element Analyzer Vario EL III) with an uncertainty of ±5%. Approximately 7–8 mg

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of prepared material was weighed into tin cups for analyses that were performed at an accredited laboratory (ISO/IEC/17025).

For the ICP-OES analyses, 0.1–0.2 g of dry sediment powder was weighed in Teflon vials, and 5 mL of 65% HNO<sub>3</sub> was added. The vials were closed loosely, and digestion was carried out at 160 °C for 30 min. Gases and volatiles from the digestion were allowed to escape through the cap. Following digestion, 10 mL H<sub>2</sub>O was added to dilute the remaining acid, and the vials were weighed to determine the dilution factor. A measure of 1 mL of the resulting solution was then pipetted into 15 mL centrifuge tubes, and 9 mL 0.5 mol/l HNO<sub>3</sub> was added. These steps were performed to give the samples a final acid concentration of approximately 1 mol/l HNO<sub>3</sub> and to have the element concentrations in a suitable range for the ICP-OES analysis. The sulfur (S) concentration was determined by ICP-OES (Thermo Scientific iCAP 6000) using a concentric nebulizer. Certified control samples and blank samples were used to ensure the quality of the analytical process.

## 2.2.4. Pollen and Non-Pollen Palynomorphs

Samples of known volume for the pollen analysis were processed using standard procedures [25]. Known quantities of *Lycopodium* spores were added to each sample to allow the calculation of pollen concentrations [26]. At least 500 terrestrial pollen grains per sample were counted under a light microscope (400× magnification). Taxa were identified to the lowest possible taxonomic level using the reference collection at the Department of Geography at the University of Latvia along with published pollen keys [27]. The percentage of dry-land taxa was estimated using arboreal and non-arboreal pollen sums (excluding the sporomorphs of aquatic and wetland plants). Counts of spores were calculated as the percentages of the total sum of terrestrial pollen. Non-pollen palynomorphs were recorded throughout the pollen analysis and identified using the published literature listed in Miola [28], as well as from the descriptions of Sweeney [29] and Finsinger and Tinner [30]. Non-pollen palynomorphs were expressed as presence or concentrations. The pollen diagram was compiled using TILIA software [31].

#### 2.2.5. Diatom Analysis

Samples were prepared according to the standard methods [32]. The material was treated with 10% HCl to remove calcareous matter, washed with distilled water, and then treated with 30% H<sub>2</sub>O<sub>2</sub> in a water bath to remove organic matter. The material was repeatedly washed with distilled water, and a known number of microspheres in a solution (concentration  $8.02 \times 10^6$  microspheres/cm<sup>3</sup>) was added to the diatom suspensions to estimate the diatom concentrations [33]. A few drops of diatom suspension were dried on a cover glass. At least 300 diatom valves per sample were analyzed using oil immersion at  $1000\times$  magnification under a light microscope. For identification, a selection of published keys was used [34–41].

The diatom ecological groups were determined using the OMNIDIA software (Version 4.2) [42]. Next, the resulting groups were distinguished according to Denys [43] and van Dam [44]. We considered the following indicator parameters: habitat category [43], dominant taxa (abundance over 2%) and preference for pH, saprobic level (OM contamination), and trophy [44]. The percentage diatom diagram was prepared with the Tilia software [31].

The total phosphorus (TP) concentration was reconstructed based on changes in the diatom species composition (DI-TP). The reconstruction was performed using the European Diatom Database (EDDI) in the ERNIE software [45]. A model based on inverse regression had a root mean square error of prediction (RMSEP) of 0.33  $\mu$ g L<sup>-1</sup> and a coefficient of determination ( $r^2$ ) of 0.64. The reconstruction of the TP was based on the diatom taxa present at more than 2% abundance. The DI-TP was calculated using the combined TP dataset (derived from nine datasets with 347 samples in total), covering a TP range of 2–1189  $\mu$ g L<sup>-1</sup>, with a mean of 98.6  $\mu$ g L<sup>-1</sup>. The weighted averaging (WA) method with good empirical predictive ability was used [45].

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## 2.2.6. Cladocera Analysis

The 46 fresh sediment samples were prepared in a laboratory according to the standard procedures [46], heated in 10% KOH, and sieved using a 38  $\mu$ m mesh size. Microscope slides were prepared from 0.1 mL of each sample and examined with a light microscope under magnifications of  $\times 100$ ,  $\times 200$ , and  $\times 400$ . For each sample, 1–3 slides were scanned, and all skeletal elements (head shields, shells, and postabdomens) were counted until 70–100 individuals were found, which is regarded as an adequate number to characterize the assemblages [47]. Identification of the cladoceran remains was based on the key by Szeroczyńska and Sarmaja-Korjonen [46]. The stratigraphic diagrams presenting the results were prepared using C2 freeware [48].

The Cladocera composition is presented in the stratigraphic diagrams with percentage values. For trophic state reconstruction, we used changes in the percentage of species regarded as indicators for the eutrophic state, which, according to Flössner [49], are *Alona rectangula* and *Chydorus* cf. *sphaericus*. Lake water level changes were reconstructed by using the added percentage values of planktonic and littoral cladocerans.

## 2.2.7. Chironomidae Analysis

Standard methods were applied in the fossil Chironomidae analysis [50]. The wet sediment was sieved through mesh (100  $\mu$ m), and the residue was examined under a stereomicroscope for larval head capsule extraction using a target counting sum of 50 per sample. The head capsules were mounted with Euparal on microscope slides for taxonomic identification following Brooks et al. [50] under a light microscope (400× magnification).

Hypolimnetic dissolved oxygen (DO) was reconstructed using a Finnish 30-lake chironomid-based calibration model [51,52]. The calibration sites range from anoxic ( $O_2 = 0.5 \text{ mg L}^{-1}$ ) to hypersaturated sites ( $O_2 = 18.1 \text{ mg L}^{-1}$ ). The weighted averaging partial least squares (WA-PLS) model had an  $r^2$  (leave-one-out cross-validation) of 0.74 and an RMSE P of 2.3 mg L<sup>-1</sup>.

## 3. Results

## 3.1. Core Chronology

The depth profile of <sup>137</sup>Cs activity is long and smooth, with only one wide maximum between a 28 and 38 cm sediment depth (Figure 2). The sharp decrease below 41 cm indicates the presence of sediments older than 1950 below this depth. The lack of two independent peaks indicating global fallout during 1961–1965 and the Chernobyl peak in 1986 might suggest the deep mixing of surface sediments.

However, the excess  $^{210}$ Pb activities decrease regularly with mass depth, which demonstrates no significant disturbance of the sediment column and relatively stable mass accumulation rates. Thus, we used the CFCS (Constant Flux Constant Sedimentation) model to calculate the mean value of the mass accumulation rate (MAR) for the entire core. The mean MAR value is  $34.5 \pm 2.2$  mg/cm²/yr and allows us to estimate a maximum age of  $84 \pm 5$  yrs ( $1935 \pm 5$ ) at a 45.5 cm sediment depth. The age of the depth interval with the greatest  $^{137}$ Cs activities (37-32 cm) is 1956-1965 according to the CFCS model, which is consistent with global fallout history. This comparison suggests that the lack of a Chernobyl peak is related to  $^{137}$ Cs migration within the sediment column rather than physical sediment mixing.

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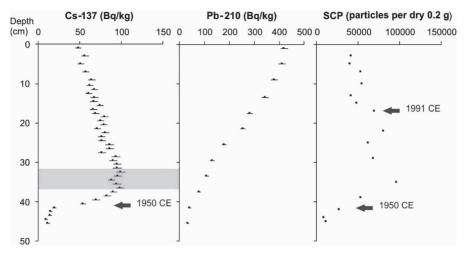
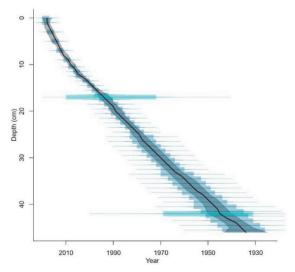


Figure 2. Downcore distribution of  $^{137}$ Cs and  $^{210}$ Pb activities as well as spheroidal carbonaceous particles (SCP) concentrations in the Lake Sekšu topmost sediments. The grey shaded area on the caesium diagram indicates time period 1956–1965 CE.

Based on the SCP results, it was possible to define the years 1950 and 1991 with an error of  $\pm 10$  years at depths 42 and 17 cm, respectively. The locations of these peaks are, with relative certainty, consistent with the  $\pm 137$ Cs and  $\pm$ 

The final age-depth model, including the radionuclide and SCP data, shows relatively stable sedimentation rates with only a moderate increase in the topmost part of the profile (Figure 3). The maximum age of sediment at a depth of 46 cm is  $84 \pm 9$  yrs (95%). The mean sedimentation rate is 0.6 cm per year.

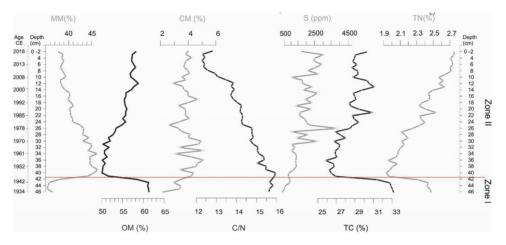


**Figure 3.** Lake Sekšu age depth model. The black solid line shows the weighted mean ages of all depths, whereas the grey area indicates a reconstructed 95% chronological uncertainty band. Dates of <sup>210</sup>Pb (pale blue boxes) and spheroidal carbonaceous particles (electric blue boxes) with their error margin and associated age-depth model uncertainties are displayed.

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## 3.2. Sediment Composition

The Lake Sekšu sediment composition is dominated by organic matter (50%–61%) with a significant component of minerogenic matter (35%–46%, Figure 4). The sediments contain 2%–5% carbonate matter. Based on sediment composition and the variation in element concentrations, the sedimentary data are divided into two zones, Zones I and II, which correspond to the approximate time period before 1950 and between 1950 and 2018. Within Zone II, short-lived events can be distinguished.



**Figure 4.** Lake Sekšu Sediment composition: minerogenic matter (MM), organic matter (OM), carbonate matter (CM), C/N expressed as atomic ratio, sulfur (S), carbon (TC), nitrogen (TN), and the division in two zones based on major changes in sedimentary composition.

# Zone I

The relative organic matter (OM), total carbon (TC), and total nitrogen (TN) content show high values in this time period (Figure 4). The OM is around 61%, while TC is around 32%, and TN is around 2.5%. The C/N value, expressed as the atomic ratio, is around 15.7. The sulfur (S) content is approximately 500 ppm. The relative minerogenic matter (MM) (36%) displays the lowest values, while approximately 3.5% is carbonate matter (CM).

## Zone II

At the boundaries of Zone I and Zone II, a large change occurs. The OM content shows a sudden drop from 61% to 51% accompanied by a fall in TC and TN content from 33% to 27% and from 2.45% to 1.95%, respectively. Simultaneously, the MM content increases from 36% to 45%. After this rapid change, a steady gradient emerges from 41 cm to the sediment surface, during which MM, OM, and TC gradually reset towards their Zone I value. However, TN increases over the same period to values far exceeding those of Zone I (approximately 2.8% at the sediment surface, Figure 4). Consequently, C/N displays a continuous gradient towards lower values throughout the entire core. Similarly, S content increases gradually throughout the entire core, with some pronounced variability within Zone II.

### 3.3. Pollen and Non-Pollen Palynomorphs

There is no significant change in surrounding vegetation before or after the pipe installation. The vegetation includes the stable dominance of pine (*Pinus*), birch (*Betula*), spruce (*Picea*), and alder (*Alnus*) over the studied period (Figure 5). The presence of conifer stomata in the lake sediment supports local abundance of spruce and pine. Although, the surrounding landscape is forested, there is continuous evidence of human-activities in the vicinity. For instance, the presence of pollen of flax (*Linum*), rye (*Secale cereale*), barley (*Hordeum*), and wheat/oat (*Triticum/Avena*) is a direct indication of agricultural practices. Our results also underline that there have not been agricultural fields directly

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at the Sekšu lake shores, but only further away, as evidenced by the high (nearly 85–90%) forest pollen component in the landscape. It is interesting that the rye pollen accumulation rates above  $1000 \, \mathrm{cm^{-2}} \, \mathrm{year^{-1}}$  (Figure 6) point to cereal fields within a 2 km radius of Lake Sekšu [53,54]. Based on USSR topographic maps from the time period of 1941–1991, the cereal fields could be present in the northwest and east of the Sekšu Lake where pastoral activities have also been evident. It is, however, possible that the high concentration of rye pollen can be partially a result of soil erosion and water pumping (enlarging the pollen source area outside the watershed) into the Lake Sekšu. This assumption is further supported by a strong increase of fungi hyphae and corroded pollen grains.

Regarding in-lake vegetation, lake quillwort/Merlin's grass (*Isoètes lacustris*) was recorded throughout the sediment sequence. The highest relative abundance of *I. lacustris* was recorded prior the pipe installation, after which values continuously declined and did not reach previous values. Sporadically, the pollen of water lilies (*Nymphaeaceae*), bulrush (*Typha*), spiked water-milfoil (*Myriophyllum spicatum*), pondweed (*Potamogeton*), and bur-reed (*Sparganium*) were found.

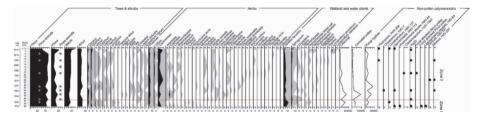
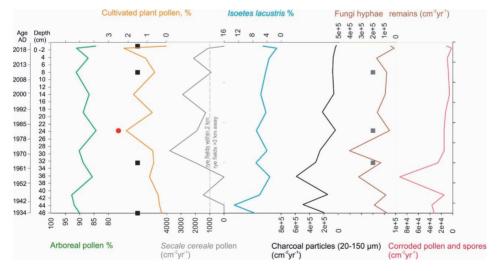


Figure 5. Pollen and non-pollen palynomorphs of Lake Sekšu. Trees, shrubs, herbs, crops, ruderal plants, bryophytes, and water plants expressed in percentages. Non-pollen palynomorphs and charcoal expressed as microscopic object accumulation rate cm $^{-2}$  year $^{-1}$ . Grey shaded areas indicate  $\times 10$  time exaggeration to underline the presence of microscopic remains.

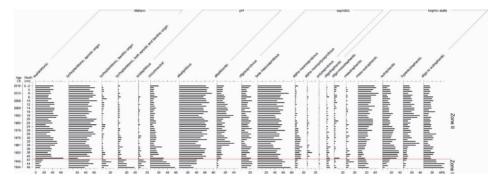


**Figure 6.** Selection of pollen and non-pollen palynomorphs, from the left: arboreal pollen (%), cultivated plant pollen (%), secale-cereale pollen (cm $^{-2}$  yr $^{-1}$ ), *Isoëtes lacustris* (%), charcoal particles (cm $^{-2}$ yr $^{-1}$ ), fungi hyphae remains (cm $^{-2}$ yr $^{-1}$ ), and corroded pollen grains and spores (cm $^{-2}$ yr $^{-1}$ ). The presence of whipworm in the sample is marked with a red circle, the presence of herbivores with black squares and the presence of *Glomus* spores indicating erosion with grey squares.

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## 3.4. Diatom Analysis

The results show a medium to deplorable state for the diatom frustules, with numerous traces of destruction and dissolution. In total, 178 species of diatoms were identified. There were 47 dominant taxa, whose share was more than 2% of the relative abundance. Based on the changes in species composition and the proportions between the ecological diatom groups, the data are divided into two zones, Zones I and II, which closely correspond to the geochemical zones (Figures 7 and 8). Species were classified according to their habitat category, preference for pH, saprobic state, and trophic state (Figure 7). The total phosphorous (TP) reconstruction presented values between 21.43 and  $102.14 \,\mu g \, L^{-1}$  (Figure 11).



**Figure 7.** Relative abundance of the diatom group in Lake Sekšu according to habitat category (lifeform), pH, OM contamination (saprobic), and trophy preference.

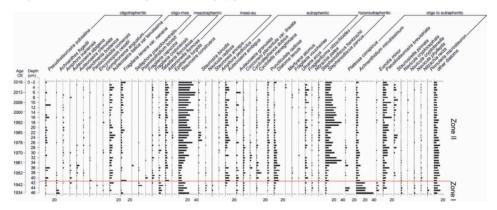


Figure 8. Relative abundance of dominant diatom species in Lake Sekšu (species with values >2%).

### Zone I

This zone was characterized by the dominance of tychoplanktonic taxa, especially *Staurosira construens* and *Achnanthidium minutissimum* (Figure 8). In terms of pH, circumneutral taxa dominated. At the beginning of the phase, we observed an increase in alkaliphilous diatoms. The analysis of saprobic preferences revealed the domination of  $\beta$ -mesosaprobous diatoms. In terms of its trophic state, a high proportion of oligo to eutraphentic taxa was observed. The TP reconstruction remained low, between 21.43–57.66  $\mu$ g L<sup>-1</sup> (Figure 11).

## Zone II

Several short-term fluctuations in species composition were observed. Around 1950, the planktonic taxa (euplanktonic) increased by up to 65%, represented by *Asterionella formosa*, *Aulacoseira ambigua*, *A. granulata*, *Diatoma tenuis*, *Fragilaria nanana*, *Cyclotella planktonica*, *Handmania bodanica*,

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and *Pantocsekiella comensis* (Figure 8). The alkaliphilous species dominated the pH group, with an abundance between 38.7 and 76.5 R. Around 1950, the  $\alpha$ -mesosaprobous species increased by 0.3%–17.0%. An increase in eutrophic and hypereutrophic taxa was also observed (Figure 7). The TP reconstruction values increased up to 102.14  $\mu$ g L<sup>-1</sup> (Figure 11).

## 3.5. Cladocera Analysis

The remains of 44 cladoceran species were found (Figure 9). Throughout the core, the dominant planktonic species were *Bosmina* (*Eubosmina*) spp. and *Bosmina* (*Bosmina*) longirostris, while among the littoral species, *Chydorus* cf. *sphaericus*, *Alona quadrangularis* and *Alonella nana* dominated. The overall species composition changes, as well as the indicator species appearance and continuation, allowed us to distinguish between Zones I and II, which correspond to the time before and after the pipe started to operate (Figure 9).

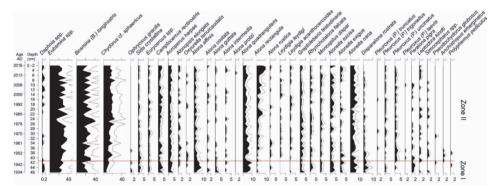


Figure 9. Relative abundance of the Cladocera species in Lake Sekšu.

#### Zone I

The littoral cladocerans comprised more than 50% of all species, among which *Alona affinis*, *A. quadrangularis*, and *Alonella nana* dominated. The Cladocera eutrophic indicator, which is based on the littoral species, showed the lowest values in the sequence. The rare species *Ophryoxus gracilis* was present almost continuously. Among the pelagic species, *Bosmina* (*E.*) spp. dominated, and *Daphnia* spp. remains were constantly present.

## Zone II

During this time, several fluctuations in the species share and Cladocera indicator are observable. The pelagic species constitute more that 50% of all species until 2014 CE. However, an increase in the littoral taxa share was noted at the beginning of 1950, 1960, 1980, and ~1995. Next, *Chydorus* cf. *sphaericus* becomes the dominant littoral species. After 2014 CE, a clear decrease of pelagic species and an increase of littoral species occurred, and littoral *A. rectangula* started to dominate. The trophic indicator increased from around 1950 CE, but even more visibly from the ~1978 (Figure 9). The highest values of the indicator species were observed almost continuously from ~2010 until present.

## 3.6. Chironomidae Analysis

In the 45 sediment samples, 52 different chironomid taxa were observed. None of the taxa occurred in all the samples. The *Polypedilum nubeculosum*-type was the most frequent, as it was observed in 40 samples. The highest maximum abundance values belonged to *Procladius* (35.3%), *P. nubeculosum*-type (31.4%), and *Heterotanytarsus apicalis* (30.0%). The *P. nubeculosum*-type also had the highest mean abundance (9.3%), followed by the *Psectrocladius sordidellus*-type (7.9%) and the *Tanytarsus pallidicornis*-type (6.6%) (Figure 10). The hypolimnetic oxygen reconstruction showed values between 0.2 and 15.7 mg  $L^{-1}$  (Figure 11).

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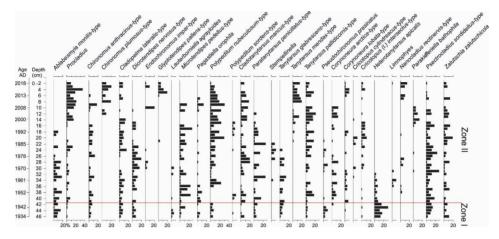


Figure 10. Relative abundance of Chironomidae in Lake Sekšu sediment.

### Zone I

The initial part of the sediment profile (before  $\sim$ 1950 CE) was dominated by oligotrophic taxa, such as *H. apicalis* and the *Ablabesmyia monilis*-type. The hypolimnetic oxygen reconstructed values remained high at  $\sim$ 10 mg L<sup>-1</sup>.

### Zone II

In the middle portion of the sediment profile (1950–1990 CE), the *P. sordidellus*-type, which is a common species, was the most abundant. From 2000 CE onwards, *Procladius*, which prefers nutrient enriched waters, began to dominate. During the most recent years, the eutrophy indicating the *Chironomus plumosus*-type and *Glyptotendipes pallens*-type also significantly increased. From the 1950s until the 1980s, the oxygen values decreased to a level of  $\sim$ 4–8 mg L $^{-1}$ . The hypolimnetic oxygen reconstructed values remained at  $\sim$ 4 mg L $^{-1}$  from the late 1980s until 2010, after which the values further decreased to anoxic levels in recent years.

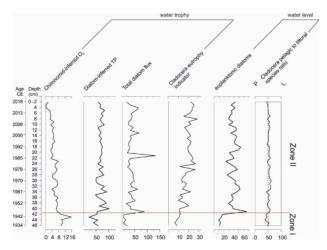


Figure 11. Comparison of indicators used to reconstruct trophic state changes: chironomid-inferred hypolimnetic oxygen reconstruction ( $O_2$ ) mg  $L^{-1}$ , diatom-inferred total phosphorus reconstruction (TP)  $\mu$ g  $L^{-1}$ , total diatom flux (frustules  $\times$   $10^6$  /cm²/yr¹), Cladocera based eutrophy indicators, and water-level changes indicators, such as the euplanktonic diatoms (%) and pelagic (P) to littoral (L) Cladocera species ratio (%).

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## 4. Discussion

Lake Sekšu exemplifies how environmental changes have occurred, in this case, as a result of artificial water pumping station activities, and also shows how such changes at the trophic level can have a lasting influence on lake functioning. In 1953 CE, the pipe was built and for 12 years (1953–1965) pumped water to Lake Sekšu from the large eutrophic lake, Mazais Baltezers. Our results clearly show that this action persistently changed the lake's ecosystem by causing increased eutrophication.

A major cause of eutrophication is an increase in the nutrient supply, particularly phosphorus [55]. Besides excessive plant production, algal blooms, and anoxia, the lake community structure changes through cascading trophic effects and benthic-pelagic coupling [56,57]. Another stressor controlling ecosystem functioning is water level fluctuations, which are essential for aquatic–terrestrial shared boundary processes. The physical and biological effects are especially pronounced in the littoral zone and in shallow water ecosystems in general (e.g., erosion, sedimentation, habitat alterations, and biota changes) [58]. Furthermore, erosion is a source of enhanced nutrient supply, and a greater sediment load decreases water quality. An increase of suspended solids reduces water transparency and increases turbidity, which negatively affects the photosynthesis processes but may also reduce the density of fish and invertebrates [56,59].

The Time Before ~1950

Before the pipe started to operate in 1953 CE, Lake Sekšu was a low productivity lake. However, the Cladocera community composition found in our research and in a study by Kuptsch [60] shows that already at the beginning of our core (~1935), the lake trophy had increased compared to 1924. This increase is indicated by a disappearance of the oligotrophy indicating *Holopedium gibberum* and the appearance of the increasing trophy indicator, *Bosmina* (*Bosmina*) longirostris [61–65].

The oldest available investigations from 1924 report a transparency of 5.8 m in the middle of June [60], i.e., almost throughout the lake. It was also reported that Lake Sekšu is one of the 14 lakes located in the vicinity of Latvia's capital city, Riga, that are *Lobelia–Isoëtes* population dominated lakes [66]. At the beginning of 20 century, there were at least three species typical for *Lobelia–Isoëtes* lakes found in Lake Sekšu—*L. dortmanna, Isoëtes lacustris*, and *Juncus bulbosus* [67]. Our research revealed the presence and high share of lake quillwort pollen *Isoëtes lacustris*, as well as Cladocera species *Ophryoxus gracilis*, around 1935 (Figure 9). *Ophyroxus gracilis* is a species characteristic of deep, transparent lakes, indicating low/moderate trophy [64,68]. Flössner [49] considers *O. gracilis* a species typical for *Lobelia–Isoëtes* lakes.

The diatom-based total phosphorus (TP) reconstruction for Lake Sekšu indicates values between  $25–50~\mu g~L^{-1}$  before the pipe started to operate (Figure 11), which, according to the OECD (Organisation for Economic Cooperation and Development) classification, indicates a meso- to eutrophic type lake [69]. However, this reconstruction seems to overestimate the TP values when considering the other paleoindicators. This might be due to weak diatom assemblage analogues [70–73]. Nevertheless, the diatom-inference model closely reflected a significant trend in the measured TP, namely, declining TP values before pipe installation and increasing TP values after pipe installation.

The low trophy status is confirmed by the high share of oligotraphentic diatoms and dominance of Chironomidae oligotrophic taxa [74,75]. The well oxygenated hypolimnetic water (values 9–16 mg  $L^{-1}$ , Figure 11) was inferred by chironomid-based reconstruction and supports a low trophic status. The chironomid-inferred oxygen values remained at a high level (>8 mg  $L^{-1}$ ) from the beginning of the record until the mid-1940s, when a step change to a lower level (4–8 mg  $L^{-1}$ ) between the 1950s and early 1980s) occurred. The relative oxygen saturation exceeded 50% [56]. Lake Sekšu was slightly acidic to neutral at that time, indicated by the dominance of acidophilous and circumneutral diatoms [76–79].

The geochemical results support the conclusion of low trophy before the construction of the pipe. However, at that time, the sediment was dominated by organic matter (OM) (Figure 4) with a very high concentration of carbon compared to other lakes in the region [80–83]. The high carbon/nitrogen (C/N) values suggest a significant contribution of terrigenous OM. Atomic C/N values of phytoplankton vary between 4 and 10, while cellulose-rich vascular plants have C/N ratios of more than 20 [84,85].

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Therefore, high C/N rates are expected for oligotrophic lakes with low autochthonous production, and the values observed here are consistent with those of the densely forested catchment of Lake Sekšu. The low water levels of this period due to water pumping, and the subsequent water level decreases as early as 1930, may also have led to the concentration of OM in the coring location due to erosion from exposed organic rich littoral sediments from the lake. The water level in 1930 was reported to be very shallow at 1 m a.s.l [86]. The dominance of littoral over planktonic Cladocera confirms this information [87]. In addition, we reconstructed the high hypolimnetic water's oxygen values (Figure 11), which suggest that the sediment was exposed for efficient bioturbation. Water mixing is also confirmed by the dominance of tychoplanktonic diatoms, i.e., random planktonic diatoms, which require turbulent waters to remain suspended within the photic zone [77,88,89].

The Time Between ~1950-2018

The Lake Sekšu water level was artificially increased by pumping water from Lake Mazais Baltezers starting in 1953 CE in order to elevate the ground water level. Apart from the physical changes caused by an increased water level, this action also caused significant changes to the lake's ecology and functioning. The lake became eutrophic according to the diatom-inferred TP values around  $100~\mu g~L^{-1}$  [69]. During the period of artificial water pumping, until 1965, a high P concentration existed at the water column. After the pipe operation was terminated, the TP decreased to about  $70~\mu g~L^{-1}$  until the present, indicating that elevated trophy continued. According to our results, the additional nutrient load increased the presence of planktonic eutrophic–hypereutrophic diatoms (Figure 7), which, in turn, decreased water transparency. For instance, in August 2013, the Secchi depth was 2.1 m [15], while in 2019, in April, June, and October, it was 1.6 m, 1.6 m, and 1 m, respectively [90].

The total diatom flux (Figure 11) shows an increase, suggesting higher primary production [91–93]. As a result, the *I. lacustris* population greatly decreased. In turn, eutrophic-indicating macrophyte species, such as pondweed (*Potamogeton*), reed (*Typha*), and water lily (*Nuphar*), started to flourish in the lake (Figure 6). *Lobelia–Isoëtes* lakes indicating species have disappeared from Lake Sekšu according to botanical observations [67].

Further evidence of the rapid shift towards higher trophy during artificial water pumping is provided by the other biological and geochemical results. The Cladocera eutrophic indicator, based on the species living in the littoral zone (*Alona rectangula* and *Chydorus* cf. *sphaericus*), increased, thereby indicating eutrophic conditions until the most recent sample (Figure 11). Simultaneously, the higher trophy in the pelagic zone is indicated by the increase of the *Bosmina* (*B.*) *longirostris* share. This species is associated with higher concentrations of TP [65,94].

The sudden change in the relative minerogenic matter and OM input coincides with the implementation of the pipeline and water pumping from Lake Mazais Baltezers to Lake Sekšu. The increased MM content of around 10% indicates substantial changes in the sediment sources. The pipe was installed tens of meters from today's lake shore, and flowing waters likely eroded the substrate—sandy soil that is prone to erosion. A comparable increase occurred in carbonate content, which also suggests intensified catchment erosion. The coeval decrease in OM accumulation, as well as carbon and nitrogen content, is likely a result of dilution by an excess MM supply (Figure 4). Despite the prominent relative changes in sediment composition, no evidence of significant increases in the total sedimentation rate are observable. This could be explained by a sudden change from the erosion of previous littoral sediments at a low-water level stage to channel erosion of the ditch, as well as erosion higher on the shore where no former lake sediments exist. Another indicator of the highly dynamic environment after the pipe started to operate is the increased share of corroded pollen grains [95]. The erosion of the shores is also indicated by the presence of herbivores (via coprophilous fungal spores), Fungi hyphae remains, and *Glomus* spores [54,96].

Pumping caused a significant elevation of the water level from 1 m a.s.l up to 4.5 m a.s.l [86], which is also reflected in the paleolimnological results. The higher water stand is expressed by the larger share of euplanktonic diatoms [97,98] and the dominance of pelagic over littoral Cladocera species [87] (Figures 9 and 11). However, the fluctuation of water level during the whole 12-year period

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can be deduced from the different share of the Cladocera pelagic/littoral taxa [87]. Both the increasing and decreasing water levels are related to increased catchment erosion [99–101]. The variable MM and carbonate matter (CM) content during the period of external water pumping indicate that fluctuating water levels enhanced catchment erosion in addition to channel erosion of the ditch from the pipe-end to the lake.

The C/N ratio (Figure 4) began to decrease soon after the major sedimentary change occurred, which suggests a steadily increasing proportion of phytoplankton in the total organic matter up to the present day. This is consistent with the gradual eutrophication of the lake, as indicated by the microfossil data. The sediment sulfur (S) profile also provides indirect evidence of eutrophication. Sulfur in lake sediments may be derived from natural processes, such as the weathering of sulfur-bearing rocks and the oxidation of organic sulfur in the catchment [102]. However, changes in S contents in recently deposited lake sediments generally indicate the balance between anthropogenic inputs and the rate of sulfate reduction leading to iron sulfide precipitation in sediments [103]. The steady increase in sedimentary S towards the present day suggests an additional input of sulfate from Lake Mazais Baltezers during the period of pumping, followed by enhanced iron sulfide precipitation in anoxic, OM-rich sediments during subsequent eutrophication. Eutrophication due to sewage water input from Lake Mazais Baltezers was suggested by Leinerte [10] (p.2) and is further supported by the high abundance of  $\alpha$ -mesosaprobous diatoms [104,105]. This diatom group flourishes under the lower oxygen saturation in the water column [44].

In the middle part of the sediment record, representing the early 1980s, a threshold change can be observed in the chironomid-inferred hypolimnetic oxygen values (Figure 11). At this point, the values decreased from the previous level of 4–8 mg L $^{-1}$  (between ~1945 and 1980) to a constant level of 4 mg L $^{-1}$ , which prevailed until the 2010s. This is supported by the rapid decrease in hypolimnetic oxygen levels reconstructed from the chironomid data and recent measurements in April and June 2019, when the hypolimnetic oxygen concentration was 4.0 mg L $^{-1}$  and 0.1 mg L $^{-1}$ , respectively [90]. Jansons [9] (p. 27) and Zarina [15] (p.41) reported an amount of oxygen close to zero or zero in the hypolimnion of the deepest part of the basin, which agrees well with our reconstruction. Oxygen depletion was likely also favored by the water depth increase from <3 meters to 8 meters. Deeper waters reduced wind-driven mixing and enabled efficient thermal stratification.

From around 2000 CE, the water level lowered, reaching 2.5 m a.s.l. at present. This might have increased sedimentary nutrient release, further favoring eutrophication [58]. This higher productivity is indicated by the increase of the Chironomidae species *Procladius*, which prefers nutrient-rich waters [106] (Figure 10), but is also evidenced by the higher values of diatom-inferred TP (Figure 11). The lowering of the water level inferred from the Cladocera pelagic/littoral species ratio was also confirmed by the local authority [107]. The water level decrease and water trophy increase resulted in oxygen depletion in the hypolimnion, which was revealed by the chironomid reconstruction and recent measurements. Another threshold change based on the chironomid reconstruction occurred during the most recent decade, when the values decreased from a level of 4 mg  $\rm L^{-1}$  (between the ~1940s and 2010s) to <2 mg  $\rm L^{-1}$  (Figure 11). Such a decrease in oxygen concentration is ecologically highly significant for chironomid larvae [108].

The lake continues to be significantly overgrown with water plants, which is evidenced by the slight increase in the abundance of the Cladocera phytophilous species, *Alonella exigua*, and *A. excisa* [49,109,110]. More sand and mud associated species (*Rhynchotalona falcata*, *Leydigia* spp., and *Ilyocryptus* sp.) have joined the existing community since 1924 [58]. The lake surface pH in 2019 varied within a season from 7.9 to 8.4 [88], which is consistent with previous studies [9,15].

Due to inappropriate water resource management, many lakes in Riga and its vicinity have lost their high ecological quality. The pumping of water from the eutrophic Lake Mazais Baltezers into the low trophic Lake Sekšu increased nutrient inflow and pushed the lake over the threshold, causing eutrophication. Presently, Lake Sekšu continues to be overgrown with macrophytes such as *Phragmites australis*, *Nuphar* sp., and *Nymphaea* sp. [15]. Phytoplankton blooms can be observed in the

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lake. In 1995/1996, the majority of the cyanobacterial mass contained toxic species [111], while in 2002, Balode et al. [112] found no toxic species.

The "Baltezers" water pumping station is still in use. However, its contribution to the drinking water supply is not as significant as it was in previous years because other pumping stations were established, and additional resources for water supply were found [8].

### 5. Conclusions

The pumping of water from the eutrophic lake changed the water level and contributed to faster eutrophication in Lake Sekšu. Before the pipe began operations, the lake was shallow with low productivity and could be defined as a *Lobelia–Isoëtes* population-dominated biotope. The core studied represents the time period ~1935–2018. In 1953, the water level was artificially increased by pumping water from another lake. The sudden nutrient load increased the abundance of planktonic eutrophic–hypereutrophic diatoms, causing the lake water transparency to decrease and inducing hypolimnetic anoxia. The deterioration of the lake's ecological state, as indicated by the results of the biological analysis, was also confirmed by the geochemical results. Cladocera species indicating low trophy became suppressed or disappeared.

Reducing external nutrient loading is known as an important approach to improve the environmental state in small shallow lakes suffering from eutrophication. Nevertheless, in Lake Sekšu, after the water replenishment activities were terminated, the disturbance effect caused by the pumping continued to occur over decades, slowly changing assemblages of keystone species and the foodweb. This highlights the long legacy effects of eutrophication in lacustrine systems. Artificial restoration measures may, therefore, be considered as a strategy to accelerate the recovery of Lake Sekšu.

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# **Appendix 4**

# Manuscript I

Bakumenko, V., **Lanka, A**., Belle, S., Poska, A., Vassiljev, J., Alliksaar, T., Heiri, O., Veski, S. A 14 500 - year multi-proxy reconstruction of climate and environment change in Eastern Baltics: case study from Southern Estonia.

# A 14 500-year multi-proxy reconstruction of climate and environmental change in Eastern Baltics

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Keywords: Paleolimnology, Cladocera, Chironomidae, pollen, continentality

# **Abstract**

This study presents a 14,500-year high-resolution multi-proxy reconstruction of past climate and environmental changes from Lake Nakri in Southern Estonia. Estonia's geographical position at the intersection of maritime and continental climate zones and boreal and nemoral biomes makes it a highly suitable location for studying past small climate fluctuations. We used Cladocera, Chironomidae, pollen, and loss-on-ignition analyses to reconstruct mean July air temperatures, explore changes in continentality expressed in annual temperature range (ATR), to track environmental changes (trophy, pH, etc.) and lake ecosystem dynamics throughout the late glacial and Holocene. Using Cladocera remains to infer past changes in nutrient status, we found no evidence of significant shifts. Therefore, we conclude that the chironomid-based reconstruction was not biased by such changes. Chironomidae and pollen analysis results were used to reconstruct July air temperatures. The reconstruction curves are coherent and consistently reveal climate events, happened around the 8.2 ka, 7.5 ka, 5.5 ka cold events, Medieval Warm Period, and Little Ice Age. The exception to the otherwise consistent proxy pattern is that Chironomidae data reveal an earlier onset of Early Holocene

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warming compared with the pollen record. This discrepancy may be attributed to low local pollen productivity and delayed postglacial vegetation development. The chironomid-based reconstructions show that the Younger Dryas climate was marked by a 3°C drop in summer temperature and increased ATR. The chironomid-based continentality (difference in summer and winter temperatures) reconstruction approach is still under development. We have produced the first tentative chironomid-inferred ATR reconstruction showing trends similar to ones already published. The resulting reconstructions provide critical insights into past regional climate variability and ecosystem responses in eastern temperate-boreal ecotones. New climate curves can serve as a reference for future regional climate studies.

# 1. Introduction

Transitional regions, characterized by changing climates and biomes, are particularly sensitive to climate variability (Fu, 1992). The Eastern Baltic region exemplifies such a transitional zone, lying along the north-south gradient between boreal and temperate vegetation zones and exhibiting a pronounced west-east shift from oceanic to continental climates (Team, 2008; Heikkilä and Seppä, 2010; Edvardsson et al., 2016). Furthermore, Stonevicius et al. (2018) reported significant change in continentality expressed in annual temperature range (ATR) over the last 50 years. Given that recent climate change in Europe has already led to warmer temperatures and reduced precipitation during the growing season—and these trends are expected to continue—it is important to understand how such changes may impact sensitive ecosystems in transitional climate zones.

Pollen are excellent indicators of regional and local land cover and human impact changes and are one of the most commonly used proxies in past climate reconstructions (Ilyashuk et al., 2009; Salonen et al., 2012; Chevalier et al., 2020), as well as the most abundant type of climate reconstruction in the region (e.g. (Niinemets and Saarse, 2009; Poska et al., 2022; Saarse and Veski, 2001; Seppä and Poska, 2004), with just a few examples of usage of other biotic proxies (Heiri et al., 2014; Stansell et al., 2017; Druzhinina et al., 2020; Šeirienė et al., 2021). However, for Quaternary climate reconstruction in northern and eastern Europe the method also has some limitations. For instance, it has been shown that immigration of plants after deglaciation may lag climate change (Väliranta et al., 2015), and some intervals, such as the Younger Dryas, may presently have no analogues in modern vegetation (Magny et al., 2001). Furthermore, boreal forest can be resistant to minor climate changes (Stralberg et al., 2020) implying that pollen-based climate reconstructions in the boreal region may not be sensitive to minor changes. Therefore, there is an urgent need for long-term multi-proxy climate and environmental reconstructions using independent climate proxies in Northern Europe and particularly in the Eastern Baltic region, where such studies are currently lacking.

Subfossil chironomids, non-biting midges from the family Chironomidae, are widely used as a proxy to reconstruct past July air temperatures (Tóth et al., 2015; Hájková et al., 2016; Jiménez-Moreno et al., 2023; Rigterink et al., 2024), and have revealed some potential for continentality changes assessment (Self et al., 2011). However, chironomids can be sensitive to changes in other in-lake factors, such as trophic state, pH and oxygen concentration (Brooks et al., 2001; Luoto, 2011; Nazarova et al., 2011; Ursenbacher et al.,

2020). Therefore, it is helpful to account for these potential confounding influences using complementary proxies to support chironomid-based climate reconstructions. Cladocera analysis is a well-established palaeolimnological method for detecting past environmental changes within lakes (Van Damme and Kotov, 2016; Pastukhova et al., 2024), as cladocerans are sensitive to changes in lake water pH, trophic state and conductivity (Lanka et al., 2024; Zawiska et al., 2025) as well as lake water depth (Wang et al., 2024). Loss-on-ignition (LOI) is commonly used in the multi-proxy research (Hamerlík et al., 2016; Yao et al., 2017; Sapelko et al., 2019), provides data on the organic and inorganic carbon content of sediments and can be used a waterbody trophic state evaluation. Combining chironomid-, pollen-, cladoceran- and loss on ignition analysis results will allow us to produce critical quantitative and qualitative palaeoecological datasets often used to complement Chironomide-based palaeoclimate reconstructions (Lotter et al., 1997; Mirosław-Grabowska et al., 2015; Veski et al., 2015; Druzhinina et al., 2020; Šeirienė et al., 2021).

We selected the Lake Nakri (Southern Estonia) palaeo-sequence, which covers the last 14.5 ka cal BP—from the time of ice retreat to the present day—to perform the palaeo-environment reconstruction using Chironomidae, Cladocera, pollen and LOI analysis and compared the results other records from eastern and northern Europe. The objectives of the current study is to (I) present a new high-resolution multiproxy based palaeolimnological record from Eastern Baltic region (II) reconstruct the late glacial and Holocene climate and environmental dynamics (III) compare the responses of different proxies in respect to July air temperature, annual temperature range and environment changes, and (IV) evaluate the reconstructed fluctuations within the framework of known regional and global climatic and environmental changes.

The outcome of this paper contributes to our overall understanding of past global climate change patterns, produces a novel high resolution environmental change record for the Baltic region, and documents the response of local environments and ecosystems to changing climate aiding in assessments the potential effects of future changes. The resulting climate reconstructions have the potential to serve as a reference for Eastern Europe.

# 2. Study site description

Lake Nakri (0.9 ha, 48.5 m a.s.l., 57° 53.703′ N, 26° 16.389′ E), is situated in Southern Estonia (Fig. 1). It is a small shallow (up to 3 m depth) lake with ca 0.25 km<sup>2</sup> catchment area. Based on modern limnological measurements and observations, Lake Nakri

has been classified as an eutrophic lake (Secchi depth 1.6 m) with slightly alkaline pH (Lanka et al., 2024) and brownish water color. The lake has a low swampy shoreline and is surrounded by natural mixed spruce-pine dominated boreal forest with fairly low modern land use in catchment. With an average annual air temperature of 5.5°C, mean July temperature of 18°C, mean January temperature of –4°C, and annual precipitation of 675 mm, the region exhibits climatic conditions that are transitional between oceanic and continental climates (Estonian Environment Agency).



Fig.1 - Overview map (A) and EOTOPO 2022 map (NOAA National Centers for Environmental Information, 2022) of the eastern Baltic (B) and false-color forestry orthophoto (Republic of Estonia Land and Spatial Development Board, 2025) for Lake Nakri (C). Red dot marks the sampling point.

# 3. Methods

# 3.1. Sampling strategy

Parallel overlapping sets of 1 m long segments of the lake sediment sequence, covering 1346 cm, were taken using a Russian corer. The coring was conducted from the deepest part of the lake in 2007, 2009 and 2018. The uppermost 58 cm unconsolidated sediments were retrieved with a Wilner sediment sampler. Sediment cores were correlated according to loss-on-ignition curves and radiocarbon dates. Samples for analysis from depths of 0–587 cm were taken from the 2018 core, while deeper samples were obtained from the 2007 and 2009 cores..

The core was described and photographed in the field and subsequently transported to the laboratory for further analysis and documentation. The full sediment sequence (0—1346 cm) was sampled for pollen analysis (163 samples), Chironomidae analysis (149 samples), and LOI analysis (1340 samples). The upper part of the core (0—1028 cm) was also analysed for Cladocera communities (68 samples). Samples for all types of analysis were taken from the same levels if possible.

Lower part of the sequence covering 14.0-9.0 ka cal BP has been published in detail by Amon et al. (2012, 2014).

# 3.2. Chronology

The chronology of the Lake Nakri sediments was established by the OxCal 4.4 deposition model (Bronk Ramsey, 2009; Ramsey, 2008), where lithological boundaries, coring time (top of the sediment), radiocarbon dating and age determinations derived from spheroidal fly ash particle (SFAP) analysis (Renberg and Wik, 1985; Alliksaar, 2000; Heinsalu et al., 2007) were used. The radiocarbon ages were determined at the Poznan Radiocarbon Laboratory in Poland. The radiocarbon dates were calibrated using the IntCal20 calibration curve (Reimer et al., 2020). For radiocarbon dating, terrestrial plant macrofossils (mainly small branches, *Dryas* leaves, and *Betula* catkin scales). Levels lacking macrofossils were dated using bulk sediment samples.

SFAPs, incomplete combustion products of high-temperature fossil-fuel burning emitted to the environment with flue-gases, are successfully used for dating sediments of post-industrial era (Renberg and Wik, 1985; Rose, 2002). The method has been well-

established for Estonia since the beginning of the 20th century (Alliksaar, 2000). Heinsalu et al. (2007) show that the SFAP analysis determined ages are in good correlation with the <sup>210</sup>Pb based ages. To count SFAP in sediments the subsamples were subject to sequential pretreatment with 30% H<sub>2</sub>O<sub>2</sub> and 2,7M HCl to remove organic and carbonate matter. The SFAP were counted under the light microscope at 250x magnification together with added Lycopodium spores to calculate SFAP concentration in sediments. The SFAP analysis derived ages were used for the upper 50 cm part of the sediment. The lower part of the sediment was dated by the radiocarbon method.

All ages used in this study are given as median calibrated kiloannum before 1950 CE (ka cal BP). The zonation of all presented proxies follows the formal tripartite subdivision of the Holocene (Walker et al., 2012).

# 3.3. Lithostratigraphy

To reconstruct changes in sediments organic matter dynamics, organic, carbonate and mineral matter contents of the sediment was determined by loss-on-ignition (LOI) analysis procedure described by Heiri et al. (2001). Measurements were performed on continuous 1 cm thick sediment samples of 1 cm<sup>3</sup> volume. The sediment samples were dried for 24 hours at 105 °C and then burned for 4 hours at 525 °C to determine organic matter (OM) content. The residue was thereafter burned for 2 hours at 950 °C and the weight loss was multiplied by 1.36 to express the amount of carbonaceous matter as carbonate ions (CO<sub>3</sub><sup>2-</sup>) content (Bengtsson and Enell, 1986). The remaining fraction was deemed as mineral matter.

# 3.4. Pollen analysis

To reconstruct terrestrial landcover and July air temperatures, pollen analysis was applied. Pollen subsamples of known volume (0.5 cm³ in the Holocene and 2 cm³ in the late glacial) and thickness (1 cm) were taken at 5–10 cm intervals. Pollen sample preparation followed a standard acetolysis method (Berglund and Ralska-Jasiewiczowa, 1986) combined with hot HF treatment with 40% and 75% acids to remove inorganic matter (Bennett and Willis, 2001). Lycopodium spores were added to calculate pollen concentration and subsequently the pollen accumulation rate (PAR) (Stockmarr, 1971). At least 500 terrestrial pollen grains were counted at each subsample level, except for the four lowest level samples, where only about 200 grains were observed due to low pollen concentrations. Pollen data were expressed as percentages of the total terrestrial pollen sum. Counts of spores, green

algae, charcoal, and other microfossils were calculated as percentages of the total sum of terrestrial pollen.

# 3.5. Cladocera analysis

To reconstruct lake environmental parameters (trophic state, pH, water depth fluctuations), Cladocera analysis was applied. Sediment subsamples of known volume (1 cm $^3$ ) and thickness (1 cm) were taken from the sediment core with an interval of 10-20 cm. Samples for subfossil Cladocera analysis were prepared according to standard procedure (Frey, 1986), heating them for 30 minutes in 10% KOH solution at 85 °C water bath. Afterwards samples were filtered through a sieve with a mesh size of 40  $\mu$ m and thereafter remains were diluted with 10 ml of water and coloured with Safranin O.

Microscope slides were prepared from 100 µl of the diluted, homogenized subsample and examined under a light microscope at 100x-400x magnification. We examined as many slides as was necessary to find at least 70 subfossil Cladocera individuals and examined one extra slide for each sample to identify any previously undetected species (Kurek et al., 2010). Subfossil Cladocera species were identified based on the key by Szeroczynska and Sarmaja-Korjonen (2007). *Daphnia* spp. and *Ceriodaphnia* spp. remains were dominated by postabdominal claws, from which it can be difficult to distinguish between these two groups. Therefore, they were merged under the *Daphnia* spp. group. *Alona gutatta* and *Coronatella rectangula* were counted as separate species based on the postabdomen findings but merged in group *C. rectangula/A. gutatta* if the head shields or shells were found.

# 3.6. Chironomidae analysis

To reconstruct July air temperatures, ATR and environmental changes (trophy, water level fluctuations), Chironomidae analysis was applied. Chironomidae subsamples of standardized volume (1 cm³) and thickness (1 cm) were taken at 10 cm intervals. Subsamples were treated according to standard procedures (Brooks et al., 2007). Sediments were watersieved with a 100-μm mesh size sieve to remove fine particles. In case coarse sediment particles remained, additional deflocculation in the hot KOH (70 °C) for 10 minutes was applied. After deflocculation the sample was water-washed on the 100-μm mesh size. Further, each sample was transferred into a Petri dish from which Chironomidae head capsules were collected with fine forceps under a stereomicroscope at 25X magnification. The obtained head capsules were air-dried and mounted in Aquatex® mounting medium.

Taxonomic identification was conducted under the light microscope at 100x-400x magnification. The Nakri lake fossil Chironomidae assemblages' collection is stored in Tallinn University of Technology.

Identification of the Chironomidae subfossil head capsules was done by OH (late glacial-early Holocene) and VB (Holocene) with 0.5 ka cal BP (3 samples) of overlap using the same taxonomic approximation according to Brooks et al. (2007) and using identification keys by Klink and Pillot (2003), Andersen et al. (2013) and Larocque-Tobler (2014). All identifications at genus or subfamily taxonomic level (Tanytarsini, *Tanytarsus* spp., *Paratanytarsus* spp., Tanypodinae, Chironomini, Orthocladiinae) were excluded from the Nakri lake dataset to avoid including broad groups of Chironomidae species with different ecological preferences. *Cricotopus intersectus*-type was merged with *Cricotopus laricomalis*-type into one type due to the high chance of misidentification of these morphotypes. Morphotype-level identifications for the genera *Ablabesmyia*, *Einfeldia*, *Zalutschia*, *Eukiefferiella*, and *Dicrotendipes* were combined into their respective genus-level groups to align with the varying identification resolutions used elsewhere.

# 3.7. Training sets for the climate reconstruction

Pollen-based July mean air temperature reconstruction was done based on the modern pollen-climate analogue dataset described by Seppä and Birks (2001).

Chironomid-based mean July air temperature reconstruction proceeded using two training sets: a region specific Finno-Baltic-Polish (FBP) dataset described in detail in Bakumenko et al. (2024) and a Swiss-Norwegian dataset (Heiri et al., 2011), that has been widely used across Europe for reconstructing late Quaternary July air temperature change (e.g. Oliver Heiri et al., 2014; Lapellegerie et al., 2024). Comparing the performance of the two training sets will help determine whether a local training set improves the accuracy of chironomid-based climate reconstructions.

A novel tentative reconstruction of chironomid-based continentality, expressed as ATR (difference in the mean temperature of the coldest and the warmest months) was performed using FBP training seta. The geographic area of the training set includes clear ATR gradient (18.6–27.1 °C; Fig. 2) as well as a mean July air temperature one (12.1–19.2 °C; Fig. 2). Moreover, gradients of these climate variables are distributed in different patterns across the region (Fig. 2). While July air temperature continuously increases from North to South, ATR has the highest values in the central Fennoscandia and decreases towards the

seas. This difference in distribution makes it potentially possible to calibrate the TS for mean July temperature and ATR independently and separate signals from these two variables.

Modern climate data for the samples in the FBP chironomid calibration dataset sites was extracted from the ERA5 dataset with hourly temporal and 0.25° x 0.25° spatial resolution (Hersbach et al., 2020), downloaded as July and January months mean temperature in °C from the Copernicus Climate Data Store

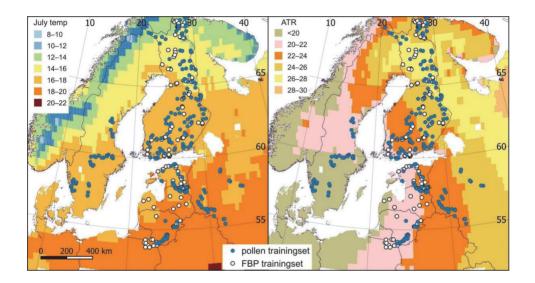


Fig. 2. Location of pollen and Finno-Baltic-Polish (FBP) chironomid training sets. Maps display July mean air temperature (Hersbach et al., 2020) and annual temperature range (ATR).

# 3.8. Data analysis

Cladocera were grouped based on their habitat preference according to Bledzki and Rybak (2016). Redundancy analysis (RDA; Birks et al., 1997) was used to test the influence of ATR on the Chironomidae assemblages of the FBP training set.

Principal Component Analysis (PCA) was applied to the fossil assemblages of Chironomidae, pollen, and Cladocera to identify potential drivers of assemblages patterns. Pearson correlations were used to examine the relationships between the first two PCA axes of each proxy and with other environmental indicators, including LOI, herbs percentages in

the pollen spectra, oxygen isotopes inferred from the Greenland ice sheet (GRIP; Rasmussen et al., 2023) and Cladocera *Bosmina longirostris* as an additional marker of trophic change.

The weighted averaging–partial least squares (WA-PLS) regression and calibration (ter Braak and Juggins, 1993) with bootstrapping (9999 permutations; Birks and Birks, 1998) was used for both pollen and chironomid-based climate reconstructions. The best transfer functions were selected as those producing the lowest cross-validated root mean squared error of prediction (RMSEP). The values of R², root mean squared error (RMSE), and both maximum and average bias were used to assess the reliability of the interpolation model. The WA-PLS coefficients for the best performing component of FBP-based reconstruction were used to divide climate dependent Chironomidae taxa, according to Brooks et al. (2007) and Bakumenko et al. (2024), into three groups (typical for cold, moderate, and warm conditions) in respect to the mean July air temperature. The rare taxa deletion (abundance more than 2% at least in one sample) was done for Lake Nakri chironomid assemblages to reduce the influence of random appearances and to increase performance of the WA-PLS (Walker, 2001).

Software program R version 4.1.1. was used to perform numerical analysis and plots. The following packages were used: 'tidyverse' for data visualisation (Wickham et al., 2019), 'dplyr' for data restructuring and basic calculations (Wickham et al., 2022), 'vegan' for ordination (Oksanen et al., 2022). The program C2 (Juggins, 2003) was used to perform pollen- and chironomid-based reconstructions. Stratigraphic diagrams were prepared in Tilia 3.0.1 software.

# 4. Results

# 4.1. Litho- and chronostratigraphy

Lake Nakri age-depth model (Fig. 3) is based on 17 radiocarbon dates (Table 1). Radiocarbon date Poz-124700 is considered too old and not used in the age-depth model. Radiocarbon dates Poz-124704 and Poz-124705 from the bulk sediment are not used in the age-depth model as there are nearby dated macro remains which are preferred; however the omitted dates show similar ages with dated macro remains. The ages for the uppermost sediments were corrected according to the SFAP analysis's derived ages, so that 30 cm deth corresponds to 1950±5 CE.

Table 1 - Lake Nakri <sup>14</sup>C dates. \* marks the outliers not used in the age-depth model.

	Lab code	<sup>14</sup> C age	Calibrated ages		Dated
Double from			cal yr BP		material
Depth from the sediment surface cm		yr BP	at 95.4%	media n	
98-99	Poz-124700*	2625±30	2590–2490	2540	gyttja
188-189	Poz-124701	3420±35	3730–3560	3630	gyttja
498-499	Poz-124703	6370±40	7060–6990	7030	gyttja
591	Poz-34547	6620±35	7670–7530	7570	wood
682-683	Poz-124704*	7440±50	8380–8170	8260	gyttja
685	Poz-29987	7760±50	8640–8440	8550	wood (branch)
751	Poz-29986	8150±50	9240–9010	9110	bark, seeds, catkins
797-798	Poz-124705*	8770±50	10120–9550	9770	gyttja

859	Poz-20611	8870±50	10190–9940	10100	wood, seeds, catkins
931	Poz-20612	9610±60	11020–10680	10810	seeds,
962	Poz-20076	10150±50	11890–11600	11750	wood
1112.5	Poz-22639	10510±60	12610–12470	12540	wood
1152	Poz-20077	10800±40	12830–12710	12750	wood
1187	Poz-20526	11430±70	13470–13240	13360	plant macro
1210	Poz-20528	11660±70	13600–13400	13510	plant macro
1235	Poz-20613	11810±80	13700–13510	13610	Dryas leaves
1320	Poz-20529	12060±70	14080–13790	13950	plant macro

The lowermost part of the sequence (1346–952 cm; 14–11.5 ka cal BP), roughly coinciding with the late glacial, is composed of silt and silty clay with mineral content about 77–91%. There is an increase of organic matter content (up to 7%) in the depth interval 1190–1154 cm (about 13.4–12.8 ka cal BP) (Fig. 3). The Holocene part of the sequence starts with detritius gyttja (952–930 cm; 11.5–10.8 ka cal BP) where organic matter content increases from 8 to 30%, overlain with distinctly laminated gyttja (930–897 cm; 10.8–10.5 ka cal BP). The uppermost part (897–0 cm) of the sediments is homogeneous gyttja with increasing content of organic matter (up to 60%). Carbonate content is fluctuating but does not in general exceed 20% throughout the sediment sequence (Fig. 3).

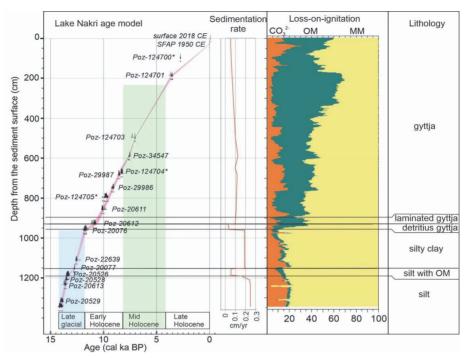


Fig. 3. Lake Nakri age-depth model at 95% probability range (pink curve), sedimentation rate, loss-on-ignition and lithology. The graphs on the age-depth curve show the likelihood (gray) and posterior (black) probability distribution of the calibrated radiocarbon dates. Radiocarbon dates with \* are not used in the model.

# 4.2. Pollen analysis

108 microfossil types were identified in Lake Nakri pollen record (Fig. 4). Late glacial is characterised by high herb pollen values and has considerable input of redeposited temperate broadleaved tree pollen. Between 13.5–12.85 ka cal BP the amount of redeposited pollen is lower, and cold tolerant trees like *Betula* (birch) and *Pinus* (pine) expand. The local presence of both is confirmed by finds of *Pinus* stomata and *Betula* macrofossils from the same sediment interval (Amon et al., 2012).

The tree succession at the beginning of the Early Holocene is typical for the area – first *Betula* and then *Pinus* culminate. The first thermophilous trees *Ulmus* (elm) and *Corylus* (hazel) appear ca. 1000 years later. *Alnus* (alder) expands rapidly all over Estonia around 9.5 ka cal BP. Just before the end of Early Holocene ca 8.5 ka cal BP *Tilia* (lime) expands, and the amount of temperate broadleaved trees rises rapidly just to collapse at the Early Holocene – Middle Holocene boundary around 8.2 ka cal BP. The 8.2 ka cooling event is very clear in

Lake Nakri pollen data: most of the broadleaved trees alongside with *Alnus* and *Corylus* show a clear drop in relative abundances, *Betula* in contrast flourishes.

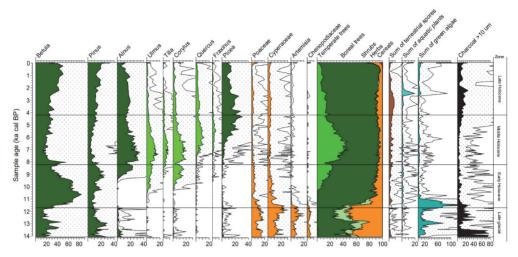


Fig. 4. Subfossil pollen diagram of selected taxa. The relative abundance of taxa is expressed in %. The abundance of charcoal particles is expressed in % of charcoal particles against pollen total sum.

During the Middle Holocene the temperate broadleaved trees maximum (up to ca 45%) is visible around 8–6 ka cal BP, showing the typical succession for Estonia with subsequent culminations of *Ulmus*, *Tilia*, *Quercus* (oak) and *Fraxinus* (ash). *Picea* (spruce) expands during the second half of the Middle Holocene and culminates around 4.2 ka cal BP. Low amount and taxonomic richness of herb pollen indicates dense forest cover. Still, first scattered finds of cultivated plants (*Triticum* (wheat) and *Hordeum* (barley)) accompanied by well-known anthropohores like *Plantago lanceolata* (narrowleaf plantain) and an increase in charcoal appear since 5 ka cal BP.

During the Late Holocene, the vegetation was characterized by mixed boreal forests dominated by *Betula*, *Pinus*, *Alnus*, and *Picea*, with a noticeable decline in temperate broadleaved trees. The weak signs of anthropogenic deforestation in the surroundings of Lake Nakri are observable as a slight increase in herb pollen and its taxonomic diversity since 1 ka cal years BP. Sporadic presence of cultivated plants (*Secale* (rye), *Hordeum*, *Triticum* and *Cannabis* (hemp)) suggests small-scale arable farming in the largely natural vicinity of Lake Nakri.

# 4.3. Cladocera analysis

In total 49 cladocera species were identified within the core, with *Bosmina longispina* and *Bosmina longirostris* as the main dominant species (Fig. 5).

The late glacial period is characterised by low total cladocera flux, and changing species composition as in almost each sample a new dominant species emerges.

In the Early Holocene *B. longispina* is the dominant species and several new macrophyte associated species appear. In the Middle Holocene between 8 and 6 ka cal BP *Bosmina longirostris* became the dominant species and an increase of Cladocera flux can be observed. About 5.5 ka cal BP *B. longirostris* is replaced by *Daphnia* spp. as the dominant species.

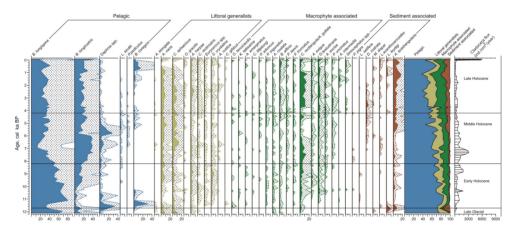


Fig. 5. Subfossil Cladocera diagram of selected taxa. The relative abundance of taxa is expressed in %.

At the end of the Middle Holocene and throughout the Late Holocene *B. longispina* and *B. longirostris* dominate. The relative abundance of sediment associated species increases, but that seems to be mainly connected to the increase of *Alona quadrangularis* relative abundances. We also observed a slight increase in the relative abundances of macrophyte associated species. Within the last millennium there seems to be a time period, where Cladocera flux reduces almost to the late glacial level, and several species for a time disappear, just to re-appear in the uppermost sediment layers. The highest Cladocera flux was observed in the few uppermost sediment samples that correspond with the time period from 1950 CE forward.

While most of the species appear throughout the core, there are some that seem to be present in some periods and missing in others. Most of the littoral species appear for the first time at the beginning of the Holocene. Species that appear during late glacial and beginning of the Early Holocene but then disappear until the end of Middle Holocene are *Bosmina coregoni, Leydigia leydigi* and *Alona intermedia. Alonopsis elongata* appears in almost every sample of late glacial and beginning of the Early Holocene but afterwards appears rather sporadically. *Leptodora kindtii, Polyphemus pediculus,* and *Disparalona rostrata* are predominantly found in the Middle Holocene, with their presence also marking the transition periods at the end of the Early Holocene and the beginning of the Late Holocene.

Species that mostly appear in the Middle Holocene and the Late Holocene are *Alona* rustica and *Leydigia acanthocercoides* and the most characteristic taxon for the Late Holocene is *Simocephalus* spp..

## 4.4. Chironomidae analysis

44 chironomid head capsules were counted per sample on average with the counting range 30–127 (38 samples counts were under 50). 155 chironomid taxa were identified in the Lake Nakri sequence (Fig. 6), from which 94 taxa were left after rare species removal.

The late glacial period is characterised by the dominance of the widely distributed *Microtendipes pedellus*-type, *Tanytarsus mendax*-type and *Chironomus anthracinus* which were assessed as cool-climate related taxa. Cold-related taxa abundant in the late glacial period include *Tanytarsus lugens*-type, *Psectrocladius septentrionalis*-type, *Micropsectra insignilobus*-type and *Heterotrissocladius grimshawi*-type (overall dominant at 13.5–14.5 ka cal BP). Also, not climate dependent *Procladius* and *Psectrocladius sordidellus*-type and *Cricotopus intersectus*-type were presented.

Throughout the Early Holocene period, cool-related *Dicrotendipes nervosus*-type and *Cladopelma lateralis*-type and temperate-related *Chironomus plumosus*-type and *Corynoneura scutellata*-type are present, as well as generalistic *Psectrocladius sordidellus*-type and *Cricotopus intersectus*-type. Cold-related *Tanytarsus lugens*-type appeared only at the start of the zone. temperate-related *Glyptotendipes pallens*-type, *Neozavrelia* and *Endochironomus albipennis*-type dominate since 10 ka cal BP.

In the Middle Holocene period taxa composition is similar to the Early Holocene one, but with increased counts of cool-related *Cladotanytasus mancus*-type and temperate-related *Polypedilum sordens*-type, as well not climate dependent *Lauterborniella* and *Nanocladius* 

rectinervis-type. Also, here cold-related Sergentia coracina-type and Micropsectra insignilobus-type reappear at low abundances.

The Late Holocene period is marked by peaks of temperate-related *Chironomus* plumosus-type, *Neozavrelia, Tanytarsus pallidicornis*-type and cool-related *Cladopelma* lateralis-type, *Cladotanytarsus mancus*-type. Cool-climate related *Polypedilum* nubeculosum-type becomes overwhelmingly dominant around 0.5 ka cal BP. Cold-related taxa are almost not present in the Late Holocene. Not climate dependent *Psectrocladius* sordidellus-type and *Cricotopus intersectus*-type increased.

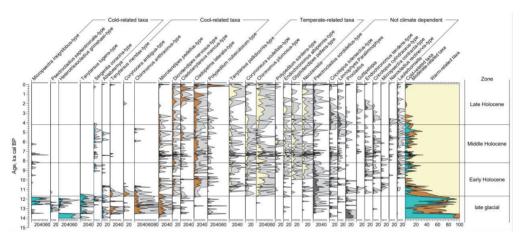


Fig. 6. Subfossil Chironomidae diagram of selected taxa. The relative abundance of taxa is expressed in %. Taxa are sorted by the corresponding WA-PLS coefficients for component 1 of the Finno-Baltic-Polish dataset.

### 4.5. Climate reconstructions

The chironomid-based July air temperature reconstruction of the Lake Nakri sequence showed similar trends and performance values based on two different training sets (FBP, Swiss-Norwegian, Fig. 9; Table 2), although the FBP-based one revealed the smallest error of reconstruction (RMSEP<sub>boot</sub> = 0.7 °C). Dicrotendipes, Endochironomus, Cladopelma morphotypes were grouped together for Swiss-Norwegian TS-based reconstruction, accounting to following average abundances in the Nakri record: 3.3%, 5.4%, 7.55%. In the FBP training set these taxa are identified to a higher taxonomic resolution (*Dicrotendipes notatus*-type (0.3% in average), *Dicrotendipes nervosus*-type (3%), *Endochironomus albipennis*-type (3.4%), *Endochironomus tendens*-type (1.4%), Endochironomus impar-type (0.6%), Cladopelma lateralis-type (7.5%), Cladopelma laccophila-type (0.05%)).

The pollen-based July air temperature reconstruction of the Lake Nakri sequence shows in general values similar to the chironomid-based reconstruction using the FBP training set.

ATR explained 11 % of variation in the FBP training set based on RDA (p = 0.001;  $\lambda$ 1:  $\lambda$ 2 = 1; Fig. 7). The chironomid-based ATR reconstruction performed with the Finno-Baltic-Polish training set revealed RMSEP of 1.4 °C and R<sup>2</sup> of 0.8 (Table 2).

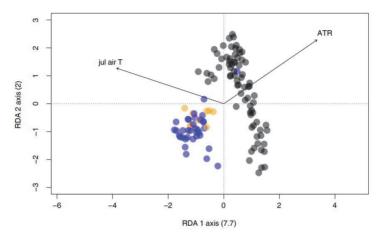


Fig. 7. Redundancy analysis (RDA) of Finno-Baltic-Polish training set using July air temperatures (Jul T; °C) and annual temperature range (ATR; °C). Blue dots indicate lakes from Eastern Baltic (Estonia, Latvia, Lithuania), yellow dots indicate Polish lakes, black dots indicate Finnish lakes.

The PCA1 of both chironomid and pollen assemblages from the Lake Nakri record exhibits significant correlations with each other and with GRIP oxygen isotope data (r > 0.8; S1). This suggests that both chironomid and pollen assemblages are primarily influenced by climatic factors.

The PCA2 of chironomid assemblage suggests that chironomid and pollen assemblage are both driven by the same driver. The strongest correlation of chironomid PCA2 (r =0.42; S1) is the herb's proportion in the pollen spectrum, which revealed drastic change in Younger Dryas/Holocene boundary (Fig. 9). The Cladocera PCA1 scores had the strongest correlation with herb cover and organic matter curve (correlation index around 0.6; S1), suggesting that Cladocera communities were significantly influenced by changes in vegetation and nutrient availability.

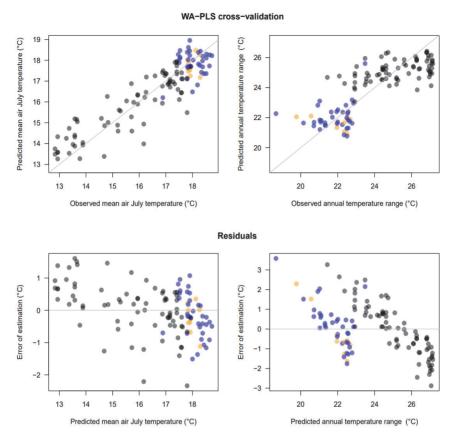


Fig. 8. Diagnostic plots of cross-validated estimates and prediction residuals compared with observed values of the Finno–Baltic–Polish training set calculated with a WA-PLS-based model based on two components using July air temperatures (°C) and annual temperature range (°C). Blue dots indicate lakes from Eastern Baltic (Estonia, Latvia, Lithuania), yellow dots indicate Polish lakes, black dots indicate Finnish lakes.

Table 2. WA-PLS Chironomid- and pollen-based training sets cross-validation and reconstructions outcome.

	Finno-Baltic- Polish training set - July air temperature (Bakumenko et al., 2024)	Swiss- Norwegian training set - July air temperature (Heiri et al., 2011)	Pollen-based reconstruction - July air temperature (Seppä and Birks, 2001)	Finno-Baltic- Polish training set - annual temperature range
Gradient range (°C)	12.1–19.2	3.5–18.4	7.5–17.5	18.6–27.1
% of explanatory power	14.4 (RDA-based)	5.6 (CCA-based)	11.4 (RDA-based)	11.0 (RDA.based)
RMSEP <sub>boot</sub> (°C)	0.7	1.5	0.7	1.4
RMSE (°C)	0.6	1.3	0.6	0.8
R2 <sub>boot</sub>	0.9	0.9	0.8	0.8
Average Bias <sub>boot</sub> (°C)	0.02	-0.03	-0.03	0.04
Maximum Bias <sub>boot</sub> (°C)	0.7	1	2.9	3.1

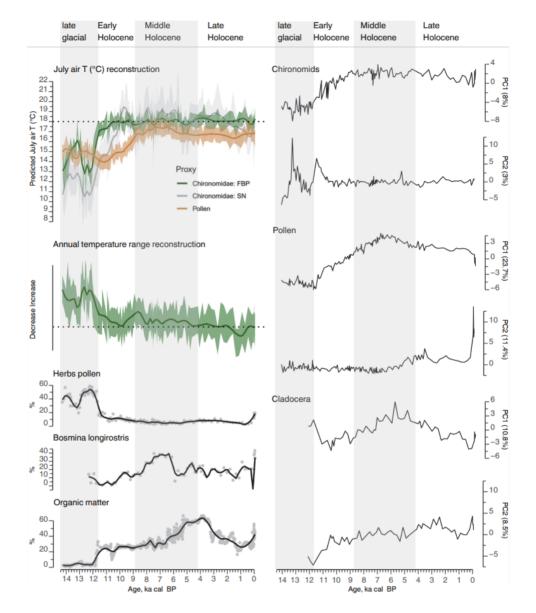


Fig. 9. Chironomid-based July air temperature (°C) and annual temperature range (°C) reconstructions from Lake Nakri together with the percentages of herb pollen (indicator of vegetation openness), *Bosmina longirostris* and organic matter (indicators of trophic changes) and PCAs of the Chironomids, Cladoceran and pollen Lake Nakri subfossil assemblages. The horisontal grey dotted line indicates modern values of July air temperature and annual temperature range.

# 5. Discussion

## 5.1 Lake environment and climate reconstruction validation

### 5.1.1. Trophic state

Lake trophic state can influence chironomid-based temperature reconstructions, with more eutrophic lakes being reconstructed as warmer in comparison to oligotrophic lakes (Heiri et al., 2003; Heiri et al., 2014; Tóth et al., 2015). Chironomid taxa that indicate oligotrophic conditions are typically cold-water species, while those associated with eutrophic environments tend to prefer warmer waters, reflecting a positive co-tolerance to both eutrophication and climate change. The research from contemporary lake ecosystems and mesocosm experiments have shown that the zooplankton and phytoplankton communities have a similar reaction to warming as it is to nutrient enrichment (Visconti et al., 2008; Jeppesen et al., 2009; Moss, 2011). This can lead to difficulties distinguishing between the influence of climate and nutrient availability for aquatic organisms in palaeolimnology. At the same time lake trophic state is expected to change with temperature, as changing climate can affect weathering, nutrient mobilization and primary productivity in lakes (Brodersen and Quinlan, 2006; Velle et al., 2010; Eggermont and Heiri, 2012). Therefore, to some extent, shifts in trophic status can be expected during climate changes. In this case trophic state changes would not bias the Chironomidae based climate reconstructions. However, variations in trophic state and productivity unrelated to temperature (e.g., from human activity) may introduce errors and distort chironomid-derived temperature records.

# 5.1.2. Proxy choice for climate reconstructions

The performance statistics of the pollen-based transfer function applied to the Nakri record are comparable to those of the chironomid-based reconstructions (Table 2). Climate is usually one of primary drivers of terrestrial vegetation composition is importance of climate for Nakri dataset, was confirmed by the significant correlation of PCA1 of pollen, and chironomids with GRIP ice core oxygen isotopes data (Rasmussen et al., 2023; S1). However, the pollen-based reconstruction suggests warmer temperatures during the late glacial, an absence of major cooling during the Younger Dryas and a significant delay in Early Holocene warming compared to the chironomid-based reconstructions (Fig. 9). The latter can be explained by the migration delays of the terrestrial vegetation during the late

glacial and Early Holocene which can influence the quality of the pollen-based reconstructions, especially in formerly glaciated areas of northern and eastern Europe (Rao et al., 2022; Väliranta et al., 2015; Zani et al., 2023). The Younger Dryas vegetation on the other hand may lack suitable modern analogues in contemporary ecosystems (Magny et al., 2001), due to the dry and high continental climate, potentially explaining the warmer temperatures reconstructed from pollen records in the region (Fig. 9).

## 5.1.3. Chironomid training set choice

WA-PLS based Chironomid-based July air temperature transfer functions using the FBP and Swiss-Norwegian training sets had similar cross-validated performance statistics, such as RMSEP, maximum bias and average bias (Table 2). Additionally, both temperature reconstructions generally reflected similar climate patterns (Fig. 9) and resemble the temperature development of the GRIP ice core (Rasmussen et al., 2023; Fig. 10). However, the Swiss-Norwegian-based reconstruction indicated colder values during the late glacial and Early Holocene along with more pronounced temperature fluctuations during 9.0-8.0, 7.0-7.5, 6.5-5.5 ka cal BP compared to the FBP-based reconstruction. The causes of differences in reconstructions using different training sets have been previously discussed in the literature (Luoto, 2011; Engels et al., 2014; Kotrys et al., 2020; Bakumenko et al., 2024). These can be attributed to two primary reasons: (1) systematic differences in the estimated values between geographically distinct training sets (Engels et al., 2014; Bakumenko et al., 2024) and (2) mismatches in Chironomidae taxonomic resolution (Heiri and Lotter, 2010). In the Swiss-Norwegian training set, morphotypes optima tend to be lower than those in the FBP-based training set due to the presence of cooler modern analogues (Bakumenko et al., 2024). In the Swiss-Norwegian training set, certain genera are grouped at a broader taxonomic level, whereas in the FBP training set, these taxa are identified with higher taxonomic resolution. Improving taxonomic resolution can enhance the performance and sensitivity of transfer functions by capturing more ecological details, but also carry a risk of reduced training set reliability if misidentifications occur (Heiri and Lotter, 2010). In this study, we minimized such risks by consistently using established identification keys for modern Chironomidae and rigorously comparing them with fossil specimens from Lake Nakri (see Methods). We suggest that for Nakri the FBP-based training set and transfer function may provide more realistic results, as it encompasses the distribution patterns of the modern Chironomidae taxa from the Baltic lowlands area. However, the general agreement between the two chironomidbased reconstructions based on different calibration data supports that the July air

temperature records presented here have successfully captured the major patterns of late glacial and Holocene summer temperature change in the region.

Our tentative reconstruction of ATR based on the FBP calibration dataset features a cross-validated RMSEP of 1.4, which, compared to the ATR range in the dataset (18.6–27.7 °C) is relatively small. Although the distributions of Chironomidae taxa and assemblages have been observed to correspond to continentality changes (Self et al., 2011) a fully functional training set for the Baltic area has not been developed yet, and the approach has not been tested in downcore reconstructions or on surface sediments from other regions. It has to be kept in mind that chironomid-based continentality reconstructions can be biased by numerous collinear climatic and environmental factors, including July air temperatures (Self et al., 2011) and should be treated with caution. Therefore, we have presented the results of the ATR reconstruction as a change in trend rather than absolute values.

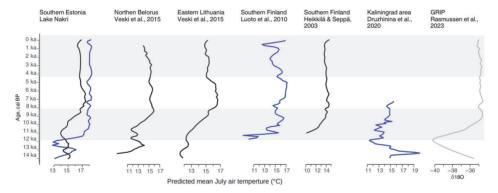


Fig. 10. Lake Nakri Chironomid-based temperature reconstruction based on the Baltic-Finish-Polish calibration data and pollen-based temperature reconstruction in comparison with already published climate reconstructions from Northern and Northeastern Europe and Greenland. The gray shading indicates time periods: late glacial (14.5-11.7 ka cal. BP), Early Holocene (11.7 – 8.2 ka cal. BP), Middle Holocene (8.2 – 4.2 ka cal. BP), Early Holocene (4.2 – 0 ka cal. BP). Black lines indicate pollen-based reconstructions, black lines indicate chironomid-based reconstructions, gray line indicates  $\delta$ 18O-based reconstruction.

# 5.2. Lake Nakri palaeoclimate and palaeoenvironment history

## 5.2.1. Late glacial (14.5–11.7 ka cal BP)

Deglaciation of Estonia took place around 14.7–12.7 ka cal BP (Kalm et al., 2011; Lasberg and Kalm, 2013; Amon et al., 2016; Hughes et al., 2016). The oldest <sup>14</sup>C radiocarbon

date in the Nakri record is dated to 14.0–13.8 ka cal BP, and the oldest sediments estimated to an age of 14.5 ka cal BP. This represents an extraordinary sedimentary archive, directly capturing conditions during the ice retreat from the region and providing a valuable window into deglaciation dynamics. Based on previous pollen-based studies, late glacial climate and environment in Eastern Baltic revealed two distinct events: the Bölling/Alleröd warming followed by the Younger Dryas cooling (Seppä and Poska, 2004; Laumets et al., 2014). Immigration of plant and animal taxa followed shortly after the ice retreat and the late glacial open tundra biome was dominated by herbs and cold-tolerant shrub species (Amon et al., 2016; Poska et al., 2022). Modern chironomids are known to be sensitive to the presence or absence of vegetation (Ólafsson et al., 2002). This, combined with the significant correlation between PCA2 of the Lake Nakri fossil chironomid assemblages and the percentage of herb pollen (S1), highlights the drastic ecological shifts which occured in the region during the late glacial period.

During the late glacial period the ecosystem of Lake Nakri was characterised by the presence of green algae with a peak 13 ka cal. BP. Green algae are an important group of primary producers in lakes, and although other algae groups are not represented in pollen slides, the high abundance of green algae suggests increased productivity in the lake. This event coincides with temporal extirpation of oligotrophic and cold-related chironomids *Heterotrissocladius grimshawi*-type, *Tanytarsus lugens*-type and *Micropsectra insignilobus*-type and appearance of eutrophic aquatic vegetation-related chironomids *Cricotopus cylindraceus*-type (single appearance in the late glacial) and *Polypedilum nubeculosum*-type (sporadic in late glacial). The trophic increase appears linked to the Bølling-Allerød event, consistent with chironomid records indicating warmer July air temperatures and lower ATR.

Previously, the Younger Dryas was mainly characterised by the cold winters and the summer temperature were supposed to be relatively similar to the modern one (Borisova, 1997; Davis et al., 2003). Theuerkauf and Joosten (2012) pointed out the uncertainty whether Younger Dryas winters were cold and climate was continental or not. Our data supports the hypothesis that the Younger Dryas cooling also affected summer temperatures (Lotter et al., 2000), and is similar to many chironomid-based summer temperature reconstructions across Europe (Heiri et al., 2014). Besides cold summers, our results suggest that the Younger Dryas period was also characterized by an increase in ATR (Fig. 9) which aligns with reconstructed increase in seasonality based on cryogenic cave carbonates from Great Britain (Töchterle et al., 2024), as well as with model-based reconstructions of Younger Dryas climate (e.g. Renssen et al., 2001). It was also hypothesized that the establishment of tundra biomes,

indicated in the Younger Dryas from Lake Nakri sediments, was encouraged by an increase in continentality (Sher et al., 2003; Kienast et al., 2008). The Younger Dryas summer cooling resulted in an increase of cold- and oligotrophic- conditions related to chironomids taxa (*Micropsectra insignilobus*-type, *Tanytarsus lugens*-type).

## 5.2.2. Early Holocene (11.7–8.2 ka cal BP)

At the start of the Early Holocene, Characeae disappeared from Lake Nakri (Amon et al., 2012), and green algae abundance reached its highest peak around 11.5 ka cal BP (Fig. 4). Such a regime shift does not necessarily mean increase in nutrients (Scheffer and Van Nes, 2007). Considering the chironomid-inferred rapid warming from 13 up to 18 °C (Fig. 9) and the connection between climate and productivity (Jeppesen et al., 2009) it is possible that the algae dominated state is a result of temperature increase. At the same time, we observed new macrophyte associated chironomids morphotypes (Cricotopus cylindraceus-type, Lauterborniella; Fig. 6). While Characeae that were present during the late glacial period (Amon et al., 2012) can easily grow on mineral matter rich sediments (Holzhausen, 2024), this is not the case for many other macrophyte species. Around 11 cal ka BP we observed an increase in organic matter from approximately less than 7% to more than 20% (Fig. 9) and an abrupt decrease in green algae (Fig. 4). When considering these results together with presence of macrophyte associated with Cladocera and Chironomidae taxa (Figs. 5 and 6), it suggests that around this time a new macrophyte dominated lake stage was established. However, it is unlikely that during this time the lake was highly eutrophic, as Cladocera Bosmina longispina - a species associated with mesotrophic lakes (Jensen et al., 2013; Lanka et al., 2024) dominates (Fig. 5). Chironomids Chironomus plumosus-type, Procladius, Psectrocladius sordidellus-type are common in the sediments from 11.8 ka cal BP onwards are usually considered indicators for low oxygen concentrations in bottom waters (Brooks et al., 2007) and often occur in eutrophic lakes.

Warmer and more stable climate conditions of Early Holocene led to the replacement of tundra biomes with boreal forests, as evidenced by the Lake Nakri pollen record and similar findings from other parts of the Eastern Baltics (Amon et al., 2016; Poska et al., 2022). Later, ca 8.5 ka cal BP the boreal forests were gradually replaced by temperate broadleaved ones (Saarse and Veski, 2001; Niinemets and Saarse, 2009; Poska et al., 2022) with a distinct effect of 8.2 ka cold event apparent in the vegetation records (Seppä and Poska, 2004; Niinemets and Saarse, 2009; Seppä et al., 2009; Veski et al., 2015). The cold event is also

visible in the chironomid-based reconstruction as a cooling of approximately 1 °C, reappearance of cold-related oligotrophic taxa (Micropsectra insignilobus-type, Sergentia coracina-type) and decline in warm-related eutrophic ones (Chironomus plumosus-type, Neozavrelia) around 9.0-8.5 ka cal BP. In the Cladocera assemblages this event is associated with general reduction in Cladocera flux since 9.0 ka cal BP. Decrease in Cladocera abundance (represented by Cladcoera flux) can be related to cooling or oligotrophication (Manca et al., 2007; Zawiska et al., 2017). However, in the case of the 8.2 event in Lake Nakri, this change is most likely climate driven, as no changes in Cladocera assemblages that would be indicative of oligotrophication, can be observed (Fig. 5). The pollen-based reconstructions (Heikkilä and Seppä, 2003; Veski et al., 2015) mainly indicate short cooling event around 8.2 ka cal BP and indicate quick recovery of the ecosystem thereafter. However, chironomid-based reconstruction from South Finland and Central Poland support the hypothesis that in our region the 8.2 ka event may have been embedded into a longer summer cooling episode starting at 9.0 ka cal BP (Luoto et al., 2010; Płóciennik et al., 2011). Based on globally distributed multi-proxy data, Mayewski et al. (2004) interprets the interval 9.0-8.0 ka cal BP as a partial return toward more glacial conditions following an orbitally driven delay in Northern Hemisphere deglaciation.

Summarized by (Davis et al., 2003), pollen-based reconstructions from Northern and Eastern Europe suggest that cooling 9.0–8.0 ka cal BP was more pronounced during the winter than during summer. Our data indicated an increase of ATR between 9.0 and 8.0 ka cal BP, which aligns with these findings. A reconstruction based on phosphorus concentrations in stalagmite calcite from western Ireland suggests also an increased temperature seasonality during the 8.2 ka cal BP event (Baldini et al., 2002). Additionally, evidence points to heightened seasonality of precipitation and severe arid events between 9 and 8 ka cal BP across the Northern Hemisphere (Shuman, 2012; Andersen et al., 2017). These events may have been triggered by the weakening of the meridional overturning circulation due to reduced Atlantic meridional overturning circulation, decline in summer insolation and cooling influence of volcanic aerosols (Mayewski et al., 2004; Carlson et al., 2008).

## 5.2.3. Middle Holocene (8.2–4.2 ka cal BP)

The Middle Holocene is characterized by a generally warm and stable climate, corresponding to the Holocene Thermal Maximum, during which, based on pollen data, temperate broadleaved trees became abundant in the Eastern Baltic area.

At the same time we can observe an increase in productivity in Lake Nakri. Whether this is solely due to rising temperatures, or a combination of warming and nutrient enrichment remains uncertain. In the Cladocera record this period is marked by the increase in relative abundance of *Bosmina longirostris* (8.2–5.8 ka cal BP) and increase in Cladocera influx (8.2–7 ka cal BP). While the first is a well-established indicator for eutrophic conditions (Chen et al., 2010; Adamczuk, 2016), the latter is considered to be representative of either warming or eutrophication (Manca et al., 2007; Zawiska et al., 2017). This phase aligns with a period of warmest temperatures in the pollen- and chironomid- based temperature reconstructions. Rising surface water temperatures can enhance water column stratification, potentially leading to hypolimnetic oxygen depletion (Nickus et al., 2010). Such conditions can facilitate phosphorus release from sediments (Hupfer and Lewandowski, 2008), which may be the case for Lake Nakri, as indicated by the disappearance of the oxygen-sensitive Chironomidae morphotype *Micropsectra contracta*-type (Fig. 6; Brooks et al., 2007). Therefore, during the Holocene thermal maximum, both temperature and nutrient enrichment could have contributed to the increase in productivity.

Short summer cooling events of approximately 0.5–1°C together with the slight ATR oscillations occurred in our chironomid-based temperature reconstruction around 7.0–7.5 ka and 6.5–5.5 ka cal BP (Fig. 9). A cooling around 7–7.5 ka cal BP was also observed in a chironomid record from Southern Finland (Fig. 6; Luoto et al., 2010), however, no pollen based reconstruction indicates this event. Even though pollen-based reconstructions from eastern Baltic did not reveal any climate changes in this period, pollen-based reconstruction from Sweden indicated winter cooling and increase in continentality 7 ka cal BP (Seppä et al., 2005).

The 6.5-5.5 chironomid-inferred cooling trend aligns with an increase in Cladocera *Daphnia* spp. abundancy, which is considered indicative of cooler climate (Nevalainen et al., 2014). A peak in this taxon occurs in Lake Nakri 5.8–5.2 ka cal BP together with decline in *Bosmina longirostris*. This point towards decrease of productivity, which was probably caused by climate. The 6.5–5.5 ka cal cold spell BP has also been observed in pollen-based records from Northern Belarus and Eastern Latvia, as well as in the chironomid-based

reconstruction from Southern Finland (Fig. 10; Luoto et al., 2010; Veski et al., 2015). Also, cooling periods with similar age have been reported from the North Atlantic and central Europe (O'Brien et al., 1995; Oppo et al., 2003; Moros et al., 2004; Vollweiler et al., 2006). The average 5.5 ka cal BP summer cooling across North America and Europe was estimated to be at least 0.5°C (Marsicek et al., 2018). The identified possible cause of the described cooling event is a decrease in solar activity, primarily summer insolation, driven by changes in orbital forcing (Mayewski et al., 2004; Shuman, 2012). This reduction led to lower midlatitude temperatures, glacier advances, a rise in the treeline limit in Scandinavia (Liu et al., 2000; Clement et al., 2000; Braconnot et al., 2004; Mayewski et al., 2004; Liu et al., 2007). Therefore, this event can be described as a complex response to peak rates of insolation change, involving feedback interactions among multiple components (Shuman, 2012).

## 5.2.4. Late Holocene (4.2–0 ka cal BP)

After 4.2 ka cal BP the development of southern mixed boreal forests where late successional temperate taxa are replaced by early successional ones happened in Eastern Baltic (Niinemets and Saarse, 2009; Poska et al., 2022). This coincides with stable chironomid-inferred July air temperatures around 18°C. The relatable stable Cladocera species composition throughout the Late Holocene suggests that by the end of the Middle Holocene, Lake Nakri had reached conditions similar to those observed today. This also aligns with our knowledge that the area has experienced modest, compared to average in Estonia, anthropogenic impact.

Despite the generally stable conditions, some minor climate events were observed in the Late Holocene: 0.5°C July air temperature increase approximately 1–0.5 ka cal BP, followed by a subsequent drop. This period corresponds to the Medieval Warm Period and following cooling can be associated with the Little Ice Age (Diaz et al., 2011; Płóciennik et al., 2011). Similar amplitude changes connected with the Medieval Warm Period and Little Ice Age have been observed from the pollen records in Eastern Latvia and Southern Finland (Heikkilä and Seppä, 2003; Luoto et al., 2010; Veski et al., 2015). The Medieval Warm Period revealed almost no ATR fluctuations (Fig. 9). The data from Southern Finland reports it as a dry and warm period in the Baltic area (Seppä et al., 2009).

The Little Ice Age was indicated by a cooling pattern together with ATR decrease.

Also, possible decrease of productivity might have occurred during the Little Ice Age, where several littoral species disappeared, and Cladocera influx was reduced to values similar to the

late glacial period (Fig. 5). The chironomid-based reconstruction from Southern Finland (Fig. 7; Luoto et al., 2010) shows more pronounced cooling than observed in Lake Nakri record, which can possibly be attributed to its more northern location. The ATR decrease which aligns with study of Jones et al. (2014), revealing that this event is characterized predominantly by snowy winters with periodic episodes of cool and humid summers.

Together, these conditions contributed to a positive glacier mass balance and the advance of glaciers across the Northern Hemisphere (Steiner et al., 2008; Solomina et al., 2015). Several factors may have contributed to this cooling, including a decrease in solar insolation in northern hemisphere (Wanner et al., 2008) and the atmospheric effects of frequent volcanic eruptions, which often led to cool, humid summers due to increased dust and aerosols (Büntgen et al., 2016; Owens et al., 2017; Strandberg et al., 2023). Additional contributing factors include due to anthropogenic deforestation increased surface albedo (Owens et al., 2017), as well as sea-ice export from the Arctic Ocean (Miles et al., 2020).

# 6. Conclusions

This study presents a high-resolution, multi-proxy reconstruction of postglacial climate and environmental dynamics from Lake Nakri. The site is situated in the transitional from continental to maritime climate Eastern Baltic region and represents the record of the past 14.5 ka cal BP - from the last deglaciation until modern days. The multi-proxy approach, applied to lake Nakri records included Chironomidae, Cladocera, pollen, and LOI analyses, which made it possible to estimate both climate and environmental changes of the site.

Chironomid- and pollen-based climate reconstructions generally infer the same July air temperature trends. However, pollen-based reconstruction revealed evident lag likely related to postglacial migration dynamics and the absence of modern analogues for late glacial climates. The trophic changes, estimated from Cladocera assemblages, are assessed as relatively minor and not expected to influence the chironomid-based reconstructions drastically.

The chironomid-based July temperature reconstructions, developed using two independent training sets, revealed generally similar patterns. However, the usage of the local training set showed its advantages exhibiting lower error of July air temperature estimation. The chironomid-inferred ATR trends aligned well with published literature and vegetation changes, observed in lake Nakri. Thus, the usage of chironomids as a continentality proxy can be justified.

The chironomid-inferred reconstruction captures major and minor climate events, some of which have already been observed in the literature. The Bølling-Allerød was characterised by increased lake productivity, likely driven by warming. During the Younger Dryas, chironomid assemblages suggest colder summers and increased ATR, accompanied by a cold-adapted, oligotrophic cladocera and chironomid taxa and the development of tundra vegetation. On the Holocene boundary the major climate warming event was observed, which resulted in forest expansion, with boreal forests later replaced by temperate ones. The 8.2 ka cold event, expressed in a ~1°C July air temperature decrease and ATR increase, was suggested to be a part of a broader 9.0–8.0 ka cal BP cooling episode. The Middle Holocene climate, which incorporates Holocene Thermal Maximum, was characterised by warm and stable climate and the spread of temperate broadleaved forests in the Eastern Baltic. Also, some minor cooling events were indicated by chironomid-inferred reconstructions at ~7.0-7.5 and 6.5–5.5 ka cal BP. The Late Holocene was characterised by warm July air temperatures and development of southern mixed boreal forests in the Eastern Baltic. The Medieval Warm Period was reflected as a July air temperature increase of approximately 0.5 °C with almost no ATR changes. It was followed by the Little Ice Age, which was marked by cooling, decreased ATR. After it, the reconstructed values were close to the modern ones.

The new findings contribute to a broader understanding of how ecosystems of the Eastern Baltic region have responded to past climate forcing and provide a valuable context for anticipating future environmental trajectories under ongoing climate change.

#### CRediT authorship contribution statement

Varvara Bakumenko: conceptualisation; data curation; investigation; formal analysis; software; visualisation; writing – original draft; writing – review & editing. Anna Lanka: conceptualisation; data curation; investigation; formal analysis; software; visualisation; writing – review & editing. Anneli Poska: supervision; conceptualisation; data curation; validation; writing – review & editing. Jüri Vassiljev: investigation; conceptualisation; formal analysis; software; writing – review & editing. Oliver Heiri: investigation; supervision; writing – review & editing. Tiiu Alliksaar: investigation; formal analysis; software; writing – review & editing. Simon Belle: supervision; conceptualisation; writing –

review & editing. **Siim Veski**: supervision; investigation; conceptualisation; writing – review & editing; funding acquisition; resources.

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## **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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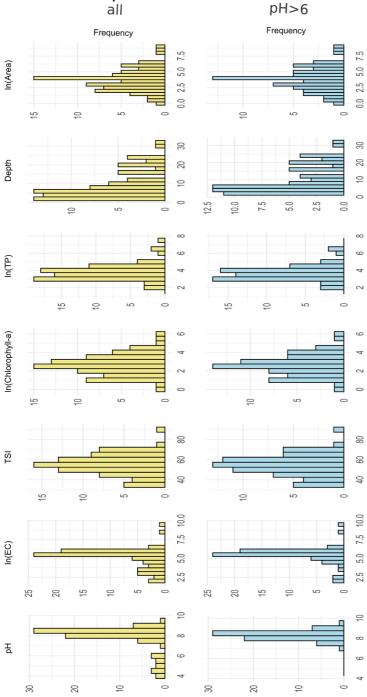
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# **Appendix 5**

Data distribution of the surface sediment training set environmental gradients for datasets "all" and "pH>6".



## Appendix 6

The lake list of each fold used for the WA-PLS and BRT k-10 cross-validation procedure. The numbers of lakes correspond to the ones presented in Table 2.

```
Folds for datasets "all" and "Eubosmina"
```

```
folds <-list(
 fold1 = c(7,76,15,2,22,45,68,37), # Site numbers for fold 1
 fold2 = c(23,19,4,25,46,71,9,6), # Site numbers for fold 2
 fold3 = c(26,28,21,5,27,50,74,31), # And so on
 fold4 = c(32,52,38,11,29,51,10,34),
 fold5 = c(42,56,49,12,30,53,13,72),
 fold6 = c(44,57,60,14,35,54,24,62),
 fold7 = c(48,58,61,16,36,55,33,78),
 fold8 = c(63,59,67,17,39,64,47),
 fold9 = c(69,3,70,18,40,65,77),
 fold10 = c(73,8,43,75,20,41,66,1))
Folds for datasets "pH>6" and "Eubosmina (pH>6)"
folds alk <-list(
 fold1 = c(1,14,16,17,32,47,9),
 fold2 = c(6,18,15,19,35,54,12),
 fold4 = c(44,33,11,23,37,56,40),
 fold5 = c(48,34,10,24,38,57,65),
 fold6 = c(49,41,8,26,39,59),
 fold7 = c(50,52,5,27,42,61),
 fold8 = c(51,53,4,28,43,62),
 fold9 = c(3,58,2,30,45,63),
 fold10 = c(7,60,64,31,46,66))
```

As Lake Sekšu and Velnezers did not have EC values that would fit the criteria in the methodology, EC "all" and "Eubosmina" had their separate folds

```
folds_EC <-list(
fold1 = c(7,74,15,2,22,45,67,37),
fold2 = c(23,19,4,25,46,9,6),
fold3 = c(26,28,21,5,27,50,72,31),
fold4 = c(32,52,38,11,29,51,10,34),
fold5 = c(42,55,49,12,30,53,13,70),
fold6 = c(44,56,59,14,35,54,24,61),
fold7 = c(48,57,60,16,36,33,76),
fold8 = c(62,58,66,17,39,63,47),
fold9 = c(68,3,69,18,40,65,75),
fold10 = c(71,8,43,73,20,41,64,1))
```

**Appendix 7** 

Hyperparameters used for BRT model building. Hyperparameters were selected during the hyperparameter tuning procedure.

Model	shrinkage	Bag fraction	Interaction depth	Minimum terminal node sample size	Average best number of trees	Distribution
pH "all"	0,1	0,6	3	2	442	Laplace
pH "Eubosmina"	0,1	0,6	2	5	1008	Laplace
EC "all"	0,05	0,6	1	2	794	Tdist
EC "Eubosmina"	0,1	0,6	1	10	658	Gaussian
TP "all"	0,1	0,75	1	10	326	Tdist
TP "Eubosmina"	0,1	0,75	1	10	202	Gaussian
TP "pH>6"	0,05	0,6	1	3	392	Gaussian
TP "Eubosmina(pH>6"	0,1	0,65	3	3	216	Tdist
Chl "all"	0,1	0,65	1	2	430	Tdist
Chl "Eubosmina"	0,1	0,6	1	5	302	Tdist
Chl "pH>6"	0,05	0,75	1	2	518	Tdist
Chl "Eubosmina (pH>6)"	0,05	0,7	1	3	332	Tdist
TSI "all"	0,1	0,7	1	5	824	Tdist
TSI "Eubosmina"	0,05	0,75	1	5	904	Tdist
TSI "pH>6"	0,1	0,6	1	3	296	Tdist
TSI "Eubosmina (pH>6)"	0,05	0,6	2	2	470	Tdist
Depth "all"	0,1	0,65	1	10	116	Gaussian
Depth "Eubosmina"	0,1	0,6	1	10	252	Gaussian
Depth "pH>6"	0,05	0,65	1	3	660	Gaussian
Depth "Eubosmina (pH>6)"	0,1	0,65	1	5	556	Gaussian

### **Curriculum vitae**

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#### **Education**

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2012–2015 University of Latvia, Department of Biology, BSc

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Russian Average/Basic

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